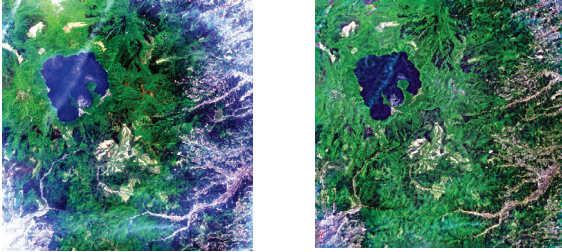


**UTILIZATION OF RADIATIVE TRANSFER CODE FOR SATELLITE IMAGE PROCESSING**

Y. Iikura<sup>1</sup>, N. Manago<sup>2</sup>, M. Sekiguchi<sup>3</sup>, H. Kuze<sup>2</sup>



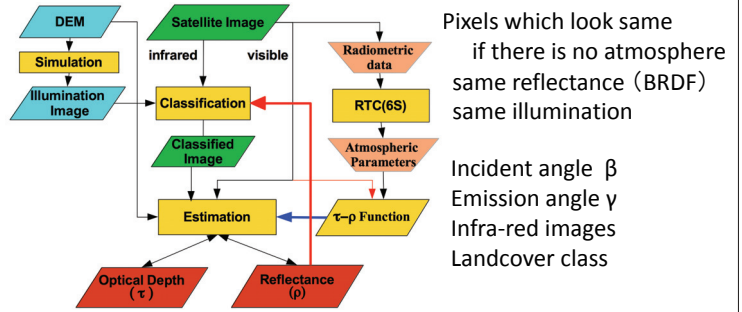
Before correction (DN)      After correction (Reflectance)  
Landsat ETM+: 2003/5/25 Lake Towada

<sup>1</sup> Graduate School of Science and Technology, Hirosaki University, Aomori, Japan  
<sup>2</sup> Center for Environmental Remote Sensing, Chiba University, Chiba, Japan  
<sup>3</sup> Faculty of Marine Technology, Tokyo University of Marine Science and Technology, Tokyo

**Simultaneous Estimation Method**

$$\rho = \rho(\bar{\tau} | L, \beta, \dots) \quad \bar{\tau} : \text{averaged over neighboring pixels}$$

$$\tau = \tau(\bar{\rho} | L, \beta, \dots) \quad \bar{\rho} : \text{averaged over homogeneous class}$$



Y. Iikura, M. Takeo, N. Manago, and H. Kuze, Surface reflectance estimation from satellite imagery with inhomogeneous atmospheric conditions, IGARSS2015

**What is the purpose of the satellite image processing ?**

\* optical sensor with moderate spatial resolution  
Landsat ETM+, ALOS AVNIR2, ASTER,

**Extraction of Surface Information (Reflectance  $\rho$ )**  
quantitative, universal, comparable, ...

$$\rho = \rho(L, \tau, \beta, \dots)$$

$L$  : Radiance at Sensor calculated from Satellite Data  
 $\tau$  : Optical Depth (Atmospheric Conditions)

$\beta$  : Solar Incident Angle (Topographical Conditions)

**Extraction of optical depth ( Atmospheric Conditions  $\tau$  )**

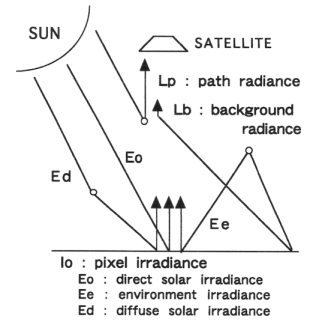
**\* Estimation of Surface Reflectance  $\rho$  from Satellite Imagery**

Flat Terrain (based on 6S):

$$\rho = \frac{\pi(L_s - L_p - L_b)}{T_s(E_o T_\theta \cos \theta + E_d + E_e)}$$

Rugged terrain:

$$\rho = \frac{\pi(L_s - L_p - L_b)}{T_s(E_o T_\theta \cos \beta + E_d^* + E_e^* + E_t)}$$



