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3 Overexpression of *c-Jun* contributes to
4 sorafenib resistance
5 in human hepatoma cell lines
6 (肝癌細胞におけるc-Jun発現とソ
7 ラフェニブ抵抗性
8 に関する検討)
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13 Chiba University Graduate School of
14 Medical and Pharmaceutical Sciences

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16 Chief Prof: (Dr. Tsuyuguchi)

17 Yuki Haga

Haga Y

18 Overexpression of c-Jun contributes to sorafenib resistance in human
19 hepatoma cell lines

20 **Running Title:** c-Jun and sorafenib resistance

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22 Yuki Haga¹,

23

24 ¹Department of Gastroenterology and Nephrology, Chiba University, Graduate School of

25 Medicine, Chiba 260-8670, Japan, ²Department of Molecular Virology, Chiba University,

26 Graduate School of Medicine, Chiba 260-8670, Japan,

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34 Keywords: apoptosis; c-Jun; HBV; HCC; resistance; sorafenib

35

36 **Abstract**

37 **Background:** Despite recent advances in treatment strategies, it is still difficult to
38 cure patients with hepatocellular carcinoma (HCC). Sorafenib is the only approved
39 multiple kinase inhibitor for systemic chemotherapy in patients with advanced HCC. The
40 majority of advanced HCC patients are resistant to sorafenib. The mechanisms of
41 sorafenib resistance are still unknown.

42 **Methods:** The expression of molecules involved in the mitogen-activated protein
43 kinase (MAPK) signaling pathway in human hepatoma cell lines was examined in the
44 presence or absence of sorafenib. Apoptosis of human hepatoma cells treated with
45 sorafenib was investigated, and the expression of Jun proto-oncogene (c-Jun) was
46 measured.

47 **Results:** The expression and phosphorylation of c-Jun were enhanced in human
48 hepatoma cell lines after treatment with sorafenib. Inhibiting c-Jun enhanced sorafenib-
49 induced apoptosis. The overexpression of c-Jun impaired sorafenib-induced apoptosis.
50 The expression of osteopontin, one of the established AP-1 target genes, was enhanced
51 after treatment with sorafenib in human hepatoma cell lines.

52 **Conclusions:** The protein c-Jun plays a role in sorafenib resistance in human
53 hepatoma cell lines. The modulation and phosphorylation of c-Jun could be a new

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- 54 therapeutic option for enhancing responsiveness to sorafenib. Modulating c-Jun may be
- 55 useful for certain HCC patients with sorafenib resistance.

56 **Introduction**

57 The estimated number of new cases of liver cancer in 2012 was 782,000 worldwide,
58 including 554,000 and 228,000 cases in men and women, respectively [1]. The
59 estimated number of cancer deaths from liver cancer in 2012 was 745,000 worldwide,
60 including 521,000 and 224,000 deaths in men and women, respectively [1]. The very
61 small difference between the numbers of new cases and deaths from liver cancer
62 indicates a poor prognosis. Among liver cancers, hepatocellular carcinoma (HCC) is the
63 most common primary liver cancer. Decompensation of liver function and the
64 development of HCC are dreaded complications of advanced liver diseases. The annual
65 incidence of HCC in the adult Taiwanese population remains high despite the fact that
66 here has been a more than 50% drop in HCC incidence following national hepatitis B
67 virus (HBV) vaccination programs in Taiwan [2]. A large population with chronic HBV
68 infection remains at risk of developing cirrhosis and HCC if left untreated [2]. Recent
69 progress in treatments for the hepatitis C virus (HCV) has been shown to significantly
70 alter the natural progression to HCC in countries with HCV as a major contributor to
71 HCC [3]. However, a large population with chronic HCV infection is still at risk of
72 developing cirrhosis and HCC if left untreated [3]. Despite the progress in imaging
73 modalities, it is still difficult to detect the early stages of HCC [4]. Other than a liver

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74 transplantation, it is difficult to cure patients with HCC because many of the patients
75 have liver cirrhosis [4].

76 Sorafenib is the only approved multiple kinase inhibitor for the systemic
77 chemotherapeutic reagents for compensated cirrhotic patients with unresectable or
78 metastatic HCC, although the complete response rate to sorafenib in HCC is relatively
79 low (0.7%-3%) [5]. Molecular targets of sorafenib are tyrosine kinases of the vascular
80 endothelial growth factor receptor (VEGFR) and platelet-derived growth factor receptor
81 (PDGFR) [6]. Sorafenib also exerts its effects by targeting mitogen-activated protein
82 kinase (MAPK) kinase kinase (Raf)/MAPK kinase (MEK)/MAPK [originally called
83 extracellular signaling-related kinase (ERK)] signaling at the level of Raf kinase [6,7].
84 The success of anticancer treatment with sorafenib would depend on having a better
85 understanding of its acquired resistance mechanism in HCC [7].

86 Stress-activated protein kinases (SAPKs)/Jun proto-oncogene (c-Jun) N-
87 terminal kinases (JNKs) are members of the MAPK family that are activated by cellular
88 environmental stresses, inflammatory cytokines and growth factors [8, 9]. JNK1 binds
89 to the c-Jun transactivation domain and phosphorylates c-Jun, and JNK1 activation
90 plays a role in tumor promotion [8]. The JNK signaling pathway plays an important role
91 in cellular apoptosis [10] and in a cisplatin (CDDP) resistance mechanism in cancer

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92 cells [9]. A previous study [10] showed that the transcription factor c-Jun/AP-1
93 promoted HBV-related liver tumorigenesis in mice.

94 In the present study, we demonstrated that c-Jun was elevated in human
95 hepatoma cells treated with sorafenib. We report that the expression and
96 phosphorylation of c-Jun conferred sorafenib resistance in human hepatoma cells. These
97 mechanisms might play an important role in the chemoresistance of HCC patients
98 treated with sorafenib.

99 **Materials and Methods**

100 **Cell culture**

101 Human hepatoma cell lines Huh7, Huh6, PLC/PRF/5, Hep3B, HepG2 and HepG2.2.15
102 were grown in Roswell Park Memorial Institute medium (RPMI1640) (Sigma-Aldrich,
103 St. Louis, MO, USA) supplemented with 10% fetal bovine serum (FBS), 200 U/mL of
104 penicillin, and 200 µg/mL of streptomycin at 5% CO₂ and 37°C. Huh7, HepG2 and
105 HepG2.2.15 were previously reported [11, 12]. Huh6 and PLC/PRF/5 were purchased
106 from the National Institutes of Biomedical Innovation, Health and Nutrition JCRB Cell
107 Bank (Ibaraki, Osaka, Japan). Hep3B cells were obtained from American Type Culture
108 Collection (ATCC) (Manassas, VA, USA).

