A PROSPECTIVE, MULTI-CENTER, PHASE II STUDY TO EVALUATE THE SAFETY AND EFFICACY OF ECULIZUMAB IN SUBJECTS WITH GUILLAIN-BARRÉ SYNDROME

(JET-GBS - Japanese Eculizumab Trial for GBS)

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1. PROTOCOL SYNOPSIS

Title of Study: A prospective, multicenter, Phase II study to evaluate the safety and efficacy of eculizumab in subjects with Guillain-Barré syndrome

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Name of Investigational Product: (Code name: ECU-GBS-001),

Eculizumab, Placebo

Trial site(s): 13 trial sites in Japan

Studied period (years): July 2015 – March 2017 (Enrollment Period July 2015 - June 2016)

Estimated date for first patient enrolled: Q3, 2015

Estimated date LPLV: Q4, 2016

Estimated data lock date: Q1, 2017

Estimated final report date: Q1-Q2, 2017

Phase of development (I/II/III etc.): Phase II, Exploratory (POC: Proof of Concept)

Background and Study Rationale:

Guillain-Barré syndrome (GBS) is an immune-mediated polyneuropathy that usually follows an antecedent infection and causes acute neuromuscular paralysis. GBS is currently classified into the two major subtypes: a classical demyelinating type and axonal variant type. Whereas in Europe and North America demyelinating GBS is the major subtype, in East Asia and Central and South America, axonal GBS is found in 30~65% of patients. Although the pathophysiology of GBS has not been fully understood, major advances have been made in understanding the pathophysiology particularly for the axonal form of GBS. It is now established that axonal GBS is caused by molecular mimicry of human gangliosides by the *Campylobacter jejuni* lipo-oligosaccharides. Autoantibodies bind to GM1 or GD1a at the nodes of Ranvier, activate complements, and disrupt sodium channel clusters and axo-glial junctions, resulting in the nerve conduction failure and muscle weakness. *C. jejuni* infection induces production of antibodies, which cross-react with gangliosides on the human nerve axolemma, and activate the complements, resulting in formation of membrane attack complex (MAC). The pathology leads to axonal degeneration.

The standard treatments for GBS are plasma exchange and intravenous immunoglobulin and the disease progression reaches its nadir within 4 weeks. However, during the acute phase, 18-28 % of the patients require artificial ventilation and 4.1-6.3 % of the patients die of complications. Recovery takes several

months or years, and 16.7-19.7 % of the patients still require aid to walk one year after onset. Because of such serious disability of GBS patients, an alternative novel therapy that can prevent death during acute phase or severe sequelae is needed.

Eculizumab is a humanized monoclonal antibody of murine anti-human C5 antibody and specifically binds to the final activation complement component C5 and inhibits MAC formation by suppressing the cleavage reaction of C5 into C5a and C5b. The efficacy of eculizumab against GBS has been shown in a model of axonal GBS. At present, there are no animal models of demyelinating GBS. However, autopsy studies have shown that C3d and C5b-9 (MAC) are deposited on the Schwan cells, and therefore eculizumab can be effective also for demyelinating GBS.

This clinical trial will be conducted to investigate the efficacy and safety of eculizumab for GBS to warrant future global clinical trials. Moreover, we will also study the relationship between the efficacy and clinical subtypes of GBS, such as axonal or demyelinating form. Our trial will provide insights on whether the future global developmental plan should target the whole spectrum of GBS world-wide or focusing on Asia and South America.

Primary Objectives:

To characterize the overall efficacy, safety, and tolerability of eculizumab in GBS subjects.

Study Design:

Prospective, multi-center, placebo-controlled, double-blinded, and randomized Phase II study

Methodology:

This is a prospective, multi-center, placebo-controlled, double-blinded, and randomized Phase II study to investigate the efficacy of eculizumab and immunoglobulin in patients with severe GBS (progressively deteriorating F3 or FG 4–5 or) within less than 2 weeks from onset of weakness due to GBS. 33 eligible patients who are being considered for or are already administered immunoglobulin will be randomized to eculizumab infusion or placebo infusion at a 2:1 ratio respectively. The placebo is set as a reference, so we will not compare the placebo with eculizumab by statistical hypothesis testing as primary analysis. There will be three periods in this study: the screening period, IP administration period, and post-IP period. The primary endpoints are the incidence of AE/SAEs after treatment with eculizumab and IVIg and the percentage of patients who are able to walk unaided (FG 2 or lower) at 4 weeks. Safety and efficacy will be observed until 24 weeks.

Number of Subjects (planned):

33 subjects (Eculizumab 22 subjects, placebo 11 subjects)

Enrollment and Randomization:

Enrollment is from July 2015 to June 2016. The study population will comprise of 33 patients with severe GBS

(progressively deteriorating FG3 or FG 4–5) who meet the inclusion criteria and do not fall under the exclusion criteria are to be enrolled. Subject allocation is 2:1 and is scheduled for administration of eculizumab to 22 subjects, and the placebo to 11 subjects.

Diagnosis/Case Definition and Main Criteria for Inclusion:

• Patients who have been diagnosed with GBS with reference to GBS NINDS diagnostic criteria (Table 3) and those who meet all the following inclusion criteria and do not fall under any of the exclusion criteria will be included in this trial.

Inclusion Criteria:

- 1. Patients ≥ 18 years of age at the time of informed consent
- 2. Patients with onset of weakness due to GBS less than 2 weeks before the time of consent
- 3. Patients unable to walk unaided for ≥5 meters (progressively deteriorating FG3 or FG 4–5)
- 4. Patients who are undergoing or are deemed eligible for and will start IVIg treatment (Generally, 400mg/kg over 5 days)
- 5. Patients who can start their first dose of eculizumab within 2 weeks from onset of weakness and before the end of the IVIg treatment period
- 6. Female patients of child bearing potential with a negative result in their pregnancy test. All patients must be able to practice an effective, reliable, medically approved method of contraception during the IP administration period and up to 5 months after IP administration is ended.
- 7. Patients who can be hospitalized during the IP administration period
- 8. Patients who have signed the informed consent form

Exclusion Criteria:

- 1. Patients who are being considered for or are already on plasmapheresis
- 2. Patients who are pregnant or lactating
- 3. Patients showing clear clinical evidence of peripheral polyneuropathy other than GBS, e.g. diabetic (except for mild sensory disturbance) or severe vitamin B1 deficiency related
- 4. Patients who have received immunosuppressive treatment (e.g. azathioprine, cyclosporine, tacrolimus, or >20 mg prednisolone daily) during the 4 weeks prior to providing consent
- 5. Patients who are known to have severe concurrent disease (such as malignancy with uncontrolled primary tumors or metastatic lesions, severe cardiovascular disease, severe COPD, or TB)
- 6. Patients who are unable to comply with study procedures and the treatment regimen
- 7. Patients who have received rituximab within 24 weeks prior to providing consent
- 8. Patients with unresolved Neisseria meningitidis infection or a history of meningococcal infection.
- Patients with active infectious diseases determined by the Investigator or Subinvestigator to be clinically severe, and are not being appropriately treated with antibiotics
- 10. Patients who cannot be treated with antibiotic prophylaxis due to allergies
- 11. Patients who are allergic to eculizumab
- 12. Patients who are known to have or are suspected of having hereditary complement deficiencies
- 13. Patients who have been administered another investigational product within 12 weeks prior to

providing consent or are currently participating in another trial

- 14. Patients with any condition that, in the opinion of the Investigator or Subinvestigator, could increase the patient's risk by participating in the study or could confound the outcome of the study
- 15. Patients who have a history of eculizumab treatment for GBS

Investigational Product (IP), Dosage and Mode of Administration:

Intravenous administration of eculizumab 900 mg (3 vials) or matching placebo once a week for a total of 4 times

Duration of treatment: 4 weeks

Concomitant Medications: Immunoglobulin

Prohibited Medications: Rituximab/Plasmapheresis/ Steroid Pulse Therapy (500mg/day of methylprednisolone or equivalent)/Immunosuppressive drugs/Other investigational drugs

Prophylactic Treatment against Neisseria Meningitidis Infection

All subjects enrolled in this trial must receive either one of the below prophylactic treatments against *N.meningitidis* infection. Prophylactic antibiotics should be prioritized over vaccination. Administration of the vaccine will only be possible for individual subjects whom the Investigator/Subinvestigator determines that the positive effects of administering the meningococcal vaccine outweighs the potential risks of long-term administration of antibiotics. The Investigator/Subinvestigator should refer to local immunization practice guidelines when deciding on the type of prophylactic treatment.

1. Prophylactic Antibiotics

A suitable antibiotic should be administered starting from Day 1. Based on the half-life of eculizumab, which causes reduction in terminal complement activity, the antibiotics should continue to be administered for 8 weeks after the final administration of eculizumab.

Example: Ciprofloxacin 500mg /day, oral intake once a week

2. N. Meningitidis Vaccine and Prophylactic Antibiotics

Vaccine against *N. Meningitidis* should be administered immediately after Day 1. In the event that the vaccine is chosen, prophylactic antibiotics will be administered for 3 weeks, starting from the vaccination date on Day 1. The Meningococcal vaccine Menactra® has been approved in Japan as of July 2014, and its use is under consideration.

Endpoints:

The safety and efficacy of eculizumab will be assessed by the below endpoints. The baseline for assessment will be set as the first day of administration. (Day 1)

Primary endpoints:

- [Safety] Frequency and severity of incidence of AE/SAEs after treatment with investigational product.
- [Efficacy] Proportion of subjects who reach a score of FG2 or lower on the Hughes functional grade at

Week 4 (Response Rate)

Secondary Endpoints:

- 1. Proportion of subjects with improvement of one or more scores on the functional grade from baseline at each visit
- 2. Proportion of subjects who are able to walk unaided (FG2 or lower) at each visit
- 3. Duration required for improvement by at least one grade on the Hughes functional grading scale
- 4. Proportion of subjects who reach FG1 or 0 at Week 24
- 5. Change in the FG score between peak disability score and the scores at each visit
- 6. Proportion of subjects with a clinically relevant improvement in the R-ODS score. An increase in the R-ODS score (0-48) converted to the centile metric score (0-100) by at least six points at each visit
- 7. Proportion of subjects with a clinically relevant improvement in ONLS. (a decrease in the ONLS score from baseline by at least 1 point) at each visit
- 8. Proportion and frequency of subjects who require ventilatory support (FG5)
- 9. Duration of ventilatory support
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- 11. Overall survival from the start of the IP administration period until the end of the post IP period (OS)
- 12. Change in grip strength at each visit from baseline
- 13. Change in results of the manual muscle test (MMT score) at each visit from baseline
- 14. Change in the rate and results of below measures on median and ulnar nerve conduction test parameters from baseline: CMAP amplitude, distal latency, motor nerve conduction velocity, F wave latency, SNAP amplitude, sensory nerve conduction velocity
- 15. Change in vital capacity and % vital capacity at each visit from baseline
- 16. Proportion of patients who undergo re-administration of IVIg

Exploratory endpoints:

- Anti-ganglioside antibodies (Antibodies to GM1, GD1a, GalNAc-GD1a, GQ1b, and their ganglioside complexes)
- · Concentration of eculizumab in serum
- · Hemolytic complement activity in serum

Statistical Methods:

Statistical analysis and reporting of this trial will be conducted in accordance with the CONSORT guidelines, with the primary analyses based on the intent-to-treat principle without imputing any missing observations. All efficacy analyses are primarily based on the full analysis set (FAS), which includes all patients who have received one dose of the study treatment. The baseline demographic and clinical characteristics are summarized with frequencies and proportions for categorical data and means and SDs for continuous variables. Patient characteristics are compared using Fisher's exact test for categorical outcomes and t-tests or the Wilcoxon Rank Sum Test for continuous variables, as appropriate.

The main purpose of this exploratory study is to assess the 4-week response proportion of FG= <2. Although we will not compare the placebo and eculizumab by statistical hypothesis testing as a primary analysis, the response proportion of each group is calculated along with corresponding one-sided, exact binomial 90% confidence intervals (CIs). All statistical analyses are performed using SAS software version 9.4 and the R statistical program, version 2.13. Furthermore, all statistical analyses are described in the statistical analysis plan (SAP), and the SAP is fixed prior to database lock.

Sample Size Considerations:

The sample size is determined based on our epidemiological analysis in our data for the patients treated with IVIg. We assumed that a 4 week response threshold is 50% as H0 (null hypothesis), whereas we have predicted that the expected response rate will be 80%, as H1 (alternative hypothesis) from the point of clinical significance, health economics, and feasibility. With these assumptions, a sample size of approximately 20 subjects provides 80% power with one-sided α of 5%. Considering the probability of discontinuation, the number of patients in the eculizumab group is set at 22. In order to collect efficacy and safety data in the placebo group, 11 patients will be randomly assigned to the placebo group, but they will not be compared to the eculizumab group. Therefore, for this study the total sample size is set at 33 patients.

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4. LIST OF ABBREVIATIONS AND DEFINITIONS OF TERMS

The following abbreviations and special terms are used in this study protocol.

Table 1 . Abbreviations and Special Terms

Abbreviation or Special Term	Explanation	
AG	Arm Grade	
aHUS	Atypical Hemolytic Uremic Syndrome	
AIDP	Acute inflammatory demyelinating polyradiculoneuropathy	
AMAN	Acute motor axonal neuropathy	
Cmax	Maximum concentration	
Cmin	Minimum concentration	
EIU	Exposure in utero	
FG	Functional Grade	
GBS	Guillain-Barré Syndrome	
GCP	Good Clinical Practice	
ICH	International Conference on Harmonisation of Technical Requirements for Registration of Pharmaceuticals for Human Use	
IgA	Immunoglobulin A	
IgG	Immunoglobulin G	
IgM	Immunoglobulin M	
IP	Investigational Product	
IVIg	Intravenous Immunoglobulin	
MAC	Membrane Attack Complex	
MedDRA	Medical Dictionary for Regulatory Activities	
MFS	Miller Fisher syndrome	
MHLW	Ministry of Health, Labour, and Welfare	
MMT	Manual muscle testing	
MRC	The Medical Research Council of Great Britain	
ONLS	Overall Neuropathy Limitations Scale	
PD	Pharmacodynamics	
PK	Pharmacokinetics	
PNH	Paroxysmal nocturnal hemoglobinuria	
POC	Proof of Concept	
PMDA	Pharmaceuticals and Medical Devices Agency	
R-ODS	Rasch-built Overall Disability Scale	

Definition of Terms

Terms	Definition
Investigational product	Eculizumab and/or placebo
Active product	Eculizumab
Relapse	A clear exacerbation of GBS after 4 weeks of first showing symptoms. Treatment related fluctuation is not included.
Discontinuation of IP	Cessation of IP administration at a certain dose. Said dose will not be re-administered later.
Suspension of IP	Temporary halting of IP administration at a certain dose. Said dose may be re-administered later.
Withdrawal of subject	Early discontinuation of subject's participation in the trial.