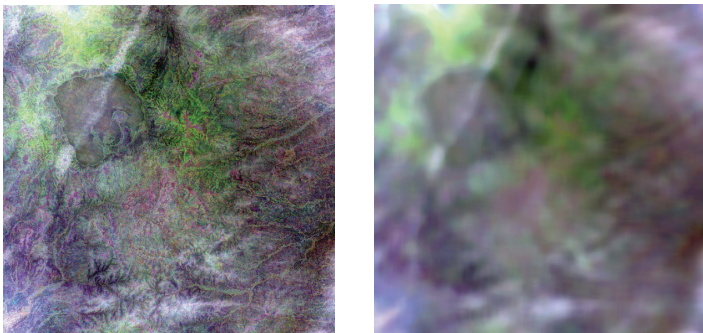
$E_d^* = E_d \cdot \text{sky view factor}$   
 $E_e^* = E_e \cdot \text{sky view factor}$   
 $E_t$  : reflected irradiance from neighboring pixels  
 $\cos \beta$  : solar incident angle to the surface

Fig.1 Physical Model of Satellite Data

Y. Iikura, Precise evaluation of topographic effects in satellite imagery for illumination correction, IGARSS2008

**Estimation of Aerosol Distribution**

Optical depth at 550nm



before spatial smoothing      after spatial smoothing

**Explanatory Variables of the Correction Parameters**

$$\rho = \frac{\pi(L_s - L_p - L_b)}{T_s(E_o T_\theta \cos \beta + E_d + E_e)}$$

Under the same solar position, atmospheric model, aerosol type

$$L_s = gain * DN + offset$$

$$L_p = L_p(\tau_o, h, \alpha) \quad h : \text{surface elevation}$$

$$L_b = L_b(\tau_o, h, \alpha, \bar{\rho}, S) \quad \tau_o : \text{optical depth at 550nm and } h=0m$$

$$E_d = E_d(\tau_o, h) \quad \alpha : \text{sensor viewing angle}$$

$$E_e = E_e(\tau_o, h, \bar{\rho}, S)$$

$$S = S(\tau_o, h) : \text{spherical albedo}$$

$$T_s = e^{-\tau / \cos \alpha}$$

$$\tau = \tau(\tau_o, h)$$

Calculation of correction Parameters by 6S

Input
0 (User Condition)
29.0 130.0 38.0 278.8 5 21 (Sun-Target Sensor Geometry)
2 (Mid Latitude Summer)
2 (AEROSOLS MODEL : Maritime)
0 (Optical thickness at 550nm)
0.2427 => 0.0524 (tau\_o)
-0.0 => -3.0 (h)
166 (TARGET ALTITUDE IN KM)
0 (SATELLITE CASE)
0 (ALOS AVNIR2 Band 1)
0 (HOMOGENEOUS CASE)
0 (no DIRECTIONNAL EFFECTS)
0 (Reflectance)
0.12 (rho\_bar)
-1 (No Atmospheric correction)

OUTPUT
\* irr. at ground level (w/m2/mic) \*
\* direct solar irr. atm. diffuse irr. environment irr \*
\* 903.650 503.222 34.378 \*
\* rad at satel. level (w/m2/sr/mic) \*
\* atm. intrin. rad. background rad. pixel radiance \*
\* 45.930 17.940 28.483 \*

6S

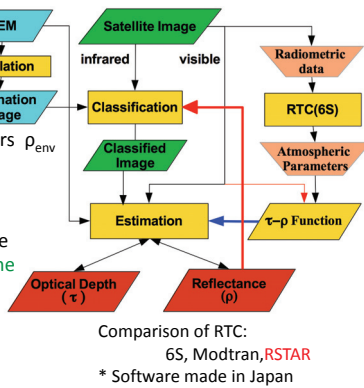
Input
0 (User Condition)
60.0 0.0 0.0 0.0 6 30 (Sun-Target Sensor Geometry)
8 (User-defined molecular atmospheric model)
0.00001 0.139 (H2O g/cm2, O3 cm atm)
2 (AEROSOLS MODEL : Maritime)
23 (visibility)
-0.0 (TARGET ALTITUDE IN KM)
-1000 (SATELLITE CASE)
0 (wave length is given)
0.4 0.405 => (0.4-1.5)
0 (HOMOGENEOUS CASE)
0 (no DIRECTIONNAL EFFECTS)
0 (Reflectance)
0.3
-1 (No Atmospheric correction)

Output
rho = pi(Ls-Lp-Lb) / (Ts(EoTo cos theta + Ed + Ee))
Ts = e^-tau/cos alpha
tau = tau(tau\_o, h)
S = S(tau\_o, h)