109

110 **Reagents**

111 Sorafenib and JNK inhibitor SP600125 were purchased from Cayman Chemical (Ann
112 Arbor, MI, USA) and AdooQ BioScience (Irvine, CA, USA), respectively.

113

114 **RNA extraction, cDNA synthesis and human MAPK signaling** 115 **targets PCR array**

116 Approximately 1.0×10^5 cells per well were plated into a 6-well plate and, 12 hours later,

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117 were treated with or without 10 μ M sorafenib (Cayman Chemical, Ann Arbor, MI, USA)
118 [14]. Cellular RNA was extracted by an RNeasy Mini Kit (Qiagen, Hilden, Germany).
119 cDNA was synthesized with an RT² First Strand cDNA Kit (Qiagen) according to the
120 manufacturer's protocol [15]. A human MAPK signaling pathway PCR array was
121 purchased from Qiagen. A real-time PCR array based on the SYBR Green method was
122 performed onto a 7300 Real-Time PCR system (Applied Biosystems, Foster, CA, USA).
123 The cycling program was as follows: 95°C for 10 minutes for 1 cycle, then 40 cycles of
124 95°C for 15 seconds and 60°C for 1 minute. The house-keeping genes beta-2-
125 microglobulin (B2M), hypoxanthine phosphoribosyltransferase 1 (HPRT1), ribosomal
126 protein L13a (RPL13A), glyceraldehyde-3-phosphate dehydrogenase (GAPDH) and
127 actin beta (ACTB) served as internal control. Data were analyzed using the RT² Profiler
128 PCR Array Data Analysis software
129 (<http://pcrdataanalysis.sabiosciences.com/pcr/arrayanalysis.php>).

130

131 **Western blotting**

132 Cells were collected in 1% sodium dodecyl sulfate (SDS) buffer. After sonication,
133 proteins were subjected to electrophoresis on a 5-20% SDS-polyacrylamide gel and
134 transferred onto a polyvinylidene difluoride membrane (ATTO, Tokyo, Japan) followed

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135 by overnight blocking with 5% skim milk in phosphate-buffered saline with Tween 20
136 (Bio-Rad, Hercules, CA, USA). The membrane was probed with antibodies specific to
137 phosphorylation of c-Jun [p-c-Jun (Ser63)], c-Jun (Cell Signaling, Boston, MA, USA),
138 osteopontin, GAPDH (Santa Cruz Biotechnology, Santa Cruz, CA, USA) or β -tubulin
139 (Abcam, Eugene, OR, USA). After washing the membrane, it was incubated with
140 secondary horseradish peroxidase-conjugated antibodies for an hour. Signals were
141 detected with enhanced chemiluminescence (GE Healthcare, Tokyo, Japan) and scanned
142 with the image analyzer LAS-4000 (Fuji Film, Tokyo, Japan). Band intensities were
143 determined using the ImageJ software [13].

144

145 **Transfection of siRNA**

146 The siRNA against c-Jun (si-c-Jun) and control siRNA (si-C) were purchased from Santa
147 Cruz Biotechnology [11]. Transfections were performed with 50 nM si-c-Jun, or 50 nM
148 si-C using Effectene Transfection Reagents (Qiagen) according to the manufacturer's
149 protocol [15].

150

151 **Overexpression of MEKK and reporter assay for AP-1** 152 **activation**

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153 To overexpress c-Jun, MEKK upstream of c-Jun was overexpressed using the plasmid
154 pMEKK (Agilent Technologies, Tokyo, Japan) [11]. The combination of c-Jun with c-Fos
155 forms the activator protein-1 (AP-1) early response transcription factor. Cells were seeded
156 onto a 6-well plate. After 24 hours, 0.2 µg of the reporter plasmid pAP-1-luc (PathDetect
157 Cis-Reporting Systems; Agilent Technologies, Santa Clara, CA, USA) and 0.01 µg
158 pMEKK were co-transfected using Effectene transfection reagents (Qiagen). After
159 incubation for 48 hours, the cells were harvested using reporter lysis buffer (Toyo Ink,
160 Tokyo, Japan), and the luciferase activities were determined by a Picagene system (Toyo
161 Ink) using a luminometer (Luminescencer-JNR II AB-2300, ATTO).

162

163 **MTS assay**

164 To determine cell proliferation, a CellTiter 96 AQueous One Solution Cell Proliferation
165 Assay (Promega, Madison, WI, USA) was performed. Living cells converted 5-(3-
166 carboxymethoxyphenyl)-2-(4,5-dimethylthiazoly)-3-(4-sulfophenyl) tetrazolium, inner
167 salt (the MTS tetrazolium compound) to formazan. The cells were grown in 96-well plates
168 for 24 hours before the medium was replaced with 0.2 mL of fresh medium containing
169 sorafenib. After incubating the cells for 12 hours, 20 µL of MTS solution was added to
170 each well. Four hours later, the absorbance at 490 nm of each well was measured with the

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171 iMark Microplate Absorbance Reader (Bio-Rad).

172

173 **Apoptosis assay**

174 Quantification of apoptosis was performed with the APOPercentage apoptosis assay

175 (Bicolor, Belfast, Northern Ireland). Purple-red stained cells were identified as apoptotic

176 cells by light microscopy. Purple-red cells/fields of 400-fold views were counted as

177 previously described [11].

178

179 **Caspase-3/-7 activity**

180 Determination of caspase-3 and -7 activity was performed with a Caspase-Glo 3/7 assay

181 (Promega) according to the manufacturer's instructions [11]. Luminescence was

182 measured using Luminescencer-JNR II AB-2300 (ATTO).