5. INTRODUCTION

5.1. Guillain-Barré syndrome (GBS)

Guillain-Barré syndrome (GBS) is an immune-mediated polyneuropathy that usually follows an antecedent infection and causes acute neuromuscular paralysis¹. GBS occurs in the general population at a rate 1.15 cases per 100,000 persons per year and the gender ratio is 3:2, more common in males². GBS occurs in all age groups and the average age of onset is fairly young at 39 ± 20.0 years of age². The paralysis reaches its nadir within 4 weeks and resolves over months or years¹.

GBS is classified into two major subtypes: Acute inflammatory demyelinating polyneuropathy (AIDP) and Acute motor axonal neuropathy (AMAN). Whereas AIDP is common in Europe and North America, AMAN is comparatively more common in Asia and Central America³. Whereas the pathophysiology of GBS has not been fully understood, an autoimmune response directed against antigens in the peripheral nerves triggered by a preceding infection is assumed as a favored hypothesis⁴. Over the past two decades major advances have been made in the understanding the pathophysiology, particularly for the axonal form of GBS³.

It is now established that axonal GBS is caused by molecular mimicry of human gangliosides by the *Campylobacter jejuni* lipo-oligosaccharides⁵. *C. jejuni* is the most frequent antecedent pathogen for GBS^{3,6}. The terminal structures of *C. jejuni* LOS are identical to human gangliosides expressed on the surface of human peripheral axons⁷. *C. jejuni* infection induces production of antibodies which cross-react with gangliosides on the axolemma, such as GM1 or GD1a^{7,8}. IgG antibodies deposited at the nodes of Ranvier of the axons activate the complement system and resulting the formation of membrane attack complex (MAC), which impairs voltage-dependent Na channels at the nodes and then, leads to axonal degeneration^{3,9}. In demyelinating GBS, the target molecule(s) in the Schwann cell membrane or myelin sheath have not yet been identified, and therefore the mechanisms for demyelination have not been elucidated. However, autopsy studies of demyelinating GBS patients have shown C3d and C5b-9 are deposited along the outer surface of the Schwann cells, and suggest that antibodies that are directed against epitopes on the Schwann cells and resulting complement activation are also closely associated with myelin destruction¹⁰.

5.1.1. Unmet medical needs for GBS

The standard treatment for GBS is plasma exchange or intravenous immunoglobulin^{4,11}. The disease progression reaches its nadir within 4 weeks, but during the acute phase, 18-28 % of the patients require artificial ventilation and 4.1-6.3 % of the patients die of complications¹². Recovery takes several months or years, and 16.7-19.7 % of the patients still require aid to walk one year after onset¹². New treatment options are required to improve the survival and functional recovery.

5.1.2. Eculizumab

Eculizumab is a humanized monoclonal antibody of murine anti-human C5 antibody. It specifically binds to the terminal activation complement component C5 and inhibits MAC formation by suppressing the cleavage reaction of C5 into C5a and C5b. The complement is activated by either the classical pathway, substitute pathway or lectin pathway, which all lead to C3. Later, this activation cascade produces C5 converting enzyme which activates C5 and C5 is cleaved into C5a and C5b. C5a is a potent anaphylatoxin, chemotactic factor and cell activation factor that induces multiple types of inflammation promoting activity. C5b recruits C6-9 components to form MAC as C5b-9 and leads to cell disorders. Eculizumab binds to C5 and inhibits the degradation of C5 in this cascade and has been designed to inhibit subsequent production of inflammatory products.

At present, eculizumab is indicated for paroxysmal nocturnal hemoglobinuria¹³ and atypical hemolytic uremia syndrome¹⁴ which are both diseases with hemolysis due to complement activation as the main disease state. Development to target uremia syndrome caused by Shiga toxigenic Escherichia coli, prevention of antibody-mediated rejection in kidney transplant recipient and systemic myasthenia gravis and recurrent neuromyelitis optica is currently in progress.

Regarding safety, an increase in susceptibility to infection due to inhibition of activation of the terminal complement has been indicated. In particular, susceptibility to infection by capsulated cocci (especially *N. Meningitidis*) increases. Therefore, patients in maintenance treatment against chronic disease are recommended to be vaccinated against *N. meningitidis* at 2 weeks prior to receiving eculizumab administration.

5.1.3. Eculizumab in GBS

The efficacy of eculizumab against Guillain-Barré syndrome has been shown in a model of axonal GBS¹⁵. Eculizumab effectively inhibited MAC formation and deposition on the axolemma and prevent respiratory paralysis and associated functional and morphological hallmarks of terminal motor neuropathy¹⁵. At present, there are no studies that investigate the efficacy of eculizumab on the demyelinating type of GBS. However, considering the fact that C3d and C5b-9 are deposited on the Schwan cells, eculizumab can be effective also in demyelinating GBS¹⁰.

So far, two clinical trials (Phase II) which investigate the safety and efficacy of eculizumab for GBS are currently in progress: The British study "Inhibition of Complement Activation (Eculizumab) in Guillain-Barre Syndrome Study (ICA-GBS)" and this study. However, because the axonal form is extremely rare in UK, the ICA-GBS study cannot examine the efficacy of eculizumab for axonal GBS. The inhibition of disease progression by eculizumab was clearly shown only in the animal model of axonal GBS. Therefore, the purpose of our trial is not only to investigate the efficacy and safety of eculizumab in GBS and but also to investigate the relationship between the efficacy and clinical subtypes of GBS, because the frequency of axonal and demyelinating forms of GBS are closely similar in Japan. Our trial will provide insights on whether future global developmental plans should be targeted globally or focused on Asia and South America.

5.2. Study Drug Clinical Data

5.2.1. Dose selection/Treatment Regimen/Administration for GBS

GBS is an acute disease and typically reaches its nadir within 2-4 weeks from the onset of symptoms, and being able to reliably inhibit complement activation and prevent damage to the nervous system is of the utmost importance when discussing treatment. It is, therefore, absolutely necessary for the treatment to be able to reliably inhibit complements starting from the first dose.

Eculizumab has been approved for the indication of PNH in Japan and several other countries. Based on a the recommended dosage of 600mg weekly for the first 4 weeks, followed by 900 mg for the fifth dose 1 week later, then 900 mg every 2 weeks thereafter. Under the dosage and administration, the serum eculizumab concentrations greater than 35 μ g/ml inhibit the activation of terminal complement complex to bring about hemolytic improvement in the patient.

Atypical hemolytic uremic syndrome (aHUS) is a rare disease that involves complement mediated thrombotic microangiopahty, leading to various aHUS symptoms such as kidney failure or possibilities of death. Therefore, it is crucial to maintain the terminal complement inhibition completely and continuously for the disease. The target trough serum concentration is 50µg/ml by the dosing regimen of 900mg (induction dose) and 1200mg (maintenance dose), which have been approved for efficacy and safety for aHUS.

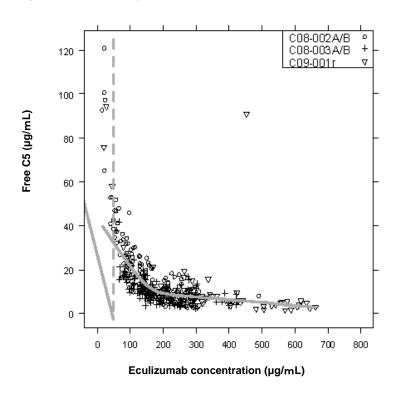
Empirical data from aHUS clinical trials indicate that serum eculizumab concentrations greater than 50 μ g/mL and closer to at least 100 μ g/mL were required to significantly reduce free C5 concentrations (Fig.1). Based on the free C5 PK/PD model, mean C_{max} and C_{min} values of eculizumab during the Induction Phase (900 mg dose weekly) would result in 92.9% and 91.8% inhibition of free C5, respectively. Similarly, mean C_{max} and C_{min} values of eculizumab during the Maintenance Phase (1200 every 14 days) would result in 93.4% and 92.8% inhibition of free C5, respectively. When hemolytic activity is measured by a validated PD assay, a significant and continuous final complement inhibition was confirmed for all patients who reached serum eculizumab concentrations of over 100 μ g/mL. (Figure 2)

This dosage and administration method of this trial are based on the analysis of the above PNH and aHUS clinical research data. With 50 to $100\mu g/ml$ set as the target concentration in order to reliably inhibit complement activation, a dosage of 900mg weekly has been deemed appropriate.

Furthermore, in cases of Guillain-Barré syndrome, while complement activation is dependent on antiganglioside antibody deposits, the disease usually reaches its nadir within 4 weeks of onset, so the IP will be administered in the requisite minimum appropriate number of 4 doses at the start of treatment (Day 1, within two weeks of the onset of weakness), Day 8, Day 15, and Day 22.

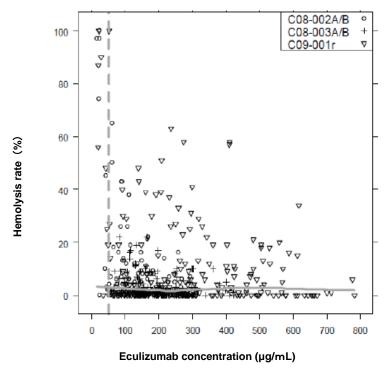
Subjects will be admitted to the hospital and administered 900 mg of Eculizumab (3 vials) or placebo intravenously over the course of 4 weeks.

Figure 1. Relationship between Eculizumab and Free C5 Inhibition



- CO8-002A/B, Co8-003A/B
 Prospective, Phase II, Open-label Trial for
 Plasmapheresis Resistant aHUS (Adults and Adolescents)
- C09-001r
 Retrospective Study of Pediatric and Adults of aHUS

Figure 2. Relationship between Eculizumab Serum Concentration and Hemolysis Rate (Induction period and Maintenance period)



5.3. Possible Side Effects/ Benefits

5.3.1. Serious Side Effects

The following side effects have been reported to possibly occur with eculizumab administration:

1. N. meningitidis

An increase in susceptibility to infection due to inhibition of activation of the terminal complement components has been indicated. In particular, susceptibility to infection by capsulated cocci (especially *N. Meningitidis*) is increased. Therefore, patients who are administered eculizumab are required to receive preventive measures against *N. meningitidis*.

2. Infusion reaction

Administration of eculizumab may result in infusion reactions. Severe infusion reaction such as anaphylaxis or other hypersensitivity reactions may cause life threatening or fatal conditions.

5.3.2. Benefits

At present the benefits of eculizumab administration are unclear, but there is a possibility that with the inhibition of complement activation and MAC formation by eculizumab, the level of severity at peak, the rate of sequelae, and the number of days until recovery could be reduced.

6. STUDY OBJECTIVES AND PURPOSE

The purpose of this study is to characterize the overall efficacy, safety, and tolerability of eculizumab in GBS subjects.

6.1. Primary Objectives

6.1.1. Primary Safety Endpoint

Expressed frequency and severity of incidence of AE/SAEs after treatment with investigational product.

[Rationale for Setting the Safety Endpoint]

Administration of eculizumab has never been used as a treatment for GBS up to present. Therefore, it is necessary to collect safety information as widely as possible.

6.1.2. Primary Efficacy Endpoint

• Proportion of subjects who reach a score of FG2 or lower on the functional grading scale at Week 4 (Response Rate)

Table 2. Functional Grading Scale (Hughes et al, Lancet 1978)

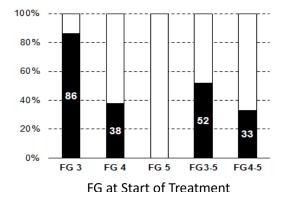
Score	Description
0	Healthy
1	Minor symptoms or signs of neuropathy, but capable of running
2	Able to walk 5 meters independently
3	Able to walk 5 meters with a walker or support
4	Confined to bed or chairbound
5	Requiring assisted ventilation
6	Dead

[Rationale for Setting of Primary Efficacy Endpoints]

Indicators used for primary efficacy endpoints should comprehensively assess clinical severity and be prevalent. FG can comprehensively assess the level of motor function, which is the main symptom of GBS, and is widely accepted as an evaluation scale for GBS. Furthermore, it has been used in numerous clinical trials as primary or secondary efficacy endpoints, and has been useful in meta-analysis. In recent clinical trials, an improvement of one grade on the functional outcome is often set as a primary endpoint. However, even in subjects at FG4-5 who show an improvement of one grade in functional outcome, physical disability (unable to walk or stand) still remains and the endpoint should be further reviewed. Therefore, taking the high cost of the study drug into consideration, in this trial we have set the primary efficacy endpoint as the proportion of subjects who achieve a score of less than or equal to FG2 (able to walk) at Week 4, since this is considered to be more clinically significant.

Figure 3 shows the percentage of subjects who achieved a score of less than or equal to FG2 (able to walk) at Week 4 in patients with GBS of FG3 or higher at the start of treatment, examined at Chiba University Hospital from 1998 to 2014.

Figure 3. Percentage of subjects who achieved a score of FG2 or lower at Week 4 (n=64)



6.2. Secondary Efficacy Endpoints

The efficacy of eculizumab will be assessed by the below endpoints. The baseline for assessment will be set as the first day of administration.

- 1. Proportion of subjects with improvement of one or more scores on the Hughes functional grading scale at each visit from baseline
- 2. Proportion of subjects who are able to walk unaided (FG2 or lower) at each visit
- 3. Duration required for improvement by at least one grade on the Hughes functional grading scale *1
- 4. Proportion of subjects who reach FG 1 or 0 at Week 24
- 5. Change in the FG score between peak disability score and the scores at each visit
- 6. Proportion of subjects with a clinically relevant improvement in the R-ODS score. An increase in the R-ODS score (0-48) converted to the centile metric score (0-100) by at least six points at each visit
- 7. Proportion of subjects with a clinically relevant improvement in ONLS (a decrease in the ONLS score from baseline by at least 1 point) at each visit
- 8. Proportion and frequency of subjects who require ventilatory support (FG 5)
- 9. Duration of ventilatory support
- 10. Occurrence of relapse from the start of the IP administration period until the end of the post IP period *2
- 11. Overall survival from the start of the IP administration period until the end of the post IP period (OS)
- 12. Change in grip strength at each visit from baseline
- 13. Change in results of the manual muscle test (MMT score) at each visit from baseline
- 14. Change in the rate and results of below measures on median and ulnar nerve conduction test parameters from baseline: CMAP amplitude, distal latency, motor nerve conduction velocity, F wave latency, SNAP amplitude, sensory nerve conduction velocity
- 15. Change in vital capacity and % vital capacity at each visit from baseline
- 16. Proportion of patients who undergo re-administration of IVIg
- *1: Investigators will determine the duration required for improvement by at least one grade on the Hughes functional grading scale according to clinical assessments and the subject's diary.
- *2: After four weeks from date of onset the clear relapse of GBS diagnosed according to the NINDS diagnosis criteria. Treatment related fluctuation is not included.