Problems and Solutions

\* Programs are written in Python

Classification by K-Mean Method
scipy.cluster.vq.kmeans
Surface Elevation and optical depth
scale height incorporated
Treatment of Adjacent Effect (Lb & Ee)
reduction of calculation for parameters rho\_env
Interpolation from Simulated Data by RTC
10 x 10 x 10 for (tau\_o, h, alpha)
scipy.ndimage.map\_coordinates
calculation of rho-tau(rho\_env) function in advance
speed <-> memory RectBivariate Spline
Iterative Estimation and It' Convergence
scipy.interpolate.RectBivariate Spline
np.polyfit & np.poly1d
Post Processing: interpretation of reflectance and optical depth



Modtran

Input : radiance mode (IEMSCT=2) reflectance 0.0 0.1 0.2
MM 7 2 2 1 0 0 0 0 0 0 0 0 0 0 1 1 .000 0.30
DATA:B2001\_01.BIN
4 0USS 0 0 0 023.000000.00000000.00000000.00000000.00000000
36 0 2 Custom 0
.00000000.00000000.00000000.00000000.00000000.00000000.00000000.00000000.00000000
.154081603 .00000000.00000000.00000000.00000000
ibid.
100.000000.00000000.00000000.00000000.00000000.00000000.00000000.00000000.00000000
.00000000.00000000.00000000.00000000.00000000.00000000.00000000
20 0 0 0
0.000e+00 title
.350001.13862.015725.73930.400001.09997.013486.73919.412001.09297.013051.73820
.443001.06973.011970.73850.470001.05181.011182.73820.488001.03780.010659.73984
.515001.02380.010555.73896.550001.00000.010974.74235.59000.982358.010053.74170
.63300.965276.010218.74271.67000.947914.009916.74382.69400.942033.009473.74396
.76000.917110.010815.74658.86000.888267.011646.750211.2400.819098.016168.76080
1.5360.760571.022546.775231.6500.744049.018751.777941.9500.716046.040828.78503
2.2500.653038.074506.809123.7500.550266.015630.74654
20.000 .000 180.000 .000 .000 .000 0 0.00000
2 2 180 0
.000 60.000 .000 .000 .000 .000 .000 .000
0.4 1.5 0.005 0.0010tm m2aa f 1
0

Comparison of Radiative Transfer Codes (RTC)

Experimental Conditions

Atmospheric Model : US Standard
Aerosol : 6S Maritime (Visibility 23 km : tau\_o=0.2347)
Solar Zenith Angle: 60 degree
Observation Date: July 30 (RSTAR unknown)
Wave Length: 0.4~1.5um (every 0.005um)
Surface Reflectance : 0.3 (mainly)
Modtran and RSTAR: 0, 0.1, 0.2 (for estimation of Lb and Ee)

RTCs used in this study

- 6S : 6SV Ver.1.1, 2007.4
Modtran : Modtran4 Ver.3.1,2003.5
RSTAR : rstar6s1, 2015.8 with 6S aerosol model