183

184 **Statistical analysis**

185 Data are expressed as mean \pm standard deviation (SD). Comparisons were analyzed using

186 Student's t test. Significance was defined as a P-value lower than 0.05.

187 **Results**

188 **Human hepatoma cell lines possessed sorafenib resistance after** 189 **treatment with 10 μ M sorafenib for 12 hours**

190 To explore the mechanism underlying sorafenib resistance, we first examined the effects
191 of sorafenib on cell proliferation in 6 human hepatoma cell lines: PLC/PRF/5,
192 HepG2.2.15, Huh6, Hep3B, HepG2 and Huh7 (Fig 1). Cells were incubated with
193 sorafenib at various concentrations for 12 hours, and cell proliferation was evaluated by
194 an MTS Cell Proliferation assay. Although sorafenib reduced cell proliferation in a dose-
195 dependent manner, we noticed that when the cells were incubated with 10 μ M sorafenib,
196 only 8.4%, 11.6%, 25.8%, 18.2%, 7.9% and 34.5% inhibition was observed in
197 PLC/PRF/5, HepG2.2.15, Huh6, Hep3B, HepG2 and Huh7 cells, respectively, compared
198 to untreated controls (Fig 1). Treatment with 20 μ M sorafenib for 12 hours significantly
199 reduced cell proliferation in all cell lines except PLC/PRF/5 cells, compared to untreated
200 controls (Fig 1). Treatment with 40 μ M sorafenib for 12 hours significantly reduced cell
201 proliferation in all cell lines compared to untreated controls (Fig 1). When cells were
202 incubated with sorafenib at 10 μ M for 24 hours, 51.6%, 36.5%, 16%, 40.2%, 10.8% and
203 29.1% inhibition was observed in PLC/PRF/5, HepG2.2.15, Huh6, Hep3B, HepG2 and
204 Huh7 cells, respectively, compared to untreated controls. The highest achievable clinical

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205 blood concentration of sorafenib is 10 μ M [14]. In total, 65.5% - 92.1% of human
206 hepatoma cell lines were viable in the treatment with sorafenib at this concentration for
207 12 hours.

208

209 **c-Jun was upregulated after treatment with sorafenib in**
210 **human hepatoma cell lines**

211 Sorafenib is a multiple kinase inhibitor that inhibits Raf/MEK/MAPK signaling. We
212 expected that some genes in this signal pathway might be overexpressed in sorafenib-
213 resistant cells. Next, we examined the signaling pathway related to 84 MAPK-signaling
214 pathway-associated genes in 6 human hepatoma cell lines treated with or without
215 sorafenib (Fig 2, Fig S1 and Tables S1-9 in File S1). Among these genes, MAP kinase
216 interacting serine/threonine kinase 1 (MKNK1) was significantly downregulated (0.49-
217 fold, $p=0.0128$) in human hepatoma cells treated with 10 μ M sorafenib (Fig 2A). These
218 results also indicated that sorafenib could inhibit MAPK-signaling pathway-associated
219 gene expression. Among those genes, we found that c-Jun was the only gene significantly
220 upregulated (4.27-fold, $p=0.0125$) among a total of 6 cell lines treated with 10 μ M
221 sorafenib.

222 We compared gene expression in human hepatoma cells without HBV genome
223 integration (Huh6, HepG2 and Huh7) treated with or without 10 μ M sorafenib (Fig 2B).
224 Mitogen-activated protein kinase 10 (MAPK10), which is known as JNK3, was
225 significantly downregulated (0.58-fold, $p=0.0206$) among the cells treated with 10 μ M
226 sorafenib. MKNK1 tended to be downregulated (0.56-fold, $p=0.0890$) in cells treated
227 with 10 μ M sorafenib.

228 We also compared gene expression in human hepatoma cells with HBV genome
229 integration (PLC/PRF/5, HepG2.2.15 and Hep3B) treated with or without 10 μ M
230 sorafenib (Fig 2C). c-Jun was significantly upregulated (8.58-fold, $p=0.000935$) and cell
231 division cycle 42 (CDC42: GTP binding protein, 25 kDa) was significantly
232 downregulated (0.72-fold, $p=0.0389$) in cells treated with 10 μ M sorafenib.

233

234 **Phosphorylation of c-Jun increased after treatment with** 235 **sorafenib in human hepatoma cell lines**

236 Compared to untreated cells, c-Jun gene expression was 17.29-, 12.94-, 8.62-, 2.82-, 1.17-,
237 and 0.95-fold in 10 μ M sorafenib-treated PLC/PRF/5, HepG2.2.15, Huh6, Hep3B,
238 HepG2, and Huh7 cells, respectively. So we mainly used PLC/PRF/5 and HepG2.2.15 for
239 additional analyses. We then examined the effects of sorafenib in the phosphorylation of

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240 c-Jun and c-Jun protein expression in PLC/PRF/5 and HepG2.2.15 cells treated with 10
241 μ M sorafenib. Treatment of PLC/PRF/5 cells with sorafenib was associated with 1.51-
242 fold and 1.59-fold increases in the phosphorylation of c-Jun and c-Jun protein expression,
243 respectively (Fig 3A-3C), and treatment of HepG2.2.15 cells with sorafenib was
244 associated with 1.78- and 2.05-fold increases in the phosphorylation of c-Jun and c-Jun
245 protein expression, respectively (Fig 3D-3F). These results suggested the possibility that
246 the expression and phosphorylation of c-Jun could be associated with sorafenib resistance.
247

248 **Knockdown of c-Jun enhanced sorafenib-induced apoptosis in** 249 **human hepatoma cells**

250 After the efficacy of siRNAs was confirmed in hepatocytes (Fig 4A-4C), apoptotic cell
251 death in PLC/RPF/5 cells treated with or without sorafenib following transfection with
252 either si-c-Jun or si-C was analyzed using an APOPercentage apoptosis assay (Fig 4D).
253 We treated the cells with 7.5 μ M sorafenib for 48 hours. We used this condition because
254 almost all cells were apoptotic when both siRNAs-transfected cells were treated with 10
255 sorafenib for 12 hours. In sorafenib-treated PLC/RPF/5 cells transfected with si-c-Jun,
256 apoptotic cells were significantly increased compared to sorafenib-treated PLC/RPF/5
257 cells transfected with si-C. Compared to sorafenib-treated and si-C-transfected control

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258 Hep3B, HepG2 and Huh7 cells, significant increases in apoptotic cells were also observed
259 in the same cell lines when sorafenib-treated and si-c-Jun-transfected (1.81-, 1.75- and
260 1.47-fold increase, respectively; $p < 0.05$ compared to si-C-transfected control cells).