[Rationale for Setting Secondary Efficacy Endpoints]

- 1–5, 7 and 13: Based on the comprehensive and temporal indicators of impaired motor function (the main symptom of GBS)
- 6: Indicator to measure the level of disability in daily life activities

- 8, 9, 15: Use of respirator due to decrease in respiratory function and bulbar paralysis are directly linked to the increase in death rate of GBS and hospitalization period
- 10: Relapse of GBS can affect prognosis
- 11: To evaluate the effect of eculizumab in decreasing the death rate of severe GBS
- 12: Indicator to evaluate the severity of impaired motor functions in the upper extremities (main symptom of GBS)
- 14: Indicator that can objectively and quantitatively evaluate the degree of peripheral nerve disorder
- 16: To evaluate the effect of eculizumab on immunoglobulin re-administration.

6.3. Exploratory Endpoints (Central Clinical Laboratory)

To assess the following:

- 1. Presence of antiganglioside antibodies (Antibodies to GM1, GD1a, GalNAc-GD1a, GQ1b, and their ganglioside complexes)
- 2. Concentration of eculizumab in serum
- 3. Hemolytic complement activity in serum

[Rationale for Setting Exploratory Endpoints]

- 1: Antiganglioside antibodies act as factors of onset and neurological symptom definitions of GBS. By
 measuring the antiganglioside antibodies, subtypes of GBS can be assessed since IgG antigens against any
 gangliosides described above can be seen in axonal GBS.
- 2–3: By measuring the concentration of eculizumab in serum and hemolytic complement activity, the PK/PD characteristics of eculizumab in Japanese patients with GBS can be evaluated.

7. INVESTIGATIONAL PLAN

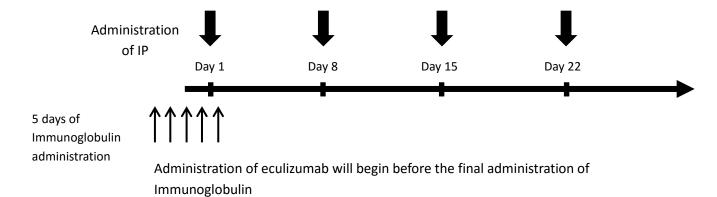
7.1. Overall Study Design

This is a prospective, multi-center, placebo-controlled, double-blinded, and randomized Phase II study to investigate the efficacy of eculizumab and immunoglobulin in patients with severe GBS (progressively deteriorating FG3 or FG 4–5) within less than 2 weeks from onset of weakness due to GBS. Approximately 33 eligible patients who are considered for administration of or already are being administered immunoglobulin will be randomized on Day 1 at a 2:1 ratio to one of the two treatment arms— eculizumab infusion or placebo infusion.

There will be three periods in this study: the screening period, IP administration period, and Post IP period. The IP administration schedule and an outline of the trial design are provided in Figures 4 and 5. The primary endpoints are the incidences of AE/SAEs after treatment with the investigational product, and the percentage of

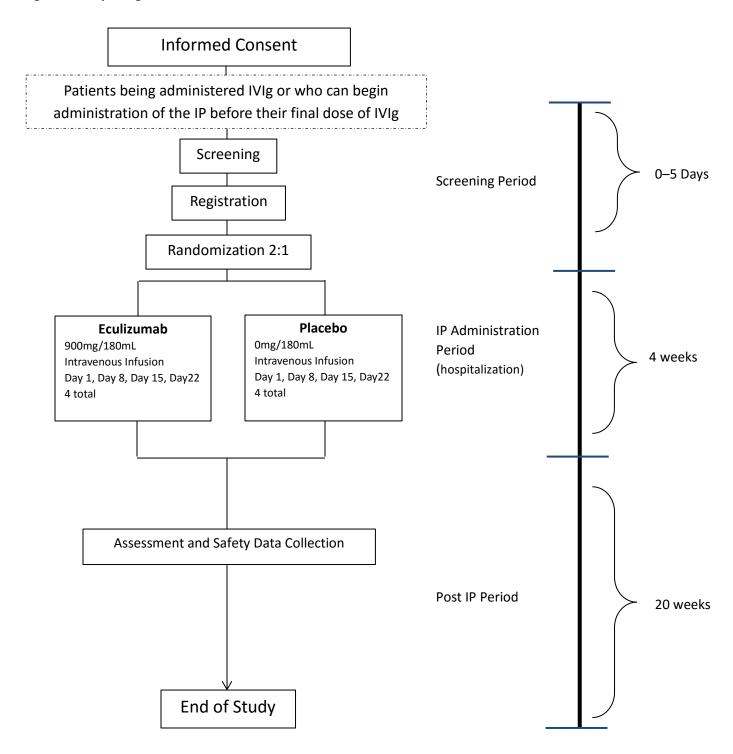
patients who are able to walk unaided (FG 2 or lower) at 4 weeks. Safety and efficacy will be observed until 24 weeks. A schedule of assessments for the screening, IP administration, and Post IP periods is provided in Table 6.

Figure 4. Administration Schedule



7.2. Study Design Diagram

Figure 5.Study Design



7.3. Number of Subjects and Duration of the Study

Participants: A total of 33 subjects with GBS will be enrolled at 13 site hospitals (Eculizumab 22, Placebo 11).

Study duration period: July 2015~ March 2017 (Enrollment period: July 2015~June 2016)

7.4. Target

7.4.1 Target Subjects

Diagnosis/Case Definition and Main Criteria for Inclusion:

Patients who have been diagnosed with GBS with the reference to the NINDS (Table 3) and the following inclusion criteria, and do not conflict with the exclusion criteria will be included in this trial.

Table 3: NINDS Diagnostic Criteria for Guillain–Barré syndrome (GBS)

Features required for	Progressive weakness in both arms and legs (might start with		
diagnosis	weakness only in the legs)		
	Areflexia (or decreased tendon reflexes)		
Features supportive of	Progression of symptoms over days to 4 weeks		
diagnosis	Relative symmetry of symptoms		
	Mild sensory symptoms or signs		
	Cranial nerve involvement, especially bilateral weakness of facial		
	muscles, autonomic dysfunction		
	Pain (often present)		
	High concentration of protein in Cerebrospinal fluid (CSF)		
	Typical electrodiagnostic features		
Features that should raise	Severe pulmonary dysfunction with limited limb weakness at		
doubt about the diagnosis	onset		
	 Severe sensory signs with limited weakness at onset 		
	Bladder or bowel dysfunction at onset		
	Fever at onset		
	Sharp sensory level		
	 Slow progression with limited weakness without respiratory 		
	involvement (consider subacute inflammatory demyelinating		
	polyneuropathy or CIDP)		
	 Marked persistent asymmetry of weakness 		
	 Persistent bladder or bowel dysfunction 		
	 Increased number of mononuclear cells in CSF (>50 × 10E6/L) 		
	Polymorphonuclear cells in CSF		

Reference:

Adapted from Asbury AK, Cornblath DR. Assessment of current diagnostic criteria for Guillain–Barré syndrome. Ann Neurol 1990; 27 Suppl:S21–4.

[Rationale for setting of target subjects]

The GBS diagnostic criterion was proposed by Asbury et al. in 1978. It has been revised and currently the GBS diagnostic criteria used frequently is that of Asbury and Cornblath with some modifications. It has been also adopted as the diagnostic criteria for this trial. However, there are some aspects of the post-onset diagnosis that do not meet the diagnostic criteria listed above. Therefore, the NINDS Diagnostic Criteria for GBS will be used as reference.

7.4.2. Subject Inclusion Criteria

For an eligible subject, all inclusion criteria must be answered "yes".

- 1. Patients ≥ 18 years of age at the time of obtaining informed consent
- 2. Patients with onset of weakness due to GBS less than 2 weeks before the time of consent
- 3. Patients unable to walk unaided for ≥5 meters (progressively deteriorating FG3 or FG 4–5)
- 4. Patients who are undergoing or are deemed eligible for and will start IVIg treatment (Generally, 400mg/kg over 5 days)
- 5. Patients who can start their first dose of eculizumab within 2 weeks from onset of weakness and before the end of the IVIg treatment period
- 6. Female patients of child bearing potential with a negative result in their pregnancy test. All patients must be able to practice an effective, reliable, medically approved method of contraception during the IP administration period and up to 5 months after IP administration is ended.
- 7. Patients who can be hospitalized during IP administration period
- 8. Patients who have signed the informed consent form

[Rationale for Setting Inclusion Criteria]

- 1, 6, and 7: In order to ensure the safety of the subjects.
- 2, 5: In order to set the criteria for patients that could expect efficacy from eculizumab.
- 3: In order to set the criteria for patients who would be considered desirable targets for a new treatment method, as treatment with only IVIg has a possibility of leaving a residual disability.
- 4, 5: In order to establish the efficacy and safety of simultaneous administration of IVIg and the IP.
- 8: Ethical considerations.

7.4.3. Subject Exclusion Criteria

For an eligible subject, all exclusion criteria must be answered "no".

Exclusion Criteria:

- 1. Patients who are being considered for or are already on plasmapheresis
- 2. Patients who are pregnant or lactating
- 3. Patients showing clear clinical evidence of peripheral polyneuropathy other than GBS, e.g. diabetic (except for mild sensory disturbance) or severe vitamin B1 deficiency related
- 4. Patients who have received immunosuppressive treatment (e.g. azathioprine, cyclosporine, tacrolimus, or >20 mg prednisolone daily) during the 8 weeks prior to providing consent
- 5. Patients who are known to have severe concurrent disease (such as malignancy with uncontrolled primary tumors or metastatic lesions, severe cardiovascular disease, severe COPD, or TB)
- 6. Patients who are unable to comply with study procedures and the treatment regimen
- 7. Patients who have received rituximab within 24 weeks prior to providing consent
- 8. Patients with unresolved Neisseria meningitidis infection or a history of meningococcal infection.
- 9. Patients with active infectious diseases determined to be clinically severe by the Investigator or Subinvestigator that are not being appropriately treated with antibiotics
- 10. Patients who cannot be treated with antibiotic prophylaxis due to allergies
- 11. Patients who are allergic to eculizumab
- 12. Patients who are known to have or are suspected of having hereditary complement deficiencies
- 13. Patients who have been administered another investigational product within 12 weeks prior to providing consent or are currently participating in another trial
- 14. Patients with any condition that, in the opinion of the Investigator or Subinvestigator, could increase the patient's risk by participating in the study or confound the outcome of the study
- 15. Patients who have a history of Eculizumab treatment for GBS

[Rationale for Setting Exclusion Criteria]

- 2, 4-5, 7-12, and 14: Set under consideration of the safety of patients
- 1, 3, 6, 13, and 15: Set because it will affect the evaluation of the efficacy of the IP

7.5. Enrollment and Randomization

7.5.1. Allocation of the IP

The drug allocation coordinator will create an allocation table using a reproducible randomization schedule, and the investigational product provider will conduct packaging and labeling of IPs based on the table. The IP randomization table will also be submitted to the Patient Registration Center.

The allocated IP will be delivered to the trial sites promptly after the start of the trial.

7.5.1.1. Blinding of IP in Allocation

The drug allocation coordinator will take measures to ensure that the blinding of the IP is maintained until the key code opening. The blinding of the IP will be performed under the following procedures:

- 1. The drug allocation coordinator will verify that the external appearance and packaging of the eculizumab and placebo are both indistinguishable from each other.
- 2. The Investigator or Subinvestigator will not change the assessment of the subject after the emergency key has been opened in cases of SAE occurrence compared to the assessment before. Unblinded emergency key-codes will be handled as described in the section [12.2.8.4. Procedures to Unblind the Emergency Key-Code].
- 3. The drug allocation coordinator will verify at the time of key -code opening that the medication key-coded randomization table and envelope have been securely stored in a sealed condition.
- 4. At the time of key-code opening, the drug allocation coordinator will ensure that the blinding of the IP has been maintained by verifying that the unadministered/unused IPs had been sealed, the condition of the sealing or unblinding of the emergency key.
- 5. The drug allocation coordinator will verify that the external appearance and packaging of the blinded IP are both indistinguishable, and then proceed with the key-code opening.

7.5.2. Enrollment

After the signed informed consent is obtained and the screening is conducted, the patient will be registered for enrollment in the trial. Patients will be randomized using a web-based, centralized registration system. Online enrollment for this trial is possible 365 days a year, 24 hours a day (excluding system maintenance hours of system), and will be done according to the below procedures:

- 1. After obtaining the signed informed consent, the Investigator or Subinvestigator will perform a screening test and confirm that the subject must fulfill all the eligibility requirements of the inclusion criteria and do not fall under any of the exclusion criteria. For subjects who are determined to be eligible, the Investigator, Subinvestigator, or study coordinator will enter the necessary information on the registration page of the web based registration system and complete the enrollment.
- 2. Upon receipt of the enrollment information, the patient registration center will verify the subject's eligibility according to the inclusion/exclusion criteria, verify that there is no overlapping enrollment, and inform the trial site of the result of the subject's eligibility for enrollment per the web based registration system. If the subject is eligible for enrollment, the subject will be randomized and the medication code will be allocated.
- 3. After verifying that the subject is eligible for the trial and confirming the allocation number via the web based registration system, the Investigator or Subinvestigator will administer the investigational product with the applicable allocation number.

The Investigator and Subinvestigator may not administer the investigational product until the enrollment and randomization of the subject is complete.

7.5.2.1. Handling of Unenrolled Subjects

If randomization is not performed due to reasons such as ineligibility before allocation, the patient will not be enrolled in this trial. In this case the Investigator or Subinvestigator will explain to the subject that his/her enrollment in the trial is not possible.

7.5.3. Randomization

Subjects who are assessed as eligible by the Investigator or Subinvestigator will be randomized on Visit 1 in a 2:1 ratio of eculizumab infusion to placebo infusion. The randomization will be performed by the patient registration center using a program based on an appropriate computer algorithm. Allocation to the eculizumab or placebo group will be performed via the web based registration system, and by a dynamic allocation based on Hughes Functional Grading score (FG=3 or FG≧4) and age (below 60 years of 60 years and older) as the adjustment factors.

[Rationale for Adjustment Factor]

As seen in the retrospective data in Table 4, we assigned an FG score at the start of treatment and age at time of onset as adjustment factors because they affect the impact on FG score at Week 4.