rstar6b,2011.7 Original rstar6s2,2015.8.24 with sensor response functions

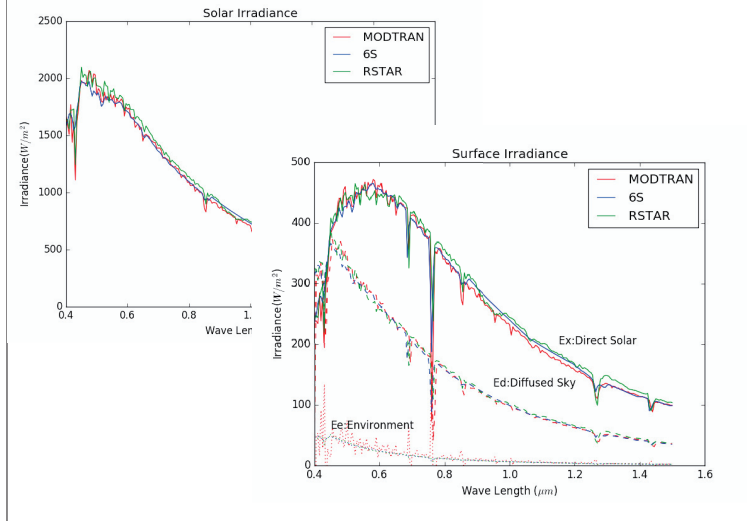
OUTPUT:
\*.flx
SPECTRAL VERTICAL FLUX TABLE (W CM-2 / MICRON)
1 LEVELS ( 4 TABLE COLUMNS)
TRIANGULAR SLIT FULL-WIDTH-AT-HALF-MAXIMUM: 0.001000 MICRONS.
ALTITUDES: 0.00000 KM Ed (rho=0)
-----Ed+Ee Ex
OUTPUT:
\*.7sc
WAVLEN UPWARD DOWNWARD DIRECT
(MICRON) DIFFUSE DIFFUSE SOLAR
MM 7 2 2 1 0 0 0 0 0 0 0 0 0 0 1 1 .000 0.30
4 1 1 0 0 0 23.
-99.0000 -99.0000 -99.0000
-99.000000 -99.000000 -99
ibid
0.40500 1.79602E-02 3.59498E-02 2.39177E-02
=====
W CM-2: 1.36709E-02 1.68529E-02 2.87167E-02
Lp+Lb
WAVLEN MCRN TRANS PTH THRM L SCT SURF EMIS 'SOL SCAT SING SCAT GRND RFLT DRCT RFLT TOTAL RAD REF SOL SOL@OBS
DEPTH
0.400000 0.5494 0.0000E+00 0.0000E+00 6.3010E-03 2.5159E-03 3.2630E-03 1.2717E-03 9.5640E-03 2.66E-02 1.60E-01
0.405000 0.5593 0.0000E+00 0.0000E+00 5.7948E-03 2.3206E-03 3.1791E-03 1.2733E-03 8.9739E-03 2.67E-02 1.52E-01
0.410000 0.5688 0.0000E+00 0.0000E+00 5.3528E-03 2.1480E-03 3.1007E-03 1.2735E-03 8.4536E-03 2.67E-02 1.44E-01
ibid
F=exp(-tau)
1.495000 0.8331 1.0444E-12 5.7769E-12 2.4689E-04 3.1609E-05 1.0907E-03 7.9352E-04 1.3376E-03 1.66E-02 2.87E-02
1.500000 0.8337 1.1464E-12 6.3394E-12 2.4568E-04 3.1418E-05 1.0875E-03 7.9147E-04 1.3323E-03 1.66E-02 2.86E-02
.9999.

**RSTAR**

Input

```

1 1 0 2 10 : ISOL INDA INDG IMTHD NDA
1 60.0 : NAO THO
1 180.0 : NA1 TH1
1 0.0 : NFI FI
1 : NW0
0.0 0.0 : RX
1.0 : RF
221 : NWL/ WL (Micron), DW (micron), GALB
0.4 0.405 0.41 0.415 0.42 0.425 0.43 0.435 0.44 0.445 0.45 ...
0.0 0.0 0.0 0.0 0.0 0.0 0.0 0.0 0.0 0.0 0.0 0.0 0.0 0.0 0.0 ...
0.3 0.3 0.3 0.3 0.3 0.3 0.3 0.3 0.3 0.3 0.3 0.3 0.3 0.3 0.3 ...
6 45 : IATM NLN/ IPB (US Standard)
46 45 44 43 42 41 40 39 38 37 36 35 34 33 32 31 30 29 28 27 26 25 24
23 22 21 20 19 18 17 16 15 14 13 12 11 10 9 8 7 6 5 4 3 2 1
1 0 : IFRH TRH (relative humidity)
1 2 5.5e-05 : NPOLY ICN WLCN
1 0.2347 : NCOMP CNPT
2 : MPPTC (Maritime from 6S)
1.0 : VPTC
    
```