261

262 **Overexpression of c-Jun by transfection of pMEKK into**
263 **PLC/RPF/5 cells impaired sorafenib-induced apoptosis in**
264 **PLC/RPF/5 cells**

265 We investigated the effects of AP-1 activation on sorafenib-induced apoptosis. As shown
266 in Fig 5A, transfection of the pMEKK plasmids into PLC/RPF/5 cells enhanced AP-1
267 activity in a reporter assay. In PLC/RPF/5 cells transfected with pMEKK, the expression
268 and phosphorylation of c-Jun increased (Fig 5B-5D). Transfection with pMEKK vectors
269 significantly reduced apoptosis in PLC/RPF/5 cells treated with sorafenib (Fig 5E).
270 Overall, these results indicate that c-Jun is one of the factors responsible for sorafenib
271 resistance in human hepatoma cells.

272

273 **JNK inhibitor SP600125 enhanced sorafenib-induced**
274 **apoptosis in human hepatoma cells**

275 SP600125 prevented the activation of JNK. We next examined the effects of SP600125

276 on sorafenib-induced apoptosis in human hepatoma cells (Fig 6). Apoptosis was analyzed
277 in PLC/RPF/5 cells treated with or without 10 μ M sorafenib for 12 hours after treatment
278 with or without 45 μ M SP600125 for 12 hours. We observed a significantly higher
279 proportion of apoptotic cells with the combination of sorafenib and SP600125 in the
280 APOPercentage assay (Fig 6A). Activation of caspase-3/-7 also supported these results
281 (Fig 6B). In HepG2.2.15 cells, the results were similar to those obtained for PLC/RPF/5
282 cells (Fig 6C and 6D). In addition, we also observed a significantly higher proportion of
283 apoptotic cells with the combination of sorafenib and SP600125 in HepG2 cells by
284 APOPercentage assay (5.58-fold; $p < 0.05$ compared to sorafenib-treated control cells).

285 We also examined the effects of SP600125 on the anti-cancer-drug-induced
286 apoptosis in PLC/RPF/5 cells. The degree of apoptosis was similar in the presence of 16
287 μ M cis-diamminedichloro-platinum (CDDP) with or without 45 μ M SP600125 ($11.2 \pm$
288 4.4% vs. $6.8 \pm 0.69\%$, respectively). Apoptosis was also similar in the presence of 0.5
289 μ g/mL 5-fluorouracil (5FU) with or without 45 μ M SP600125 ($7.7 \pm 0.7\%$ vs. $6.0 \pm 2.0\%$,
290 respectively). However, apoptosis in the presence of 100 nM gemcitabine (GEM) with 45
291 μ M SP600125 was higher than that in the absence of GEM ($2.7\% \pm 0.5\%$ vs. $6.7 \pm 1.6\%$,
292 $p = 0.040$).

293

294 **Sorafenib enhanced expression of osteopontin, an AP-1 target**
295 **gene, in human hepatoma cell lines**

296 To investigate the mechanism further, we focused on osteopontin, an established AP-1
297 target gene [16]. We confirmed that knockdown of c-Jun led to a decrease in the
298 expression of osteopontin in PLC/RPF/5 cells (Fig 7A, 7B). After treatment with
299 sorafenib in human hepatoma cell lines, we also observed that the expression of
300 osteopontin increased (Fig 7C-7F).

301 **Discussion**

302 In this study, we focused on the transcription factor c-Jun and demonstrated that c-Jun
303 was involved in the resistance of sorafenib in certain human hepatoma cell lines. We
304 showed that c-Jun and its phosphorylation determined sorafenib-induced apoptosis in
305 human hepatoma cell lines. Inhibiting c-Jun could enhance the apoptosis of human
306 hepatoma cells in the presence of sorafenib. We also demonstrated that osteopontin may
307 contribute to these phenomena. Our observations indicated that c-Jun plays an important
308 role in sorafenib resistance in HCC.

309 The RAS-RAF-MEK-MAPK pathway is a key signal transduction pathway in
310 cells and is constitutively active in HCCs [17]. It is also responsible for poor prognosis
311 and drug resistance [7]. Targeting the responsible proteins, such as the hepatocyte
312 growth factor (HGF) receptor and the phosphatidylinositol-4,5-bisphosphate 3-kinase
313 (PI3K)/ AKT serine/threonine kinase (AKT) pathways, are also essential [7].

314 Drug resistance was also associated with epithelial-mesenchymal transition
315 (EMT) in HCC [18]. In anoikis-resistant HCC cells, which are highly sorafenib-resistant
316 and induce EMT, cellular apoptosis was associated with c-Jun [19]. OCT4, one of the
317 pluripotency genes, regulates EMT and is associated with chemoresistance [20].

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318 Positive feedback regulation of OCT4 and c-Jun could expedite cancer stemness in liver
319 cancer [21].

320 Fibrosis, which is one of the features of collagen-rich microenvironments,
321 could reduce the efficacy of sorafenib by impairing delivery of chemotherapeutics and
322 promoting aggressive neoplastic cell behavior [22]. JNK is an important component that
323 converts external stimuli into a wide range of cellular responses, such as fibrosis [23].

324 Sorafenib is a bi-aryl urea: N-(2-trifluoromethyl-4-chlorophenyl)-N'-(4-[2-
325 methylcarbamoyl pyridine-4-yl] oxyphenyl) urea [14]. Sorafenib is bound to human
326 plasma proteins, and albuminemia influences the total clearance of sorafenib [25].
327 Albumin is synthesized in the liver and in cirrhotic liver, and this mostly occurs in the
328 background in livers of HCC patients, and seems to affect the blood concentration of
329 sorafenib. Sorafenib is metabolized by CYP3A4 and uridine diphosphate
330 glucuronosyltransferase 1A9 (UGT1A9) in the liver [26]. Co-administration of a proton
331 pump inhibitor led to a significant drop in sorafenib exposure [27]. Clinicians should
332 also pay attention to these factors.

333 Trierweiler et al. [16] reported that c-Jun/AP-1 promoted HBV-related liver
334 tumorigenesis in mice. Cheng et al. [28] demonstrated that sorafenib-treated patients
335 with HBV-associated HCC had fewer survival benefits than in those with non-HBV

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336 related HCC. Half maximal (50%) inhibitory concentration (IC50) for sorafenib was
337 significantly higher in HBV-positive HCC cells than in those without HBV infection
338 [29]. We also observed that c-Jun was highly upregulated in HBV-associated human
339 hepatoma cells treated with sorafenib (Fig 2B and 2C).