Table 4: Adjustment factors (FG score at the start of treatment and age at time of onset) and impact on FG score at Week 4

	FG score at Start of Treatment		P value
	FG 3 (n=25)	FG 4 and FG5	
		(n=42)	
FG score at 4 weeks after start of	2 (1–4)	4 (2–6)	<0.0001
treatment (median, range)			
Percentage of subjects with a score	86%	32%	< 0.0001
≦FG2 at Week 4			

	Age of onset		P value
	Below age 60 (n=40)	Over age 60 (n=27)	
FG at start of treatment (median,	3 (3–5)	4 (3–5)	0.002
range)			
FG at 4 weeks after start of treatment	2 (1–5)	4 (1–6)	0.0002
(median, range)			
Percentage of subjects with a score	70%	24%	0.0002
≦FG2 at Week 4			

7.6. Study Procedures (At each research site)

7.6.1. Subject Background Information and Medical History

Subject identification code number, gender, birth date, date of obtaining IC, complications, medical history (e.g. GBS, diabetes), current and concomitant medications, weight, height, date of onset of weakness, antecedent infection/onset of weakness of GBS. If height and weight cannot be established, past values may be used.

7.6.2. Vital Signs

Vital signs will be measured at every visit and will include assessments of systolic and diastolic BP, temperature, and HR.

Systolic and diastolic BPs will be documented in mmHg. Temperature will be obtained in degrees Celsius. HR will be documented in beats per minute. Generally, each patient will have the blood pressure tested in the same arm. Measurement device and measurement time will not be indicated.

7.6.3. FG (Functional Grade)

Mobility will be evaluated based on the 6 categories cited in Functional Grading Scale (Table 2). In the FG scores, larger numbers indicate more severe impairment. The Investigator/Subinvestigator will conduct evaluations. While subject diaries are being recorded, FG will be evaluated based on subject self-reports and clinical assessments. After the subject diaries have been recorded, FG will be evaluated based on clinical assessments. Evaluation of subjects should be done by the same person throughout the trial as much as possible.

7.6.3.1. Subject Diaries

From randomization each subject will be given a subject diary at each visit (treatment/examination days). The subject will record his/her FG score in the diary and return it at the next visit (treatment/examination day). The subject will evaluate his or her own FG score every day. If the subject has difficulty completing the diary due to muscular weakness, a family member or another person aside from the evaluating physician may record the subject's self-evaluation in the diary. The subject must continue writing in their subject diary until it has been determined by the investigator that the patient's FG score has improved at least one grade above the baseline. The subject diaries will be treated as source documents for the trial.

7.6.4. ONLS (Overall Neuropathy Limitations Scale)

The subject's symptoms will be evaluated using the ONLS (see Appendix1: Interview of subject regarding subjective symptoms of arms and legs [numbness, hypoesthesia, muscle weakness]). The total score of the symptoms of the arms and legs will be evaluated, and a higher score signifies that the symptoms are more severe. Evaluation of subjects should be done by the same person throughout the trial as much as possible.

7.6.5. Manual Muscle Testing (MMT)

The muscle strength of major muscles in the body will be evaluated through manual muscle testing (MMT). A total of 13 muscle groups will be tested, including both sides of deltoid muscles, biceps brachii, wrist extensors, iliopsoas muscles, quadriceps femoris, and ankle dorsiflexors (tibialis anterior) as well as neck flexors.

Assessment of muscle strength is based on the 5 parameters listed in Table 5, and the grade of each muscle is totaled into a final score. Evaluation of subjects should be done by the same person throughout the trial as much as possible.

Table 5. MMT Score by MRC

The patient's effort is graded on a scale of 0–5:	
Grade 0	No visible contraction
zero	
Grade 1	Visible contraction without movement of the limb
trace	
Grade 2	Active movement of the limb but not against gravity
poor	
Grade 3	Active movement against gravity over (almost) the full range
fair	
Grade 4	Active movement against gravity and resistance
good	
Grade 5	Normal strength
normal	

7.6.6. Grip Strength

Throughout the trial, grip strength will be measured using the same hand dynamometer (Smedley-spring type). When gripping the dynamometer, the handle of the dynamometer will be adjusted so that the second joint of the index finger is bent straight at a right-angle, and the grip used during the initial examination should remain constant over the course of the trial. Grip strength will be measured in the right and left hands, three times each and the average will be recorded in kilograms.

Grip strength should be measured in the following procedures:

- Subjects who can stand in a steady posture: Stand with legs slightly spread, hold arms naturally at the side, and grip firmly.
- Subjects who cannot stand in a steady posture: Sit upright, hold arms naturally at the side, and grip firmly.

[Try to prevent the hand dynamometer from touching the body, clothes, or chair (bed) as much as possible.]

Subjects who cannot remain in a stable sitting posture: Lie horizontally, extend the arms horizontally over the bed (eliminating the force of gravity), and grip the dynamometer placed over the bed firmly.

7.6.7. R-ODS (Rasch-built Overall Disability Scale)

Subjects will use the R-ODS questionnaire (see Appendix 2) to answer questions regarding difficulty of movement in their daily activities. If the subject has difficulty completing the questionnaire due to muscular weakness, a family member or study coordinator aside from the evaluating physician may record the subject's self-evaluation in the questionnaire. A low score indicates more severe symptoms.

7.6.8. Respiratory Function Test

Vital capacity will be measured by a spirometer and recorded in litres (L). If respiratory function cannot be measured due to ventilatory support, the measured value will be treated as a missing value. % Vital capacity will be displayed automatically on the electronic data format using the predicted VC calculated by the VC-J Equation below.

*VC-J Equation (Predicted Vital Capacity)

Men: $0.045 \times \text{height (cm)} - 0.023 \times \text{age} - 2.258$ (L) Women: $0.032 \times \text{height (cm)} - 0.018 \times \text{age} - 1.178$ (L)

7.6.9. Nerve Conduction Study

Nerve Conduction Study: Measure distal latency, CMAP (compound muscle action potential) amplitude (proximal, distal), CMAP duration (proximal, distal), motor nerve conduction velocity, and minimum F-wave latency of the median, ulnar, peroneal, and tibial nerves. Evaluate SNAP (sensory nerve action potential) amplitude and velocity of the median, ulnar, and peroneal nerves. The tests for each individual patient should be conducted on one side of the body, and that side should remain the same throughout the trial period.

(Motor Nerve Conduction Study)

1. Recording electrodes

The active electrode will be placed over the belly of the muscle and the reference electrode placed over the muscle tendon. Generally skin temperature is to be maintained at a minimum of 32°C.

For each nerve that is stimulated, the action potential of the following muscles will be recorded:

- Median nerve: abductor pollicis brevis muscle
- Ulnar nerve: abductor digiti minimi muscle
- Peroneal nerve : extensor digitorum brevis muscle
- Tibial nerve: abductor hallucis muscle (1 cm below and 1 cm behind the navicular tubercle)

2. Stimulation points

The cathode of bipolar stimulating electrodes will be placed on the stimulation point described below and the anode will be placed proximally to the cathode. A single supramaximal stimulus will be given over the nerve and the CMAP will be recorded from a muscle innervated by the nerve.

· Median nerve:

Distal: wrist (3cm proximal to the wrist crease)

Proximal: elbow (cubital fossa)

· Ulnar nerve: elbow is bent at a right-angle when the study is being conducted

Distal: wrist (3cm proximal to the wrist crease)

Proximal: elbow (cubital tunnel)

· Peroneal nerve

Distal: anterior ankle

Proximal: medial biceps femoris tendon, lateral popliteal fossa

Tibial nerve

Distal: behind the medial malleolus Proximal: posterior popliteal fossa

3. Measurement of CMAP amplitude/latency and calculation of motor nerve conduction velocity

CMAP amplitudes will be measured from the baseline to the peak of negative deflection (negative-peak amplitude). The CMAP duration will be measured from the beginning of the initial deflection to its return to the baseline (negative-peak duration). In case of polyphasic action potential, the duration from the onset of the first negative phase to the return of the last negative phase to the baseline will be measured (total duration).

The motor nerve conduction velocity (NCV) will be calculated based on the distance and the latency difference between the two stimulation sites.

The formula for NCV is:

Distance between two stimulation sites (mm)

NCV (m/sec) =

Latency (in msec) from the proximal stimulation site – Latency (in msec) from the distal stimulation site

4. F-wave Study

The F-wave study will be conducted following CMAP measurement.

The cathode of stimulating electrodes will be placed on the stimulation point described in Section2 and the anode will be placed distally to the cathode. A minimum of ten consecutive stimuli will be applied to each nerve to obtain the F-wave and the shortest F-wave latency will be measured with sensitivity up to 200µV.

(Sensory Nerve Conduction Study)

1. Recording electrode

The sensory nerve conduction study will be performed antidromically by stimulating a nerve proximally and recording it distally. The recording electrodes will be placed over the nerves mentioned below. Skin temperature will be maintained at a minimum of 32 $^{\circ}$ C. Any kind of electrode may be used (such as ring or dish electrodes).

Median nerve

Active electrode: PIP (proximal interphalangeal) joint of the second finger

Reference electrode: 2cm distal to the active electrode

Ulnar nerve

Active electrode: PIP joint of the fifth finger

Reference electrode: 2cm distal to the active electrode

Sural nerve

Active electrode: midpoint between lateral malleolus and Achilles tendon

Reference electrode: 3cm distal to the active electrode

2. Stimulation points

The cathode of stimulating electrodes will be placed on the stimulation point described above and the anode proximal to the cathode. Record the action potential amplitude with the supramaximal stimulus.

- Median nerve: wrist (3cm proximal to the wrist crease)
- Ulnar nerve: wrist (3cm proximal to the wrist crease)
- Sural nerve: posterior part of a lower leg 14cm distal to the active electrode

3. Measurement of SNAP amplitude/latency and calculation of sensor nerve conduction velocity

Record the sensory nerve action potential. SNAP amplitudes will be measured from the baseline to the peak of negative deflection (negative-peak amplitude). The latency will be measured from the point of stimulation to the onset of the initial negative deflection of the SNAP.

The sensory nerve conduction velocity will be calculated based in the distance and the latency.

The formula for NCV is:

7.6.10. ECG

A standard 12-lead ECG will be performed while the subjects are in supine position for at least 3 minutes.

7.6.11. Blood count

Red blood cell count, hemoglobin,, hematocrit, white blood cell count, white blood cell differential (neutrophils, lymphocyte, monocyte, eosinophil, basophil), platelet count

7.6.12. Chemistry panel

Total protein, albumin, urea nitrogen, creatinine, aspartate amino transferase, alanine amino transferase, γ-glutamyl transferase, alkaline phosphatase, lactate dehydrogenase, total bilirubin, glucose, Na, K, Cl, IgA, IgG, and IgM

7.6.13. Urinalysis

Urinary protein, urinary glucose, hematuria

7.6.14 Pregnancy Test

A pregnancy test (urea or serum) must be conducted on all female subjects of child bearing potential during screening, on Day 169, Discontinuation Visit and the Follow up Visit after the discontinuation of the trial.

7.7. Study Procedures (Central Laboratory)

Antiganglioside antibodies and Eculizumab Serum Concentration/Serum Hemolytic Activity will be measured at a central laboratory, and the test results for each subject will be stored in a location undisclosed to outside individuals (i.e. the subjects, Investigator, Subinvestigator) from the start of IP administration until the key code-opening. After receiving contact from the Allocation Coordinator, the data will be disclosed to the Data Management Coordinator and the Statistician.

The residual samples will be handled according to section [16.5 Retention, storage and use of blood samples].

7.7.1. Antiganglioside Antibody

(Antibodies to GM1, GD1a, GalNAc-GD1a, GQ1b and their ganglioside complexes)

All patients must undergo a blood test to measure antiganglioside antibodies on Day 0 or Day 1 before administration of the IP. If for some reason a blood test cannot be conducted before administration of the IP, a blood test taken after administration may be submitted.

7.7.2 Eculizumab Serum Concentration/Serum Hemolytic Activity

Eculizumab Serum Concentration and Serum Hemolytic Activity will be measured in the first ten patients who are administered the IP. Serum for this measurement will be taken at Day 1, Day 8, Day 29 and Day 43.

Serum will be collected before and after IP administration on Day 1 and Day 8. Before administration, the serum will be collected at the baseline or trough value (0-90 minutes before the beginning of IP infusion), and after administration the serum will be collected at peak value (60 min ±10 minutes after the completion of IP infusion).

Blood samples taken for measurements should be collected from a different line from that of IP administration.

7.8. Measurement Restrictions

7.8.1. CH 50

CH 50 measurements are restricted from being performed at each study site starting from Day 1 until the end of the trial period.

[Rationale for excluding CH 50]

Eculizumab administration is known to lower CH 50. Blinding cannot be maintained if CH 50 is measured, so it has been restricted in this trial.

8. TRIAL IMPLEMENTATION SCHEDULE

8.1. Schedule of Assessment

Table 6: Trial Flow Chart for Assessment

Period		Screenin g*8		IP Adm	inistration (Ir	n-Patient)		Post IP Ad	ministration	(Out-Patient)			Follow-up Visit*12
Trial Visit		Visit 1	Visit 2	Visit 3	Visit 4	Visit 5	Visit 6	Visit 7	Visit 8	Visit 9	Visit 10	Visit 11	ET*11	8 weeks after
	Study Days	Day 0	Day 1	Day 8	Day 15	Day 22	Day 29	Day 43	Day 57	Day 85	Day 113	Day 169		the last IP administration
	Study Weeks			Week 1	Week 2	Week3	Week 4	Week 6	Week 8	Week 12	Week 16	Week24		
Time window (da	ays)*1	-5		±2	±2	±2	+7	±7	±7	±7	±7	±7	+7	+7
Informed Conser	nt	•												
Randomization*2	2	•												
Medical History		•												
Body Weight/He	ight*3	•												
IP administration			•	•	•	•								
Chemoprophylax	kis against Infection*5		\leftarrow						 					
	FG	•	•	•	•	•	•	•	•	•	•	•	•	
Clinical	ONLS		•	•	•	•	•	•	•	•	•	•	•	
assessments	MMT score		•	•	•	•	•	•	•	•	•	•	•	
assessifients	Grip strength		•	•	•	•	•	•	•	•	•	•	•	
	R-ODS		•				•		•	•	•	•	•	
Physiological	Vital capacity		*9				•					•	•	
Test	Nerve conduction test						•			•		•	•	
	12-lead ECG			•								•	•	
Clinical Lab Test	Hematological test Blood biochemical test Urine test	•	•	•	•	•	•	•	•	•	•	•	•	•
Antiganglioside a	antibody*6													
Eculizumab concentration*6			●*10	●*10			•	•						
Hemolytic activity*6			●*10	●*10			•	•						
Pregnancy test (urine test)* 7		•										•	•	•
Vital signs		•	•	•	•	•	•	•	•	•	•	•	•	•
Concomitant drug/therapy review		←												+>
AE assessment *8		-												

Footnotes for Table 6:

*1: The time window for each visit is

Day 8, Day 15 to 22: ±2 days,

Day 29: 0 to +7 days for clinical assessments, vital capacity and nerve conduction test, (while -4 to +7 days for other assessments).