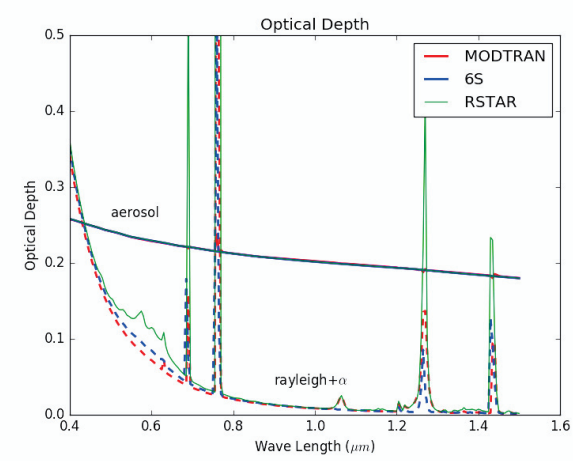
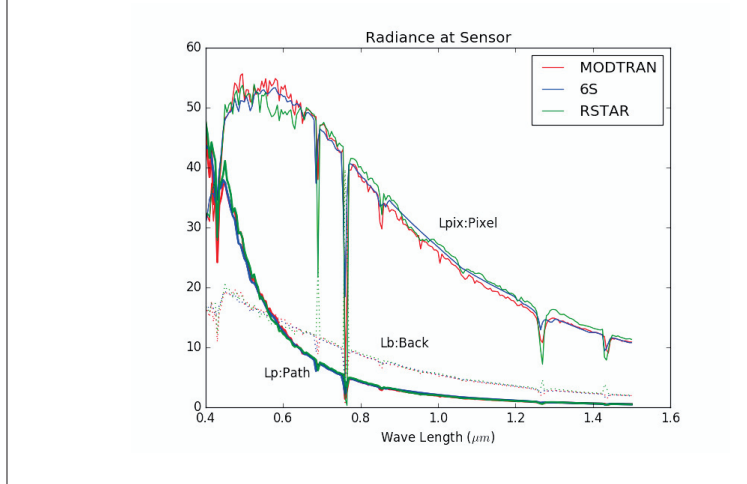


```

0.4000 1.644E+03 : wl sol
l tau taua taur w g1 g2
1 2.199E-07 6.356E-08 1.564E-07 9.965E-01 6.370E-01 5.353E-01
2 4.473E-07 6.356E-08 3.838E-07 9.983E-01 5.326E-01 4.641E-01
ibid
44 9.883E-02 6.193E-02 3.688E-02 9.922E-01 7.073E-01 5.830E-01
45 1.427E-01 1.021E-01 4.058E-02 9.911E-01 7.153E-01 5.883E-01
l flxd flxdd flxu
1 8.218E+02 8.218E+02 3.466E+02
2 8.217E+02 8.217E+02 3.466E+02
45 6.985E+02 3.175E+02 2.278E+02
46 6.688E+02 2.386E+02 2.006E+02
FLXD= Ed+Ee & Ed (FLXD with rho=0)
: l / radiance (th1->fi->th0)
1
8.4967E+001
2
ibid. Lp (L with rho=0)
46
6.3864E+001
    
```

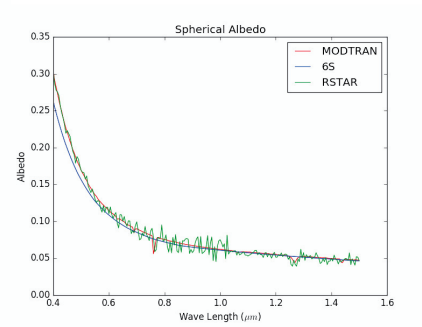
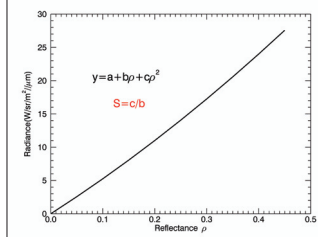
Estimation of  $L_b$   
 $L_b = L - L_p - FLXU * tt / \pi$   
 Transmittance  $tt = \exp(-\tau)$

$$\rho = \frac{\pi(L_s - L_p - L_b)}{T_s(E_o T_\theta \cos \theta + E_d + E_e)}$$



**Estimation of Spherical Albedo**

$$L_b = \frac{\bar{\rho} t_s (T_\theta + t_\theta) \mu_s E_s}{(1 - \bar{\rho} S) \pi} \sim (1 + \rho S) \rho$$



## Conclusion

### Improvement on the simultaneous estimation method

Utilization of Python with Scientific Modules for Programming  
numpy and scipy etc.

Reduction of Explanatory Variables for Correction Parameter  
averaged reflectance for adjacent effect

Calculation of  $\rho-\tau(\rho_{env})$  function in advance  
efficient t but memory required

Iterative Estimation and It' Convergence  
outlier removing and deceleration coefficients

Comparison of Radiative Transfer Code  
utilization of RSTAR for satellite image processing

### Issues to be considered: many but challenging

#### Post Processing:

interpretation of reflectance and optical depth

#### Initial value setting

for optical depth and averaged reflectance

Utilization of MODIS products

#### Accurate calculation of reflected irradiance

from neighbor pixels

Viewshed Calculation

#### Validation by ground measurements

Application to other sensors such as MODIS, Himawari ....