340 We also compared gene expression in the human hepatoma cell line Huh7
341 harboring HCV subgenomic replicon [30] treated with or without 10 μ M sorafenib.
342 However, c-Jun was not significantly upregulated. The HCV-associated liver cancer cell
343 lines do not include HCV genome integration or full-length HCV RNA [30]. Further
344 study will be needed to investigate virally mediated oncogenesis.

345 In HCC-patients treated with sorafenib, the expression of phosphorylated c-Jun
346 in HCC was significantly higher in the non-responder group than in the responder group
347 [31]. Chen et al. [32] also reported that activation of c-Jun predicted a poor response to
348 sorafenib in HCC. Our results supported these facts. Phosphorylated-JNK was
349 correlated with the activation of c-Jun/AP-1 proteins in HCC [33].

350 CD133, identified as one of the cancer stem cell markers, contributed to the
351 initiation and growth of HCC [31]. Phosphorylated c-Jun was also correlated with
352 CD133 in HCC [31]. It was reported that a high percentage of cells was arrested in the
353 G2 phase 48 hours after treatment with a JNK inhibitor [34]. Combination treatment

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354 with SP600125 and TNF-related apoptosis-inducing ligand (TRAIL) led to apoptosis in
355 human hepatoma cells [34]. SP600125 is known to inhibit other genes such as TNF,
356 which is one of the nuclear factor-kappa B (NF- κ B) target genes [35]. Expression of
357 conserved helix-loop-helix ubiquitous kinase (CHUK), an inhibitor of the transcription
358 factor NF- κ B complex, was unaltered either with or without sorafenib treatment (S2
359 file).

360 Osteopontin is a multi-functional cytokine that is involved in cell survival,
361 migration and chemotherapy-resistance, including sorafenib-resistance in patients with
362 metastatic renal cell carcinoma [36, 37]. We also observed that sorafenib upregulated
363 osteopontin expression in human hepatoma cell lines and that c-Jun played a role to
364 some extent in this step (Fig 7). Further study of these trends will be needed.

365 Although there are conflicting opinions about whether sorafenib also
366 suppresses JNK-dependent apoptosis [38, 39], c-Jun/AP-1 is one of the more attractive
367 targets for the chemotherapy of cancers, including HCC [40]. In conclusion, c-Jun was
368 associated with sorafenib resistance in human hepatoma cell lines. Modulation of c-Jun
369 and phosphorylated c-Jun might be a potential tool for improving the response to
370 sorafenib in HCC patients.

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509 **Figure legends**

510 **Fig 1. Effects of sorafenib on cell proliferation in human hepatoma cell lines.** (A)
511 PLC/PRF/5, (B) HepG2.2.15, (C) Huh6, (D) Hep3B, (E) HepG2 and (F) Huh7 cells. The
512 cells were treated with sorafenib at the indicated concentrations for 12 hours, and cell
513 proliferation was evaluated by MTS assay (Promega). Data are presented as mean \pm SD
514 of triplicate samples. * $p < 0.05$ compared to the untreated control.

515

516 **Fig 2. Changes of MAPK-signaling pathway-associated genes in human hepatoma**
517 **cell lines treated with or without sorafenib.** Six human hepatoma cell lines were treated
518 with or without 10 μ M sorafenib for 12 hours. (A) Total expression of 6 human hepatoma
519 cells (PLC/PRF/5, HepG2.2.15, Huh6, Hep3B, HepG2 and Huh7). Expression of 2 genes
520 significantly changed after 12 hours of treatment with sorafenib: Jun proto-oncogene (c-
521 Jun) and MAP kinase interacting serine/threonine kinase 1 (MKNK1), which are shown
522 in red. (B) Human hepatoma cells without HBV genome integration (Huh6, HepG2 and
523 Huh7). Mitogen-activated protein kinase 10 (MAPK10) expression significantly changed
524 after 12 hours of treatment with sorafenib. MAPK10 is shown in red, and c-Jun is shown
525 in yellow. (C) Human hepatoma cells with HBV integration (PLC/PRF/5, HepG2.2.15
526 and Hep3B). Expression of 2 genes significantly changed after 12 hours of treatment of

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527 sorafenib: c-Jun and cell division cycle 42 (GTP binding protein, 25 kDa) (CDC42),
528 which are shown in red.

529

530 **Fig 3. Sorafenib enhances expression and phosphorylation of c-Jun in human**
531 **hepatoma cell lines.** (A)-(C) Western blot analyses of phosphorylated-c-Jun (p-c-Jun), c-
532 Jun and GAPDH expression in PLC/PRF/5 cells treated with or without 10 μ M sorafenib
533 for 12 hours. (D)-(F) Western blot analyses of p-c-Jun, c-Jun and GAPDH expression in
534 HepG2.2.15 cells treated with or without 10 μ M sorafenib for 12 hours. (B, C, E, F)
535 Densitometric analyses were performed using ImageJ software. Data are presented as
536 mean \pm SD of triplicate samples. * $p < 0.05$ compared to untreated control.

537

538 **Fig 4. Knockdown of c-Jun enhanced sorafenib-induced apoptosis in human**
539 **hepatoma PLC/PRF/5 cells.** (A)-(C) Validation of siRNAs si-c-Jun and si-control (si-
540 C). Lysates from transfected cells were immunoblotted with antibodies against p-c-Jun,
541 c-Jun or GAPDH. GAPDH was used as internal control. Densitometric analyses were
542 performed with ImageJ software. Data are presented as mean \pm SD of triplicate samples.
543 (D) Apoptosis in PLC/PRF/5 cells treated with or without 7.5 μ M sorafenib for 48 hours
544 after transfection with each siRNA. Apoptosis was determined by an APOPercentage

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545 apoptosis assay (Biocolor). (B, D) Densitometric analyses were performed with ImageJ
546 software. Data are presented as mean \pm SD of triplicate samples. $*p < 0.05$ between two
547 groups.