Day 43 to 169: ±7 days,

At time of Early Termination (ET) and the last Follow-up Visit: +7 days (however, for Clinical Lab Test, ±7 days).

There should be an interval of more than 6 days between Day 29 and Day 43, and also between Day 43 and Day 53.

- *2: Enrollment will be completed after obtaining signed informed consent, and after eligibility is verified in the screening test.
- *3: If the subject's height and weight cannot be measured, past patient data may be used.
- *4: The IP will be administered after all tests and procedures are completed, except for the blood sampling at *10, which will be collected at IP pre-administration and post-administration.
- *5: As prevention for infectious diseases, chemoprophylaxis will begin at the start of eculizumab infusion on Day 1, and be continued for 8 weeks after the final administration of eculizumab (if eculizumab has been administered 4 times, for a total of 11 weeks). If a vaccine is to be used concomitantly, the vaccine should be administered promptly, the administration of antibiotics will be started at Day 1, and be continued for 3 weeks after the vaccine administration.
- *6: Measurements for Antiganglioside antibodies, Eculizumab concentration, and hemolytic activity will be done at a central laboratory. See section [7.7. Study Procedures (Central Laboratory)].
- 7: A pregnancy test (urinalysis or serum β -HCG) must be performed on all women of child bearing potential at the specified time points and verified to have a negative result. A pregnancy test may also be performed at any visit at the investigator's discretion.
- *8: If an Adverse Event occurs, the event will be followed up until it has stabilized or the subject has returned to the state they were in before administration of the IP or the laboratory results return to baseline or normalize. All AEs must be managed and SAEs must be reported according to section [12.2.8. Management of Adverse Events and Reporting of Serious Adverse Events].
- *9: Blood samples for physiological, clinical, and antiganglioside antibody assessments are to be taken once after obtaining informed consent and before IP administration on Day 1. However, measurement values taken at the screening before obtaining informed consent can be used if they

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are performed as part of a routine examination and the time of measurement falls within the established range. In particular, vital capacity assessment should be done within 1 day prior to administration.

*10: Blood samples for eculizumab concentration and hemolytic activity on Day 1 and Day 8 are to be taken before and after IP administration.

Baseline and trough blood samples for eculizumab concentration and hemolytic activity are to be taken 5-90minutes before the IP administration. Peak blood samples for eculizumab concentration and hemolytic activity are to be taken 60 minutes (±10minutes) after IP administration.

*11: For subjects who withdraw early from the trial, an Early Termination (ET) Visit will be performed at time of termination.

*12: If a subject withdraws from the trial within 8 weeks after the last IP administration, a final follow-up visit will be performed for safety assessment.

8.2. Screening /Visit 1 (Day 0)

After obtaining consent, confirm the eligibility of the subject by conducting the following tests and evaluation. GBS Diagnosis/Case Definition and Main Criteria for Inclusion is to be done as described in section [7.4. Target].

- 1. Confirm the study target (GBS) [Cranial nerve involvement, Sensory symptoms, and CSF examination(Concentration of protein, Cell count)
- 2. Evaluate inclusion/exclusion criteria
- 3. Record patient information (Gender, birthdate, height, body weight, complications, past medical history, etc.)
- 4. Record FG
- 5. Measure vital capacity
- 6. Conduct nerve conduction test
- 7. Record 12-Lead ECG
- 8. Conduct clinical lab tests (hematological testing, blood biochemical testing and urinalysis)
- 9. Measure antiganglioside antibody
- 10. Conduct pregnancy test (urine or serum) on all female subjects with child-bearing potential
- 11. Measure vital signs (systolic and diastolic BP, body temperature, and HR)
- 12. Record concomitant drug and/or treatment
- 13. Confirm that the patient fulfills all of the inclusion criteria and does not fall under any of the exclusion criteria and then register them in the Patient Registration Center.

8.3. IP Administration Period

Subjects will be admitted into hospital care for 4 weeks in general and receive a total of 4 doses of the IP (Day 1, Day 8, Day 15, Day 22). The IP administration start time and end time will be recorded at each time of administration. The primary efficacy endpoint will be evaluated at Day 29.

8.3.1. Baseline/Visit 2 (Day 1)

Conduct the following tests and procedures:

- 1. Record FG
- 2. Record ONLS
- 3. Record manual muscle testing (MMT) score
- 4. Measure gripping strength
- 5. Record R-ODS
- 6. Measure vital capacity*
- 7. Conduct nerve conduction test*

- 8. Record 12-Lead ECG*
- 9. Conduct clinical lab tests (hematological testing, blood biochemical testing and urinalysis) *
- 10. Measure antiganglioside activity *
- 11. Measure eculizumab concentration in serum(both prior to and after IP infusion)
- 12. Measure hemolytic activity in serum (both prior to and after IP infusion)
- 13. Measure vital signs (systolic and diastolic BP, body temperature, and HR)
- 14. Record concomitant drug and/or treatment
- 15. Administer IP (record administration start/end time)
- 16. Evaluate and record any adverse events
- 17. Begin prevention measures for *N. meningitidis* (See section [10.5.Warnings and Preventive Measures]) starting from Day 1

*If one of the procedures from #6-10 is conducted on Day 0, the procedure does not need to be conducted on Day 1.

8.3.2. Visit 3 (Day 8/Week 1)

Conduct the following tests and procedures:

- 1. Record FG
- 2. Record ONLS
- 3. Record manual muscle testing (MMT) score
- 4. Measure gripping strength
- 5. Record 12-Lead ECG
- 6. Conduct clinical lab tests (hematological testing, blood biochemical testing and urinalysis)
- 7. Measure eculizumab concentration rate in serum (both prior to and after IP infusion)
- 8. Measure hemolytic activity in serum (both prior to and after IP infusion)
- 9. Measure vital signs such as systolic and diastolic BP, body temperature, and HR
- 10. Record concomitant drugs and/or treatments
- 11. Administer IP (record administration start/end time)
- 12. Evaluate and record any adverse events

8.3.3. Visit 4 (Day 15/Week 2), Visit 5 (Day 22/Week 3)

Conduct the following tests and procedures:

- Record FG
- 2. Record ONLS
- 3. Record manual muscle testing (MMT) score
- 4. Measure gripping strength
- 5. Conduct clinical lab tests (hematological testing, blood biochemical testing and urinalysis)
- 6. Measure vital signs (systolic and diastolic BP, body temperature, and HR)
- 7. Record concomitant drugs and/or treatments
- 8. Administer IP (record administration start/end time)

9. Evaluate and record any adverse events

8.3.4. Visit 6 (Day 29/Week 4)

Conduct the following tests and procedures:

- 1. Record FG (Primary efficacy endpoint)
- 2. Record ONLS
- 3. Record manual muscle testing (MMT) score
- 4. Measure gripping strength
- 5. Record R-ODS
- 6. Measure vital capacity
- 7. Conduct nerve conduction test
- 8. Conduct clinical lab tests (hematological testing, blood biochemical testing and urinalysis)
- 9. Measure eculizumab concentration in serum
- 10. Measure hemolytic activity in serum
- 11. Measure vital signs (systolic and diastolic BP, body temperature, and HR)
- 12. Record concomitant drugs and/or treatments
- 13. Evaluate and record any adverse events

8.4. Post IP Administration Period

The post IP administration observation period is set for the long-term evaluation of AEs and safety. It will start immediately after the IP administration period is ended and will continue up to Day 169 (Week 24).

8.4.1. Visit 7 (Day 43/ Week 6)

Conduct the following tests and procedures:

- 1. Record FG
- 2. Record ONLS
- 3. Record manual muscle testing (MMT) score
- 4. Measure gripping strength
- Conduct clinical lab tests (hematological testing, blood biochemical testing and urinalysis)
- 6. Measure eculizumab concentration in serum
- 7. Measure hemolytic activity in serum
- 8. Measure vital signs (systolic and diastolic BP, body temperature, and HR)
- 9. Record concomitant drugs and/or treatments
- 10. Evaluate and record any adverse events

8.4.2. Visit 8 (Day 57/Week 8), Visit 10 (Day 113/Week 16)

Conduct the following tests and procedures:

- 1. Record FG
- 2. Record ONLS
- 3. Record manual muscle testing (MMT) score
- 4. Measure gripping strength
- 5. Record R-ODS
- 6. Conduct clinical lab tests (hematological testing, blood biochemical testing and urinalysis)
- 7. Measure vital signs (systolic and diastolic BP, body temperature, and HR)
- 8. Record concomitant drugs and/or treatments
- 9. Evaluate and record any adverse events

8.4.3. Visit 9 (Day 85/Week 12)

Conduct the following tests and procedures:

- 1. Record FG
- 2. Record ONLS
- 3. Record manual muscle testing (MMT) score
- 4. Measure gripping strength
- 5. Record R-ODS
- 6. Conduct nerve conduction test
- 7. Conduct clinical lab tests (hematological testing, blood biochemical testing and urinalysis)
- 8. Measure vital signs (systolic and diastolic BP, body temperature, and HR)
- 9. Record concomitant drugs and/or treatments
- 10. Evaluate and record any adverse events

8.4.4. Visit 11 (Day 169/Week 24) or Early Termination

Conduct the following tests and procedures:

- 1. Record FG
- 2. Record ONLS
- 3. Record manual muscle testing (MMT) score
- 4. Measure gripping strength
- 5. Record R-ODS
- 6. Measure vital capacity
- 7. Conduct nerve conduction test
- 8. Record 12-Lead ECG
- 9. Conduct clinical lab tests (hematological testing, blood biochemical testing and urinalysis)
- 10. Measure vital signs (systolic and diastolic BP, body temperature, and HR)
- 11. Record concomitant drugs and/or treatments
- 12. Evaluate and record any adverse events

13. Conduct a pregnancy test in all female patients of child-bearing potential (urinalysis or serum β -HCG)

8.4.5. Follow-up Visit

Subjects who have withdrawn from the trial within 8 weeks of the final administration will require a follow-up visit for safety assessment at 8 weeks after the final IP administration.

- Conduct clinical lab tests (hematological testing, blood biochemical testing and urinalysis)
- 2. Measure vital signs (systolic and diastolic BP, body temperature, and HR)
- 3. Record concomitant drugs and/or treatments
- 4. Evaluate and record any adverse events
- 5. Conduct a pregnancy test in all female patients of child-bearing potential (urinalysis or serum β -HCG)

8.5. Definition of End of Study

This study is composed of a Screening period, IP administration period, and Post-IP administration period. After giving IC, the subject is considered to have completed the study when he/she completes the Day 169 (Week 24) visit. In the case of early withdrawal, the end of the study for the subject is either the day of the Early Termination visit or the follow-up visit 8 weeks after the final IP administration, whichever is later.

9. DISCONTINUATION/WITHDRAWAL

The discontinuation of the IP administration and the subject's withdrawal are to be distinguished in this trial.

9.1. Suspension or Discontinuation of IP Administration at Visit

If an adverse reaction (e.g. infusion reaction) that cannot be denied its causal relation to the IP occurs during the administration of the IP, the infusion may be suspended or discontinued. In the event of a subject's pregnancy, administration of the IP will be stopped and no further administration will be performed.

9.1.1. Re-administration after Suspension or Discontinuation of IP

For subjects who have had the IP administration suspended due to adverse events, such as an infusion reaction, it is possible to administer the IP at the next visit. However, there are no standards of determining whether or not re-administration of the drug is possible to patients who have experienced a serious infusion reaction. The possibility of re-administration will be determined by a risk benefit

assessment of the administration. In addition, when restarting administration of the IP after a discontinuation caused by other adverse events, re-administration can only be conducted if the Investigator or Subinvestigator determines that it is viable.

9.1.2. Handling of Investigational Product Administration Discontinuation

If all four doses of the IP cannot be administered due to suspension or discontinuation of the IP, tests and assessments will still be performed on subjects according to the trial schedule, unless the subject meets the criteria as described in section [9.2. Subject Withdrawal Criteria].

9.2. Subject Withdrawal Criteria

If the following criteria apply, the subject's enrollment in the trial will be discontinued. The Investigator or Subinvestigator will record the reasons for the subject's withdrawal in the CRF.

1. The subject requests withdrawal from the trial

All patients can withdraw their consent of trial enrollment at any time. Maximum effort will be made to ensure the subject's will to enroll in the trial before the screening test is conducted. If any restrictions such as change in concomitant medications arise for the enrollment in the trial, an adequate explanation will be necessary.

2. The Investigator or Subinvestigator determines that the subject cannot continue his/her enrollment in the trial

e.g.) Event of an infusion reaction that is life-threatening or the event of a systemic infection where the risk of trial enrollment is higher than the subject's benefit of enrollment.

3. There are reasons to determine that continuation of enrollment is difficult

e.g.) Subject does not visit the hospital, subject has changed hospitals.

4. Other cases in which the Coordinating-Investigator determines the subject's withdrawal is necessary due to a major protocol deviation or other reasons.

In case of the subject's withdrawal from the trial, "the day of withdrawal" for the above is defined as:

- (1) The day of event occurrence which is the reason for withdrawal
- (2)-(4) The day the Investigator/Subinvestigator determines the subject's withdrawal

9.2.1. Handling of Subject Withdrawals

If a subject withdraws from the trial for a certain reason, the observation and tests planned for the time of withdrawal will be performed. However, if the subject withdraws from the study before receiving any study treatment, the subject does not require further examination or tests.

For a subject who is discontinued from the trial within 8 weeks after the last IP administration, a follow-up visit will be required for safety assessments.

If a subject withdraws consent from the trial after the study drug has been administered, the data obtained up to the withdrawal will be retained in the same way as the completion of the trial.

10. TREATMENT OF SUBJECTS

10.1. Treatment Compliance

IP infusion will be performed to subjects under the supervision of the Investigator/Subinvestigator or their designee, to ensure that the subject receives the appropriate dose at the appropriate time points during the trial.

10.2. IP Administration Dosage

Each vial of IP will contain either 300mg of eculizumab or matching placebo.

One dose of eculizumab (900mg) or matching placebo will be prepared according to section [11.4. Preparation of Investigational Product] and will be administered intravenously once a week, totaling four doses.

Table 7. Eculizumab Dosage

IP Administration Period	# of Vials	Amount of Eculizumab
Day 1	3	900mg
Day 8	3	900mg
Day 15	3	900mg
Day 22	3	900mg

10.3. IP Administration Method

The first IP administration should be conducted within 2 weeks from the onset of weakness and before the final administration IVIg.