548

549 **Fig 5. Overexpression of c-Jun by transfection of pMEKK impaired sorafenib-**
550 **induced apoptosis in human hepatoma PLC/PRF/5 cells.** (A) AP-1 activation
551 following the transfection of pMEKK into PLC/PRF/5 cells. (B)-(D) Phosphorylated-c-
552 Jun (p-c-Jun) and expression of c-Jun protein were enhanced by transfection of pMEKK
553 into PLC/RPF/5 cells. Densitometric analyses were performed with ImageJ software. (E)
554 Apoptosis in PLC/PRF/5 cells treated with or without 10 μ M sorafenib for 12 hours after
555 transfection of pMEKK or control vectors. The number of apoptotic cells was determined
556 by APOPercentage apoptosis assay (Biocolor). Data are presented as the mean \pm SD of
557 triplicate samples. $*p < 0.05$ between groups.

558

559 **Fig 6. SP600125 enhanced sorafenib-induced apoptosis in human hepatoma cell**
560 **lines.** (A, B) PLC/RPF/5, (C, D) HepG2.2.15. Apoptosis in cells treated with or without
561 10 μ M sorafenib for 12 hours after treatment with or without 45 μ M SP600125 for 12
562 hours. (A, C) The number of apoptotic cells was determined by APOPercentage apoptosis

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563 assay (Biocolor). (B, D) Caspase-3/-7 activity was measured by Caspase-Glo 3/7 assay
564 (Promega). Data are presented as mean \pm SD of triplicate samples. $*p < 0.05$ between
565 two groups.

566

567 **Fig 7. Sorafenib enhanced expression of osteopontin, an AP-1 target gene, in human**

568 **hepatoma cell lines.** (A, B) Knockdown of c-Jun decreased expression of osteopontin

569 after 48 hours of transfection into PLC/PRF/5 cells with siRNA against c-Jun (si-c-Jun)

570 or si-control (si-C). Lysates from transfected cells were immunoblotted with antibodies

571 against osteopontin or β -tubulin. β -tubulin was used as internal control. (C, D) Western

572 blot analyses of osteopontin and β -tubulin expression in PLC/PRF/5 cells treated with or

573 without 10 μ M sorafenib for 12 hours. (E, F) Western blot analyses of osteopontin and β -

574 tubulin expression in HepG2.2.15 cells treated with or without 10 μ M sorafenib for 12

575 hours. Densitometric analyses were performed with ImageJ software. Data are presented

576 as mean \pm SD of triplicate samples. $*p < 0.05$ between two groups.

577

578 **Supporting Information**

579 **S1 Fig. Heat map analysis for the expression of MAPK-signaling pathway-associated**
580 **genes in 6 human hepatoma cells (PLC/PRF/5, HepG2.2.15, Huh6, Hep3B, HepG2**
581 **and Huh7) treated with or without sorafenib.** The six human hepatoma cell lines were
582 treated with or without 10 μ M sorafenib for 12 hours. Red color indicates genes expressed
583 higher in cells treated with sorafenib than in those without sorafenib. Green color
584 indicates genes expressed lower in cells treated with sorafenib than in those without
585 sorafenib. Arrows indicate Jun proto-oncogene (JUN) and conserved helix-loop-helix
586 ubiquitous kinase (CHUK).

587

588 **Table S1. List of analyzed genes.**

589 **Table S2. Gene expression profiles in 6 human hepatoma cells treated with sorafenib.**

590 **Table S3. Gene expression profiles in 6 human hepatoma cells treated without**
591 **sorafenib.**

592 **Table S4. List of housekeeping genes.**

593 **Table S5. Overview of the PCR Array Performance and quality control.**

594 **Table S6. Results of PCR arrays.**

595 **Table S7. Scatter plot.**

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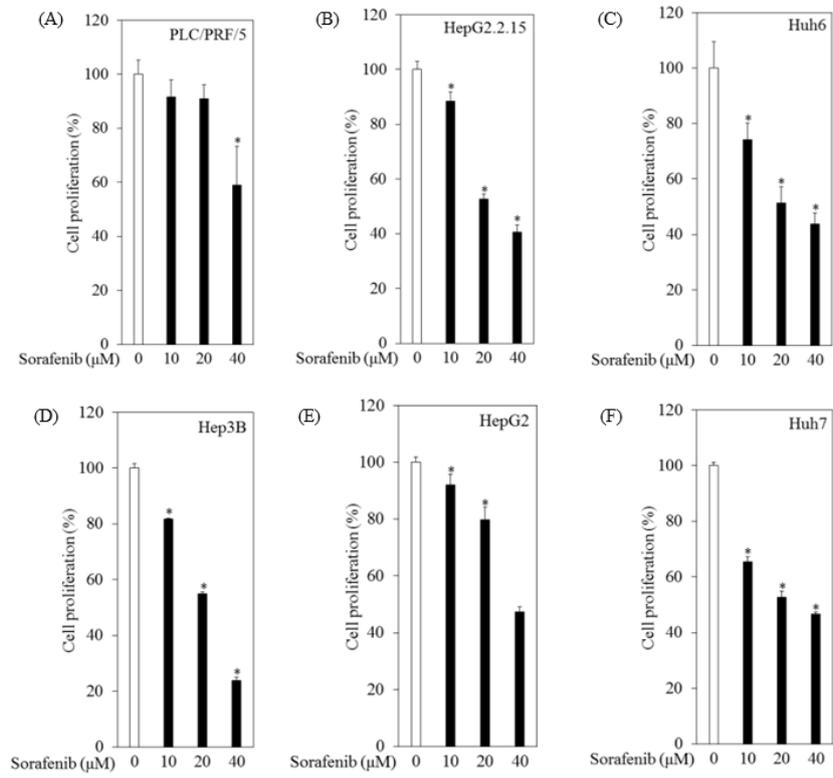
596 **Table S8. Volcano plot.**

597 **Table S9. Calculation.**

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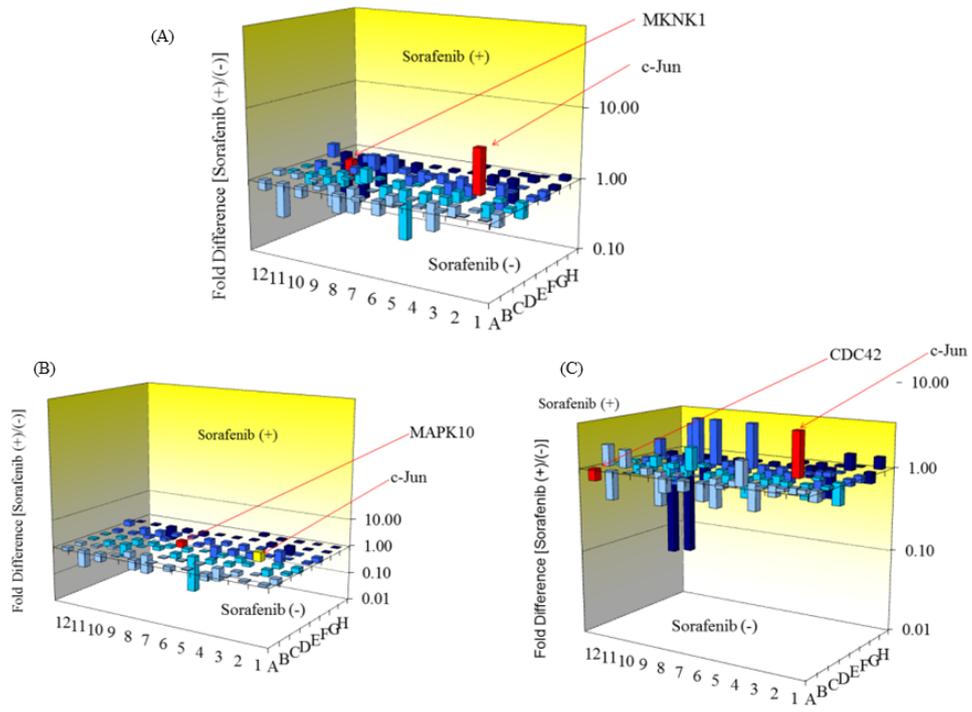
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Fig 1



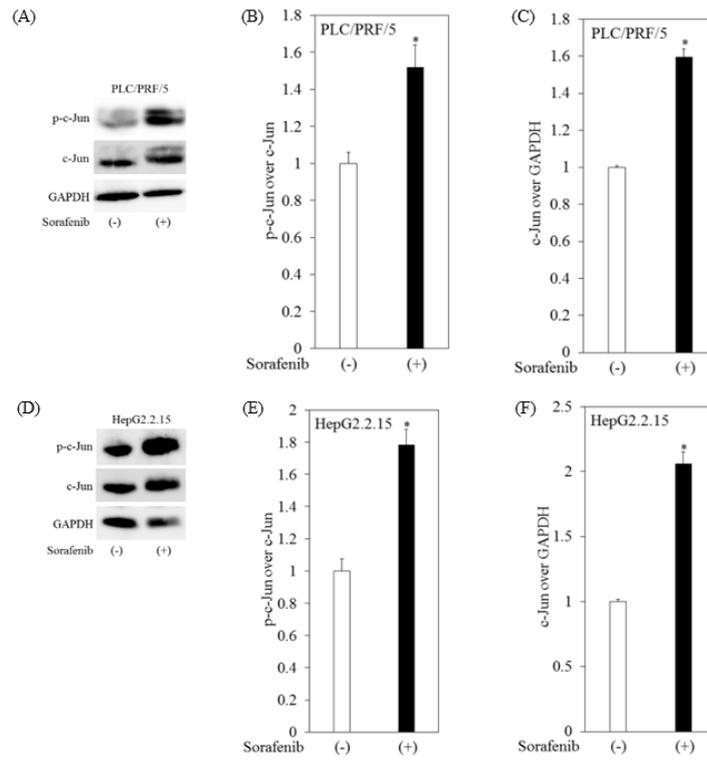
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Fig 2



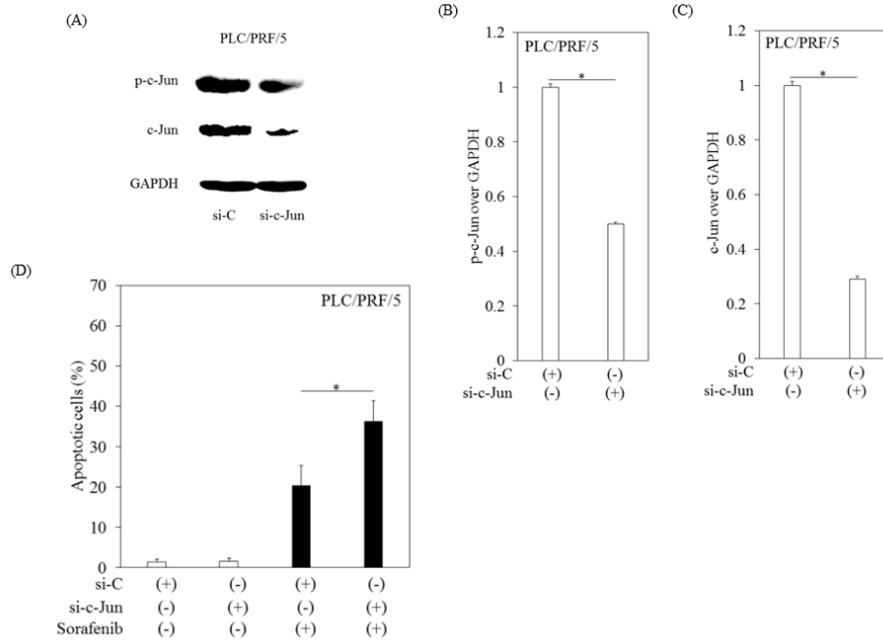
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Fig 3



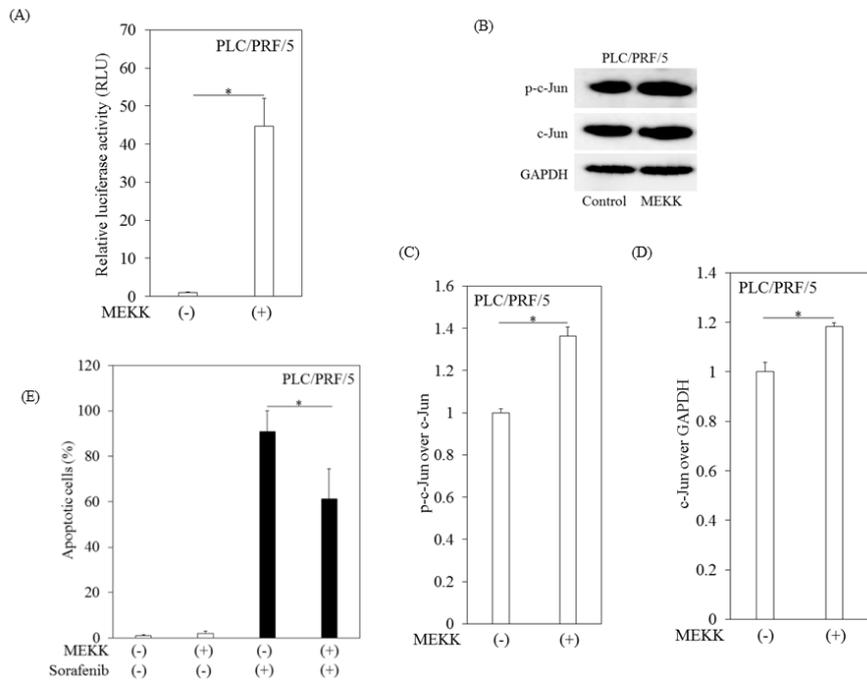
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Fig 4