Do not administer the IP as an Intravenous Push or Bolus Injection.

The IP should be administered intravenously over 35 minutes (range 25-45 minutes). It is not necessary to protect the infusion bags from light while IP is being administered to the subject. At the site's discretion, the diluted IP may be administered via a syringe-type pump, or an infusion pump. The intravenous line should be flushed in order to ensure complete administration of the IP.

The subject will be monitored by the medical staff for at least 1 hour following infusion to monitor whether an infusion reaction occurs. IVIg infusion will be performed 1 hour after this observation period.

10.4. Treatment Procedures and Concomitant Medications

10.4.1. Concomitant Medication (IVIg)

400 mg/kg of immunoglobulin (IVIg) will be administered over the course of five days via intravenous injection as described in the packaged insert for IVIg.

If immunoglobulin is administered on the same day as the IP, the subject must be observed for the occurrence of an infusion reaction following the administration of eculizumab. The subject must be observed for at least one hour after the administration of eculizumab, after which the administration IVIg may begin.

Re-administration of IVIg (400 mg/kg over 5 days) may be considered after the start of IP administration and after Day 15, only if the subject is monitored for progressive worsening of symptoms more than one grade on the Hughes functional grading scale compared to before the administration of the IP.

10.4.2. Prohibited Medications/Treatments

The use of the following concurrent medications are prohibited after obtaining informed consent until Day 169/Week 24 (or to during the trial:

- 1. Rituximab
- 2. Plasmapheresis
- 3. Steroid Pulse Therapy (more than 500mg/day of methylprednisolone or its equivalent)
- 4. Immunosuppressive drugs
- 5. Other investigational drugs

10.5. Warnings and Precautions

In this trial, warnings and precautions are required for *N.Meningitidis* infection, infusion reaction, and exposure during pregnancy or lactation. Detailed information should be referred to the current investigator's brochure.

10.5.1. N. Meningitidis Infection

10.5.1.1 Warnings and Precautions

Inhibition of the terminal complement complexes predisposes subjects to infections with encapsulated bacteria. In particular, subjects treated with eculizumab are at increased risk for the development of infection caused by *N.meningitidis*, as infection with this organism is more frequent in subjects who have a terminal complement deficiency. Because eculizumab can directly inhibit complement activation, an

increased susceptibility to infection is a potential adverse effect of eculizumab. Infection to *N.meningitidis* can be life threatening or fatal. Therefore, to decrease the risk of such possible infection, all subjects who have been enrolled in this trial must receive the proper prophylactic treatment against *N.meningitidis*.

10.5.1.2. Prophylactic Treatment against N. Meningitidis Infection

All subjects enrolled in this trial must receive either one of the below prophylactic treatments against *N.meningitidis* infection. Prophylactic antibiotics should be prioritized over vaccination. Administration of the vaccine will only be possible for individual subjects whom the Investigator/Subinvestigator determines that the positive effects of administering the meningococcal vaccine outweigh the potential risks of long-term administration of antibiotics. The Investigator/Subinvestigator should refer to local immunization practice guidelines when deciding on the type of prophylactic treatment.

1. Prophylactic Antibiotics

A suitable antibiotic should be administered starting from Day 1. Because of complement recovery due to eculizumab's half-life, the antibiotics should continue to be administered for 8 weeks after the final administration of eculizumab.

Example: Ciprofloxacin 500mg /day, oral intake once a week

2. N. Meningitidis Vaccine and Prophylactic Antibiotics

The *N. Meningitidis* vaccine should be administered immediately after Day 1. In the event that the vaccine is chosen, prophylactic antibiotics will be administered concurrently starting from Day 1 for 3 weeks after vaccination. The Meningococcal vaccine Menactra® has been approved in Japan as of July 2014, and its use is under consideration.

10.5.1.3. Participant ID Cards

During the study, subjects must carry a participant ID card describing the "alert" symptoms for *N. meningitidis* at all times. Development of the participant ID card will be the responsibility of the Investigator. The triggers for seeking immediate medical attention are any of the following symptoms:

- Headache with nausea or vomiting
- Headache with fever
- Headache with a stiff neck or back
- Fever of 39°C or higher with or without rash
- Onset of confusion
- Severe myalgia with flu-like symptoms
- Sensitivity to light

Any subject experiencing any of the above noted symptoms must see a physician as quickly as possible. For subjects that experience the onset of a systemic infection, if the Investigator or Subinvestigator examines the subject, appropriate cultures have been obtained, administration of antibiotics have begun, and it is deemed that the benefits exceed the risks IP administration may be continued with caution.

The clinical trial will be conducted with careful monitoring of the subject. All AEs including any SAE or AE leading to discontinuation that occur during the study duration must be described in detail, fully evaluated by the Investigator, and reported in the appropriate CRF.

10.5.2. Warning and Precautions against Infusion Reaction

As with all protein products, administration of IP may result in infusion reactions. The Investigator/Subinvestigator should consider premedication of antihistamines or anti-inflammatory drugs prior to dosing as is necessary. If mild to moderate infusion reactions occur, medical treatment, slowing down the infusion rate, or interrupting the infusion may be considered by Investigator/Subinvestigator. Restarting the administration after interruption will be conducted under careful monitoring of the patient's condition.

IP administration should be suspended or discontinued in all subjects experiencing severe infusion reactions and appropriate medical therapy such as oxygen therapy, vasopressor drug administration, bronchodilator administration, corticosteroid administration should be administered if signs of shock, anaphylaxis, cardiovascular instability, or respiratory compromise occur. There are no standards to determine whether or not readministration of the drug is possible to patients who have experienced a severe infusion reaction. The possibility of re-administration will be determined by a risk benefit assessment of the administration.

10.5.3. Cautions for Pregnant or Lactating Females

The safety of eculizumab administration during pregnancy has not been established. Therefore, do not administer eculizumab to pregnant subjects. Female subjects of child bearing potential or subjects with a partner of child bearing potential must be practicing a medically approved contraceptive regimen (e.g.: male condoms, female intrauterine devices/oral contraceptive [pills], tubal litigation] during the administration period and for at least 5 months following the final administration of eculizumab. If the subject becomes pregnant the administration of eculizumab will be discontinued immediately. The Investigator/Subinvestigator will record the exposure during pregnancy of the subject or the subject's partner, the progress of pregnancy will be monitored, and outcomes will be reported.

In addition, because the safety of eculizumab administration during lactation has not been established, breast-feeding to infants should be suspended during the administration period and for at least 5 months following the final administration of eculizumab.

11. INVESTIGATIONAL PRODUCT MATERIALS AND MANAGEMENT

11.1. About the Investigational Product

Eculizumab is a recombinant humanized monoclonal IgG2/4κ antibody produced by murine myeloma cell culture and purified by standard bioprocess technology. Eculizumab contains human constant regions

from human IgG2 sequences and human IgG4 sequences and murine complementarity-determining regions (CDRs) grafted onto the human framework light- and heavy-chain variable regions. Eculizumab is composed of two 448 amino acid heavy chains and two 214 amino acid light chains, and has a molecular weight of approximately 148 kDa.

Each vial of IP contains 300 mg of eculizumab or matching placebo for intravenous administration.

Table 8: Investigational Product Vial

Investigational Product	ECU-GBS-001 10mg/mL, 30mL Vial			
Product Name:	Eculizumab	Placebo		
Dosage Form:	Concentrate for solution for infusion	Solution for infusion		
Unit Dose:	300 mg	0 mg		
Route of Administration:	Intravenous infusion	Intravenous infusion		
Physical Description:	30 mL vial	30 mL vial		
Manufacturer:	Alexion Pharmaceuticals, Inc.	Alexion Pharmaceuticals, Inc.		

11.2. IP Packaging and Labeling

The IP will be released to the study site of the Investigator according to the IP management SOPs. All medication provided to the study site will be prepared, packaged, and labeled by Alexion according to Standard Operating Procedures (SOPs), Good Manufacturing Practice guidelines, International Conference on Harmonization Good Clinical Practice guidelines (ICH GCP), and applicable local laws/regulations.

Each kit will have a single panel label on the vial and packaging describing the contents and a section for the pharmacist to record the subject ID code.

Label for Vial

ECU-GBS-001 Protocol : ⁴ (Eculizumab 10mg/mL or F		Lot # :				
Storage: Store at 2°C-8°C,	protected from light					
Coordinating -Investigator an	Coordinating -Investigator and Address: Expiry Date :					
Prof. Satoshi Kuwabara						
Chiba University Hospital Department of Neurology						
1-8-1 Inohana, Chuo-ku, Chiba 260-8677 Japan						
For clinical trial use only	Subject ID	Code:				

IP Packaging Label

For Clinical Trial Use Only Protocol: 100069 / Code No.:

ECU - GBS - 001

(Eculizumab 10mg/mL or Placebo, 30mL Vial) x Quantity

Lot # : Expiry Date :

Administration Method: Subcutaneous injection

Storage: Store at 2°C-8°C, protected from light

Subject ID Code:

Directions: Refer to protocol for drug handling instructions

Keep out of reach of children
Keep empty vials until collection

Coordinating Investigator and Address:

Prof. Satoshi Kuwabara

Chiba University Hospital Department of Neurology 1-8-1 Inohana, Chuo-ku, Chiba 260-8677 Japan

11.3. Investigational Product Storage

The IP vials must be stored in the original carton until time of use under refrigerated conditions at 2-8°C and protected from light. Do not use beyond the expiration date stamped on the carton. Refer to section [11.5: Administration and Stability of Solution] below for stability and storage of diluted solutions of eculizumab. DO NOT FREEZE AND DO NOT SHAKE.

11.4. Preparation of Investigational Product

An aseptic technique should be used for preparation of the investigational product. Each vial of IP contains 300 mg of active ingredient or indistinguishable placebo in 30 mL of product solution. Eculizumab is to be diluted to a final admixture according to the following steps:

Table 9: Eculizumab and Diluent Volumes

Volume of	Volume of	Total Volume of	Eculizumab Dose	IP Concentration	
Eculizumab	Diluent ¹	Administration			
90 mL (3 vials)	90 mL	180 mL	900 mg	5 mg/mL	

¹ Choose either of 0.9% sodium chloride or 5% dextrose in water

- 1. Remove any excess liquid from the diluent bag, and prepare 90 mL of diluent (either 0.9% NaCl Injection or 5% Dextrose in Water Injection).
- 2. Withdraw the 90mL of IP from the three vials into a sterile syringe.
- 3. Inject the IP into the diluent bag to make a total of 180mL of infusion solution. Gently agitate the infusion bag containing the diluted IP solution to ensure thorough mixing of the product and diluent.
- 4. Prior to administration, the admixture should be allowed to adjust to room temperature. The admixture must not be heated in a microwave or with any heat source other than ambient air temperature.
- 5. The admixture should be inspected visually for particulate matter and discoloration prior to administration.
- 6. The admixture should be used promptly after preparation. However, if the IP is prepared more than 4 hours in advance of a subject's visit, the diluted material should be stored at 2°C to 8°C, and be allowed to return to room temperature by exposure to ambient air prior to administration.

11.5. Administration and Stability of Solution

Admixed solutions of IP are stable for 24 hours at 2°C to 8°C and at room temperature. If the IP is prepared more than 4 hours in advance of a subject's visit, the diluted material should be stored at 2°C to 8°C for a maximum of 24 hours.

11.6. IP Accountability

- 1. The Coordinating-Investigator will deliver the Investigational Product to the Investigator at each site promptly after the start of the trial.
- 2. The Chief IP Management Pharmacist at each site will properly handle and store the IP in accordance with the IP Management SOP provided to the head of the site by the Investigator.
- 3. The Investigator at each site is responsible for creating a written document (when applicable, the IP Management SOP, as described above) explaining the handling of the IP (storage conditions, expiration date). The document will be delivered to the head of the site, Subinvestigator, the study coordinators, and Chief IP Management Pharmacists.

11.7. Drug Management Precautions

All of the IP, both eculizumab and placebo, will use the same kits and labels and the outward appearance of the eculizumab and placebo will be indistinguishable. In this trial, an IP Management Pharmacist will be specifically assigned to manage and maintain the blinding of the IP (IP Management Personnel). The IP

Management Personnel will be uninvolved in the evaluation or the administration of the IP. The details of the IP Management Personnel's role and duties are described in the IP Management SOP.

11.7.1. Handling and Disposal of the Investigational Product

- 1. The IP Management Personnel will confirm the package contents upon receipt of all IPs that are delivered to the site, and take care of all the necessary procedures for the receipt of the IP.
- 2. The IP Management Personnel will record the information regarding the IP deliveries as soon as possible upon receipt.
- 3. The IP Management Personnel will keep the IP in a pharmacy or other locked and secure storage facility under controlled storage conditions.
- 4. Drug accountability logs will be provided to assist the pharmacist in maintaining current and accurate inventory records covering receipt, dispensing, and disposition of the IP. During the study, the following information must be noted in the accountability log: the subject identification code(s), drug allocation code, the date(s) and time that the IP is prepared and dispensed, and the initials of the pharmacist who prepared the IP.
- 5. The IP Management Personnel should manage all information regarding the IP and restrict other staff involved in the trial from accessing said information.
- 6. The Investigator and Subinvestigator should not administer the IP to any person other than the subjects of the study.
- 7. At the completion or termination of this study, the IP Management Personnel will check the total drugs dispensed or destroyed, and complete the inventory records in the drug accountability log. records of receipt and records of dispensed, returned or disposed IPs must be reconcilable.
- 8. The clinical trial monitor will verify the inventory, and after approval from the Coordinating-Investigator is received, the IP Management Personnel will dispose the used, unused, expired, or damaged IPs and empty containers.
- 9. The IP management personnel will dispose the IPs according to the applicable guidelines for disposal of medicines.

12. ADVERSE AND SERIOUS ADVERSE EVENTS

12.1. Adverse Event (AE) Information

The Investigator and the Subinvestigator are responsible for obtaining, assessing, documenting, and reporting information on all adverse events that are directly observed, revealed through questioning patients, or self-reported by patients.

12.2. Adverse Events

12.2.1. Definition of Adverse Events

An adverse event can be any unfavorable and unintended sign (including an abnormal laboratory finding, for example), or symptom or disease temporarily associated with the use of a medicinal product, whether or not it is considered related to the medicinal product.

Exacerbation of a chronic or intermittent pre-existing condition including either an increase in frequency and/or intensity of the condition, and abnormal laboratory findings that are considered to be of clinical significance are all to be considered as adverse events.

Situations where an untoward medical occurrences did not occur (social and/or convenience admission to a hospital), and anticipated day-to-day fluctuations of pre-existing disease(s) or condition(s) present or detected at the start of the study that do not worsen are not Adverse Events.