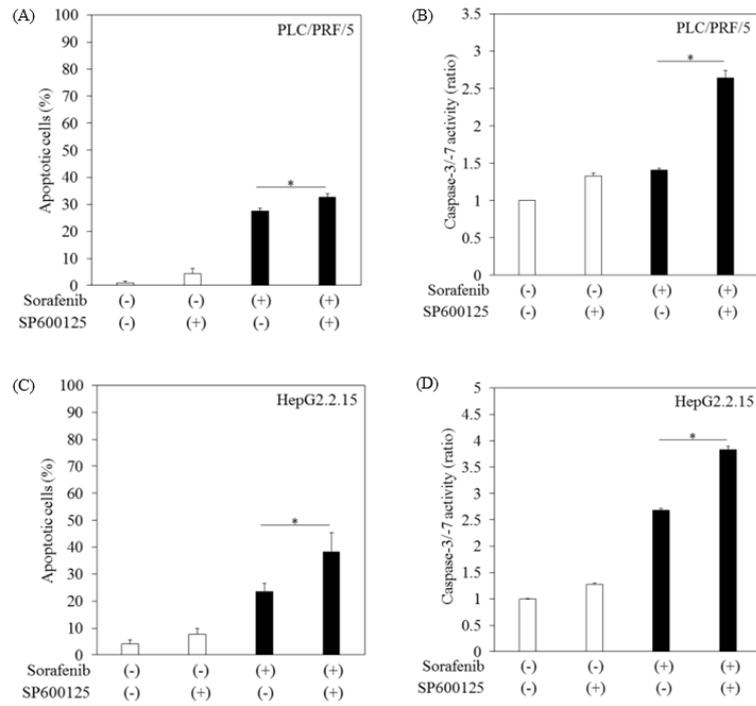
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Fig 5



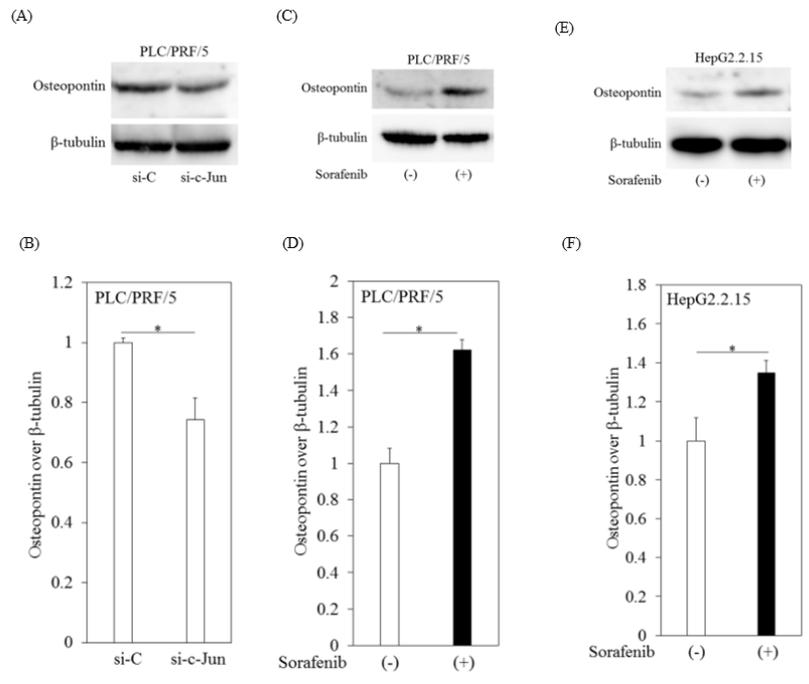
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Fig 6



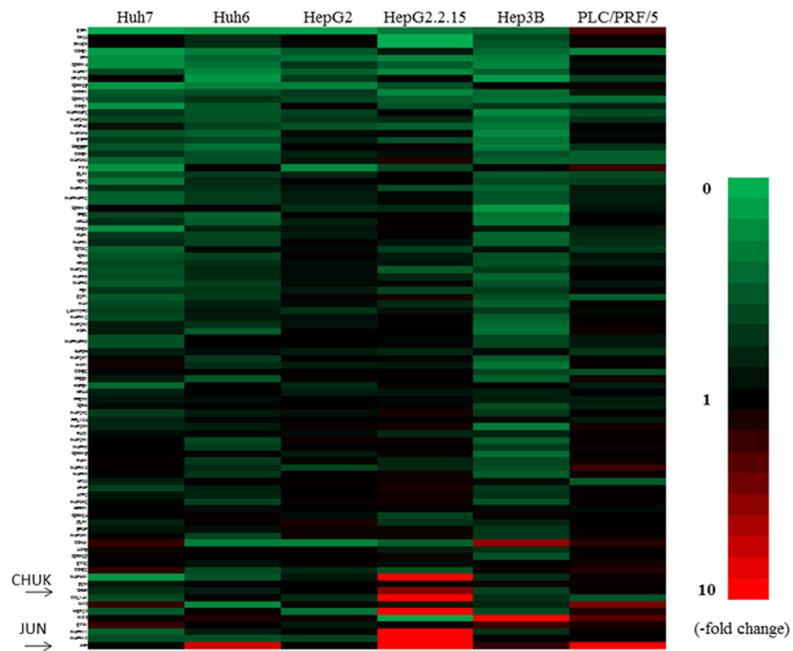
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Fig 7



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S1 Fig.



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