The Investigator or Subinvestigator must obtain the appropriate information for all adverse events for the following purposes:

- 1. Outcome of adverse events
- 2. Criteria for serious adverse event
- 3. Severity of adverse event
- 4. Causality of the adverse event

For all adverse events, the terms recorded by the Investigator or Subinvestigator will be converted to the terms in the MedDRA/J edition.

12.2.2. Expectedness assessment of Adverse Events

The Investigator should establish the expectedness of AEs with reference to the latest Investigator's Brochure. If the occurrence of an AE (including the frequency and conditions of the AE) can not be predicted based on the relevant information in the Investigator's Brochure of the IP, it will be labeled as "unknown"; otherwise, it will be labeled as "known". However, if a safety information document is sent to the trial sites, it will be treated as a supplement to the Investigator's Brochure, and any relevant information can be used to establish the expectedness.

12.2.3. Serious Adverse Event (SAE) and SAE Criteria

Any adverse event that fulfills any one of the criteria listed below must be recorded as a Serious Adverse Event.

- 1. Results in death
- 2. Is life-threatening
- 3. Requires inpatient hospitalization or prolongation of existing hospitalization

- Hospitalization does not include the following:
 - Rehabilitation facility
 - Hospice facility
 - Nursing facility
 - o Emergency Room
 - Same day surgery
 - Hospitalization or prolongation of hospitalization not associated with an adverse event is not an SAE, and examples include:
 - Admission for a pre-existing condition not associated with either a new AE or with worsening of a pre-existing AE
 - Protocol-specified admission, pre-planned admission
- 4. Results in persistent or significant disability/incapacity
- 5. May lead to disability
- 6. Important medical event according to the above 1 to 5
- 7. Is a congenital anomaly/birth defect in later generations

NOTE: Medical and scientific judgment should be exercised in deciding whether expedited reporting is appropriate in other situations, such as important medical events that may not be immediately life-threatening or result in death or hospitalization but may jeopardize the subject or may require intervention to prevent one of the other outcomes listed in the definition above. These should also usually be considered serious. Examples of such events are intensive treatment in an emergency room or at home for allergic bronchospasm; blood dyscrasias or convulsions that do not result in hospitalization; or development of drug dependency or drug abuse.

A non-serious adverse event is defined as any adverse event that does not fulfill any of the criteria listed above, and that the Investigator or Subinvestigator has determined as non-serious.

12.2.4. Severity Assessment

The Investigator or Subinvestigator will rate the severity of an AE as mild, moderate, or severe according to the following criteria:

Mild: Events that do not interfere with the subject's daily activities, and the required treatment is minimal or no treatment is required.

Moderate: Events that cause mild inconvenience or concern for therapy. Moderate events may cause some functional disorder.

Severe: Events that prevent daily activities of the subject or may require systemic drug therapy or other therapy. Severe events impair normal physical functions.

12.2.5. Causality Assessment

The Investigator or Subinvestigator must assess the causal relationship (cannot be ruled out, not related) of all AEs (serious and non-serious). This assessment must be recorded in the CRF and other SAE documents if required. The definition of causal relationship rating is as follows.

1) Causal relationship cannot be ruled out:

All noxious and unintended responses (including an abnormal laboratory finding, for example) to an IP related to any dose should be considered adverse drug reactions.

The phrase "responses to a medicinal product" means that a causal relationship between the IP and an adverse event is at least a reasonable possibility, i.e., the relationship cannot be ruled out.

Causality assessment can be referred to the followings, such as positive dechallenge, positive rechallenge, definitive (i.e., clearly defined, well documented specific case histories), lack of confounding risk factors, amount and duration of exposure consistent/plausible with cause and effect, corroboration of the accuracy of the case history, and co-medication unlikely to play a role.

Even if the relationship is unknown or unstated, it will be classified as "causality cannot be ruled out".

2) Not related: There is absolutely no relationship between the IP and reported event.

12.2.6. Outcome

The Investigator or Subinvestigator must assess the outcome of the adverse events, and record the date of assessment.

Table 10: Outcome Definition

	CDISC Submission Value	CDISC Definition
1	RECOVERED/RESOLVED	One of the possible results of an adverse event outcome that indicates that the event has improved or recuperated.
2	RECOVERING/RESOLVING	One of the possible results of an adverse event outcome that indicates that the event is improving.
3	NOT RECOVERED/NOT RESOLVED	One of the possible results of an adverse event outcome that indicates that the event has not improved or recuperated.
4	RECOVERED/RESOLVED WITH SEQUELAE	One of the possible results of an adverse event outcome where the subject recuperated but retained pathological conditions resulting from the prior disease or injury.
5	FATAL	The termination of life as a result of an adverse event.
6	UNKNOWN	Not known, not observed, not recorded, or refused.

If a subject experiences an SAE with an outcome of death:

- The SAE resulting in death should have an outcome documented as death/fatal with an end date being the date of death
- If the subject had additional AE/SAEs that were ongoing at the time of death, these events would be documented as ongoing with no end date
- Only one event should have an outcome of death/fatal unless an autopsy report or Investigator states otherwise.

12.2.7. Exposure during Pregnancy and Lactation

Pregnancy data is to be collected from all subjects during this study.

If subjects that receive the IP in this study or the partner exposed to the IP becomes pregnant or is revealed to be pregnant, the Investigator or Subinvestigator must report to the Coordinating -Investigator or IP provider, Alexion Pharmacovigilance:

Email: ClinicalSAE@alxn.com or

Fax: + 1-203-439-9347

Reports must be provided three times from the moment the pregnancy is discovered to three months after the birth as taken place:

- 1. Before Delivery: The mother's condition and the state of the pregnancy
- 2. After delivery: A survey regarding the pregnancy and confirmation of natural miscarriage or termination, details of birth, absence/presence of congenital defects, and outcome of maternal or neonatal complications
- 3. Follow-up three months after birth: If the infant has experienced any AE following breastfeeding

Pregnancy in itself is not regarded as an AE unless there is a suspicion that investigational product may have interfered with the effectiveness of a contraceptive medication. However, complications of pregnancy and abnormal outcomes of pregnancy are AEs and many may meet criteria for a SAE. Complications of pregnancy and abnormal outcomes of pregnancy such as ectopic pregnancy, spontaneous abortion, intrauterine fetal demise, neonatal death or congenital anomaly would meet criteria of a serious AE and thus, should be reported as a serious AE. Elective abortions without complications should not be handled as AEs.

12.2.8. Reporting Obligations for Adverse Event(s) and Serious Adverse Event(s)

12.2.8.1. Adverse Events

In case of occurrence of any adverse event (AE), the Investigator or Subinvestigator must provide appropriate treatment and record the AE on medical record and CRF.

Any AEs should be observed during the reporting duration, defined in section [12.2.8.3. Reporting period], regardless of causal relations between the AEs and IP.

If an adverse event occurs, the event will be followed up until it has stabilized or the subject has returned to the state they were in before administration of the IP, or the laboratory results return to baseline or normalize. However, certain cases can be excluded, e.g. when exacerbation of a primary disease or complication becomes a chronic condition, or any complicated situation occurs, such as the patient's transfer to another hospital or follow up treatment is started.

The Investigator must inform patients when the Investigator decides to discontinue administration of IP, or if any treatment is required for adverse events.

12.2.8.2. Serious Adverse Event(s)

- 1. In case of occurrence of a Serious Adverse Event (SAE), the Investigator or Subinvestigator must provide appropriate treatment regardless of causal relations between the SAE and IP.
- 2. The Investigator will immediately report the SAE to the head of the trial site and to the Coordinating-Investigator and IP provider, regardless of causal relations between the SAE and IP.
- 3. The Coordinating-Investigator will verify the content of the SAE report obtained from the Investigator, and report the information of the SAE to the investigators of the other trial sites.
- 4. The Investigator of each trial site will verify the content of the SAE report obtained by the Coordinating-Investigator, discuss the content with the Coordinating-Investigator as necessary, and report his/her opinion as the Investigator to the Coordinating-Investigator (including whether it is necessary to report the SAE to MHLW).
- 5. When the Investigators determine that it is necessary to report the SAE to the Minister of MHLW, the Coordinating-Investigator will report the SAE.
- 6. In cases where SAEs that have occurred at other trial sites are reported to the Minister of MHLW, the Investigator will submit the AE report obtained by the Coordinating-Investigator and submit it to the head of the trial site immediately.
- 7. When additional information related to the SAE is obtained, the Investigator of the trial site where the SAE has occurred will additionally report it to the head of the trial site immediately as well as to the Coordinating-Investigator and IP provider. Additional information will be treated according to Steps 3–6.

The Investigator or Subinvestigator must report all SAEs to Alexion's Pharmacovigilance Delegate. The Investigator must send a copy of the SAE report prepared on RAVE to Alexion via Email or fax to the Alexion contact information provided below within 24 hours of their becoming aware of them. If any changes are made to the follow-up report, the following information also will be sent to Alexion promptly:

Alexion Pharmacovigilance Email: ClinicalSAE@alxn.com Fax: + 1-203-439-9347

12.2.8.3. Reporting Period

For AEs and SAEs, the reporting period begins following the obtainment of informed consent and continues until the last trial visit. However, SAEs that are determined to have causal relationship with the IP are exempt from the reporting period.

12.2.8.4. Procedures to Unblind the Emergency Key-Code

The Investigator or Subinvestigator can break the emergency key-code in case of occurrence of an SAE in which the causal relationship with the IP cannot be ruled out, and the Investigator determines that it is necessary to secure the safety of the subject. Before unblinding the emergency key-code, the Investigator or Subinvestigator must record all the information obtained at that point in the electronic data capture (EDC). In that case, the Investigator should immediately notify the Coordinating-Investigator, record the reason and results for unblinding, and submit them to the drug allocation coordinator. In deciding to break the key-code for the subject, the Investigator must stop administering the IP to the patient and withdraw the subject from the study. The broken emergency key-code will be resealed again and treated in the same way as the unopened emergency key-codes.

13. STATISTICS AND DATA ANALYSIS

13.1. General Considerations for Data Analysis

Statistical analyses and reporting of this trial will be conducted in accordance with the CONSORT guidelines, with the primary analyses based on the intent-to-treat principle without imputing missing observations. All efficacy analyses are primarily based on the full analysis set (FAS), which include all patients who have received one dose of the study treatment. Baseline demographics and clinical characteristics are summarized with frequencies and proportions for categorical data, and means and SDs for continuous variables. Patient characteristics will be compared using Fisher's exact test for categorical outcomes and t-tests or the Wilcoxon rank sum test for continuous variables, as appropriate.

All statistical analyses will be performed using SAS software version 9.4 and the R statistical program, Version 2.13. Furthermore, all statistical analyses are described in the statistical analysis plan (SAP), and SAP is fixed prior to database lock.

13.2. Efficacy Analysis

13.2.1. Primary Efficacy Variable and Analysis

The main purpose of this study is to assess the 4-week response proportion of FG= <2. H0 (null hypothesis) predicted a 4-week response rate of 50%, whereas H1 (alternative hypothesis) predicted the proportion of 80%, a Type I error of 5% (one-sided) and 80.0% power. The response proportion of each group is determined along with corresponding one-sided, exact binomial 90% confidence intervals (CIs). As primary analysis, we will not compare the placebo and eculizumab by statistical hypothesis testing.

13.2.2. Secondary Efficacy Variables and Analyses

The secondary endpoints that are categorical will be analyzed in the same manner as the primary efficacy endpoint. Secondary efficacy endpoints that are continuous will be analyzed using t-tests or the Wilcoxon rank sum test, as appropriate. One-sided, 90% confidence intervals (CIs) will also be provided.

13.3. Safety Analysis

Safety analyses will be conducted on the population of FAS, whom efficacy analyses have been conducted on. All AEs recorded during the study will be coded according to the Medical Dictionary for Regulatory Activities (MedDRA).

The frequency of the adverse events by each treatment group will be summarized with an exact 2-sided 95% confidence interval by binomial distribution.

Fisher's exact test will be used for treatment comparison against the significance level (α) of 0.05 at a 2-sided test when needed.

13.4. Sample Size and Power Considerations

The sample size is determined as follows by previous reports (REFs). In the eculizumab group, we assume that the expected value for the primary endpoint will be 80% and the threshold value will be 50%. In this situation, the sample size ensuring at least 80% power with one-sided α of 5% is 20. To allow for a 10% dropout rate, the number of patients in the eculizumab group is increased to 22. Additionally, 11 patients will be randomly assigned to the placebo control to collect efficacy and safety data in the placebo control. In this study, the total sample size will be approximately 33 patients.

13.5. Interim Analysis and Premature Termination of the Trial

An interim analysis will not be held for this trial.

If additionally obtained information creates issues in safety and continuation of the trial, or if the efficacy of the conducted trial treatment cannot be expected further, premature termination of the trial will be considered. In addition, if the data monitoring committee issues a warning against trial continuation in terms of safety or efficacy, and if the investigators decide to discontinue the trial, the trial will be terminated prematurely and the trial results will be presented immediately. However, in cases when the enrollment of subjects is terminated early, follow-up examination will be continued.

14. DIRECT ACCESS TO SOURCE DATA/DOCUMENTS

14.1. Study Monitoring

The head of the clinical trial site and the Investigator must assure access to all source documents during monitoring by monitors designated by the Coordinating-Investigator. The monitor will confirm that the study is appropriately conducted and the reliability of data is sufficiently ensured. Direct access methods and schedule for monitoring are defined in the monitoring procedures.

The main responsibilities of a monitor are to:

- 1. Confirm that the clinical trial sites and investigators meet the requirements to appropriately conduct the study
- 2. Confirm that the IP is appropriately handled
- 3. Confirm that the study is appropriately conducted according to the protocol
- 4. Confirm that the Investigator, Subinvestigator, and investigational site personnel have obtained sufficient information regarding the study
- 5. Verify the source documents and confirm that data is accurately described in the case report forms
- 6. Verify that adverse events are appropriately reported according to the protocol, IRB, and GCP
- 7. Confirm that documents to be retained at the clinical trial site are appropriately retained
- 8. If there are any deviations from the GCP or protocol and procedures at the clinical trial site, the monitor should inform the Investigator and the head of the clinical trial site as necessary

14.2. Audits and Inspections

The aim of audit is to assure the quality of the study and to systematically and independently validate whether the operations and documents related to the study are in accordance with GCP, protocol, and

SOPs. The head of the clinical trial site and the Investigator must insure access to all source documents during audits and inspections of quality assurance as described in Section 15.2.

The Investigator must obtain consent from all related personnel before the start of the study to enable direct access for audits and inspections by regulatory agencies.

14.3. Materials approved by Institutional Review Board/Ethics Committee

The Investigator must obtain Institutional Review Board (IRB) / Ethics Committee (EC) approval for the investigation. Initial IRB/EC approval and all materials approved by the IRB/EC for the trial including the subject ICF and recruitment materials must be maintained by the Investigator and made available for audit.

15. QUALITY CONTROL AND QUALITY ASSURANCE

15.1. Quality Control

The Coordinating-Investigator will prepare a SOP for monitoring, and the monitor will conduct monitoring according to the SOP. The Coordinating-Investigator will appoint a monitor with adequate scientific and clinical knowledge necessary for the monitoring.

The monitor must conduct appropriate monitoring to ensure the study is properly conducted at clinical trial sites in accordance with the protocol and GCP and verify that the contents of case reports and other reports are accurate by directly evaluating access records related to the study such as source documents (source data). The monitor, data manager, and statistical analysis staff should each verify and confirm data handling at each stage.

15.2. Quality Assurance

The Coordinating-Investigator will prepare a plan and SOP for audit, and the audits will be conducted according to the plan and the SOP. The Coordinating-Investigator will appoint as the auditor an individual who can adequately conduct audits from experience, education and training. An auditor who is independent from all divisions conducting the trial (including but not limited to the Monitoring Division) will perform the audit of the clinical trial site and other sites involved with the clinical trial, and verify whether quality assurance is being conducted appropriately.

16. ETHICS

16.1. Ethics Review

The study will be performed in accordance with ethical principles that have their origin in the Declaration of Helsinki and are consistent with GCP, applicable regulatory requirements, and the local institutional policy on Bioethics.

16.2. Ethics Committee/Institutional Review Board

Prior to the start of the study, the Institutional Review Board (IRB) will evaluate the ethical, scientific and medical relevance of this study. The study will be conducted after obtaining approval from the IRB. If the evaluation results of the IRB are "approved with modification", the study will be conducted after the protocol, case report, or consent forms are modified based on the review results. At the frequency of more than once a year, the IRB will continuously review whether the study is being performed appropriately.

16.3. Data Monitoring Committee

A Data Monitoring Committee will be established for this trial. The Data Monitoring Committee will be an independent body made of committee members possessing the qualifications necessary to make evaluations of the trial should that become necessary. The purpose of the Data Monitoring Committee is to ensure the safety of the trial subjects and, to this end, it will follow a separate set of operating procedures and provide appropriate advice and recommendations in order to ensure that proper ethical and scientific clinical procedures are followed.

If an SAE is reported during this study from the Investigator, the Coordinating -Investigator may request the Data Monitoring Committee to discuss whether or not to continue the study and provide any modifications to the study protocol. The data monitoring committee will give the Coordinating Investigator the results of the discussion in writing.

In cases where the Coordinating-Investigator may determine that preemptive emergency measures are required depending on the significance and range of effect of the content of the report, measures such as suspension of enrollment or emergency contact to all participating sites regarding this content may be taken.

16.4. Written Informed Consent

The Investigator(s) or Subinvestigator(s) at each center will ensure that the subject is given full and adequate oral and written information, which has been approved by IRB, about the nature, purpose, possible risks, and benefits of the study. Subjects must also be notified that they are free to discontinue

the study at any time. The subject should be given the opportunity to ask questions and allowed time to consider the information provided.

The subject's signed and dated informed consent must be obtained before conducting any of the study procedures.

Patients with Guillain-Barré syndrome are generally not administered antibiotics or vaccinations. However, in order to ensure the safety of subjects in the eculizumab group, it is absolutely necessary to take preventive measures against *N. meningitidis*, and because the placebo will be assigned based on blind randomization, implementing these preventative measures on both groups is inevitable. Because this may present an ethical problem for the control group, subjects must be given full and adequate written and oral information about the measures taken to prevent infection and provide their written consent.

However, if it is difficult for the subject to sign/seal due to progression of the underlying disease (such as paralysis), signed/sealed written consent can be obtained from a signatory. The signatory must meet the requirements of a legally acceptable representative, and he/she will write the name of the subject and his/her relationship with the subject in the space provided. If on a later date the subject is able to sign/seal the consent form, the written consent will be re-obtained from the subject.

If a study coordinator gives supplementary explanations to the subject, the study coordinator should also sign/seal and date the consent document together with the Investigator or Subinvestigator. A copy of the consent document and explanation document is given to the subject and the original copy of the consent document is retained at the clinical trial site.

The Investigator, Subinvestigator, or study coordinator will confirm whether the subject who has given consent is consulting other clinics or hospitals. If the subject is consulting other clinics or hospitals, the primary physician at the clinic or hospital must be notified about the subject's study participation.

When required, the Investigator will revise and update the consent form for approval of the IRB. If a patient is mid-trial, the Investigator must explain the revision of the consent form and re-obtain the subject's signature.

16.5. Retention of Blood Samples

The Central Laboratory blood serum test samples designated for the measurement of antibodies as described in Section 7.7.1., "Antiganglioside Antibodies", may be used in other research studies once this trial has been completed. Therefore, residual samples will be retained at the Chiba University Hospital School of Medicine Neurology Department and at the Kindai University Hospital Faculty of Medicine Neurology Department. Through the anonymization of the test samples with identification codes, these samples will be handled in a manner that prevents any leaks, mix-ups, theft, or loss of personal data. Signed informed consent should be obtained from the subjects for the storage of blood samples. The

samples will be retained for no more than 20 years after the completion of the trial and all samples will be properly disposed of to preserve anonymity. Furthermore, in the event that the samples are used in future research, the inclusion of these test samples must be documented in the new research protocol and submitted to an IRB for approval.

17. DATA HANDLING AND RECORD RETENTION

17.1. Retention of Records by the Clinical Trial Site

Documents and records related to the study that must be stored at the clinical trial site as defined by the GCP, must be stored for a period by the head of the clinical trial site until whichever date is later among the following:

- 1. Marketing approval date of indication of trial drug (if development is discontinued, 3 years from the day the development has been discontinued)
- The date on which 5 years have passed after discontinuation or end of the study

The Investigator will notify the clinical trial site if the records that should be stored by the clinical trial site or IRB are not required to be stored any more.

17.2. Retention of Records by the Investigator

Documents or records that are related to the study and should be retained by the Investigator as designated in the GCP will be stored at a storage location deemed appropriate until whichever date is later among the following.

- 1. The date which marks 5 years from the marketing approval date for the indication of the drug (if development has been discontinued, the date which marks 3 years since the discontinuation of development). However, if the pharmaceutical product requires re-evaluation after approval in accordance with Pharmaceutical Affairs Law, and the end of the re-evaluation period is more than 5 years, store until the date which the re-evaluation ends.
- 2. The date which marks 5 years after discontinuation or end of the study.

18. COMPLIANCE OF THE STUDY PROTOCOL AND DEVIATION

1. The Investigator or Subinvestigator will record all deviations from the study protocol, regardless of reasons for the deviation.

2. If the reason for deviation from the study protocol is to avoid an emergency risk of the subject, or unavoidable medical treatment, the Investigator will immediately submit a documented report which lists the details and reasons for the deviation to the head of the trial site, and promptly report the contents of the document to the IRB via the head of the trial site.

19. AMENDEMENTS

19.1. Amendments to the Study Protocol and Case Report Forms

Amendments to the study protocol and case report forms will follow the below procedures:

- 1. When amendments are considered to be necessary, the Coordinating-Investigator will provide to the Investigator the study protocol amendment draft, case report form amendment drafts, and the latest version of the investigator's brochure and other necessary material/information.
- 2. The Coordinating-Investigator will provide the Investigator with necessary time to adequately consider the aforementioned study protocol amendment draft and material/information and discuss the details with the Coordinating-Investigator.
- 3. After discussion with the Coordinating-Investigator, the Investigator will promptly submit the amended version of the study protocol or case report form to the head of the trial site, and receive approval of the IRB via the head of the trial site.
- 4. Within acceptable limits of the Coordinating-Investigator, the same procedures will apply to amendments to be made to the study protocol and case report forms according to instructions given by the head of the trial site based the opinions of the IRB.

19.2. Changes to Statistical Analyses

If any changes are made to the statistical analysis protocol, the statistical analysis supervisor will describe all changes in the statistical analysis report and the clinical study report. A detailed account of changes of the statistical analysis plan should also be recorded.

20. TERMINATION, SUSPENSION, OR COMPLETION OF TRIAL

20.1. Standards of Suspension or Termination of Entire Trial

In situations where the below information is obtained and the continuation of the clinical trial is determined to be difficult, the Coordinating-Investigator will decide on the termination or suspension of the entire clinical trial upon discussion with the Investigator.

1. Occurrence of an unpredictable serious adverse reaction

- 2. Any information that indicates that the number, frequency, and condition of predictable serious adverse reactions cannot be predicted from the investigator's brochure
- 3. SAEs that have been determined to have no causal relations with the IP, but are later determined that the causal relations cannot be ruled out, due to the number, frequency, and condition of occurrences
- 4. Research reports indicating the tendency of the number, frequency, and condition of occurrences of adverse reactions having changed drastically
- 5. Research reports indicating the possibility that cancer, other serious diseases, disabilities, or death may occur
- 6. Information indicating that efficacy of the study drug cannot be expected in this clinical trial
- 7. Information indicating that the IP does not have any efficacy or effect on the target disease of the clinical trial
- 8. Information on any of the following related to marketed drugs that include the same ingredients as the IP:
 - Termination of manufacturing, import, or retail
 - Collection or disposal
 - Any other measures taken against health and hygiene related risks

20.2. Procedures for Termination or Suspension of the Entire Clinical Trial

If the Coordinating-Investigator decides to terminate or suspend the entire trial upon discussion with the Investigator, the details and reasons for termination or suspension will promptly be reported to the head of the clinical site and the PMDA (Pharmaceuticals and Medical Devices Agency). Furthermore, all subjects undergoing administration of the IPs must be informed of the termination or suspension, and appropriate measures such as changes in proper treatment will be made.

20.3. Procedures for Termination or Suspension of the Clinical Trial in Respective Clinical Trial Sites

If an Investigator decides to terminate or suspend the clinical trial at each site, she or he will inform this fact to the head of the clinical trial site via documentation, and will explain the reasons and the details of the termination or suspension in the document.

Upon notice of the termination or suspension, the Coordinating-Investigator will inform this fact all to investigators involved in this clinical trial and the PMDA, via detailed documentation.

20.4. Report of Completion of the Clinical Trial

Upon completion of the clinical trial, the Investigator will report the completion to the head of the clinical trial site, and submit a summary of the results of the clinical trial via documentation.

21. CASE REPORT FORMS

21.1. Data Management

Detailed procedures of data management will be listed in the data management protocol.

21.2. Data Collection

The Investigator or Subinvestigator will prepare a case report form (CRF) that is compatible to the 21 CFR Part 11, GCP, and ER/ES (Electric record/Electric Signature) guidelines. The Investigator or Subinvestigator will make any changes or amendments in the written contents of the CRF in the software used to develop the CRF. If the Subinvestigator has prepared the CRF or the study coordinator has transcribed information from the source data into the CRF, the CRF will be checked for the contents and any issues by the Investigator before signing on the CRF. The Coordinating-Investigator will submit a copy of an electronic CRF (eCRF) in electronic format (e.g. CD-R) to the Investigator. The Coordinating-Investigator will secure the proper legibility and retention of the eCRFs.

21.3. Identification of Data Entered in the CRF

Source data is defined as follows for this clinical trial:

- 1. Data which is related to informed consent of the subject and information provided to the subject
- 2. Medical records, nursing records, clinical examination data, image data films, and other original data used to prepare the CRF. Data stored in electronic charts are also considered as source data.
- 3. Records related to IP administration
- 4. Documents or data related to this clinical trial that are deemed necessary by the GCP

The below are defined as source data when they are recorded in the CRF. However, if they are recorded in the medical records, the medical records will be considered as source data.

- 1. Purpose of the concomitant drug and concomitant therapy
- 2. Severity, seriousness and outcome of adverse event/ evaluation on causal relations between the AE and IP and criteria for the evaluation
- 3. Reason for the subject's withdrawal from the trial
- 4. Comments by the Investigator or Subinvestigator

22. HEALTH DAMAGE COVERAGE AND INSURANCE

If any harm is caused to a clinical trial subject as the result of the subject's participation in the trial, the Investigator shall treat the subject for recovery of the harm and provide the appropriate medical care. In order to take measures to prepare for reparation for damages inflicted by any harm that is attributable to this clinical trial, a liability insurance will be maintained covering all persons who are involved in the clinical trial, including the Investigators. Notwithstanding the foregoing, there shall be no compensation to clinical trial subjects in this clinical trial, for the portion of medical costs that are to be borne by the clinical trial subjects as patients pursuant to the regulations, or for per diem. Additionally, if the harm is determined to have arisen out of the clinical trial subject's intentional or gross negligence actions or inactions, it may not be eligible for the reparation.

In order to discharge the liabilities under the law for damages caused by medical practice in the clinical trial, the Investigators, and the Subinvestigators shall maintain a professional liability insurance. Furthermore, the study site will maintain hospital liability insurance.

23. MONETARY COMPENSATION

If compensation is provided for the reduction of the subject's financial burdens, they are to be provided in accordance with the regulations of each medical institution.

24. PUBLICATION

The results of the clinical trial will be submitted as a report by the Investigator to the head of the clinical trial site upon completion of this clinical. Results that do not meet expected outcomes despite the proper conduct of the clinical trial must also be disclosed in publication. If the Investigator is to present information obtained by this clinical trial to external professional academic meetings, the Investigator must obtain approval beforehand from Co-chief Investigators, Coordinating-Investigator, and the IP provider via documentation. In case of disclosure of the results to the public, the subject's personal information must be kept confidential.

25. TRIAL FUNDS AND CONFLICT OF INTEREST

This trial is funded by the Japan Agency for Medical Research and Development (AMED) under the Agency's Early-phase/Exploratory or International-standard Clinical Research Program. The Investigator and Subinvestigator(s) at the clinical research sites are to conduct the trial with integrity.

The interest profile of this trial is to be reviewed and approved by the Conflict of Interest (COI) Committees of the participating sites, all in accordance with the applicable regulatory requirements and guidelines and properly dealt with through COI management and integrity assurance efforts.

26. PROVISION OF THE INVESTIGATIONAL PRODUCT AND INTELLECTUAL PROPERTY RIGHTS

In this study, the investigational product is provided by U.S.-based Alexion Pharmaceuticals, Inc. The ownership of the rights to any inventions, discoveries, or improvements of any nature (the "Inventions") derived from this study will be decided in accordance with the contracts with AMED and with Alexion.

27. JET-GBS IMPLEMENTATION GROUP (See Attachment 1)

28. LIST OF REFERENCES

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