

A low DNA methylation epigenotype in  
squamous cell lung cancer and its  
association with idiopathic pulmonary  
fibrosis and poorer prognosis

(特発性肺線維症に相関する予後不良  
な低メチル化型肺扁平上皮癌)

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## **Abstract**

**Rationale:** Patients with idiopathic pulmonary fibrosis (IPF) have higher risk of developing lung cancers such as squamous cell carcinoma, from which prognosis is typically poor. While aberrant DNA methylation have been linked to IPF, the subsequent carcinogenesis has not been fully investigated.

**Objectives:** To survey genome-wide methylation of lung squamous cell carcinomas with IPF.

**Methods:** Using Infinium HumanMethylation450 BeadChip (Infinium 450K), we surveyed DNA methylation in 20 squamous cell carcinomas with or without IPF and in 13 surrounding non-cancerous lung tissues. Results were validated by pyrosequencing of 77 squamous cell carcinomas.

**Measurements and Main Results:** Unsupervised hierarchical clustering stratified squamous cell carcinomas into low- (LME) and high-methylation epigenotypes (HME). IPF was more common in the former (7/9, 78 %) than in the latter (1/6, 17 %,  $P = 0.04$ ). Polycomb repressive complex target genes were significantly enriched in hypermethylated genes in squamous cell carcinomas. However, 'negative regulation of growth' was significantly enriched in genes specifically hypermethylated in HME. The association between IPF and LME was validated ( $P = 0.003$ ) by pyrosequencing to measure methylation of markers common to LME and HME (*HOXA2*, *HOXA9*, and *PCDHGB6*), and of markers specific to the latter (*DLEC1*, *CFTR*, *MTIM*, *CRIP3*, and *ALDH7A1*). In addition, survival was found to be poorer among patients with LME than among all patients, and multivariate analysis revealed that LME is an independent predictor of poor prognosis.

**Conclusions:** Lung squamous cell carcinomas are stratified into two epigenotypes, of which LME is significantly correlated with IPF and worse prognosis.

## Introduction

Patients with idiopathic pulmonary fibrosis (IPF) have 14-fold higher risk of developing lung cancer than the general population [1], with the cumulative incidence rate increasing with the duration of follow up [2]. In such patients, lung cancer generally develops near fibrotic lesions [3-5], with squamous cell carcinoma being one of the most frequent histological type [2,4-9]. Due to respiratory deterioration and aggressive cancer behavior, prognosis is typically worse for lung cancer patients with IPF than those without IPF [8,10,11]. Indeed, we previously reported that lung cancer with interstitial lung disease including IPF had poorer recurrence-free survival, whether in cohorts including patients at all pathological stages or in cohorts with stage IA patients only [5].

IPF is a persistent and chronic inflammatory disease that can be triggered by complex interactions between genetic and environmental factors such as cigarette use [12], although cancer development in fibrotic lungs occurs independently of cigarette smoking [1,13]. Recently, exome sequencing revealed APOBEC-related mutagenesis in lung cancers with IPF, suggesting viral and/or immune-related mutagenic processes [14]. Squamous cell metaplasia was also reported to frequently occur in peripheral honeycombed tissues of cancerous lungs with IPF [15]. Further, such metaplasia was linked to *TP53* mutations [16] and loss of heterozygosity at the *FHIT* gene locus [17].

On the other hand, DNA methylation is one of critical epigenetic changes induced by environmental factors, and is believed to promote IPF [18-21] as well as carcinogenesis [19]. Indeed, aberrant hypermethylation of promoters is a major driver of silencing tumor suppressor genes in most types of cancer, including lung cancer [22-25]. For example, Takenaka *et al.* reported frequent hypermethylation of the *SMAD4*

promoter and its reduced expression in lung cancer with IPF, implying loss of growth inhibition via TGF- $\beta$  [26]. Although epigenetic stratification of lung malignancies and benign diseases was achieved using Infinium technology [22,27,28], DNA methylation in lung squamous cell carcinoma with IPF has not been fully investigated.

Using Infinium HumanMethylation450 BeadChip (Infinium 450K), we here conducted genome-wide DNA methylation analysis in clinical squamous cell carcinoma tissues with or without IPF. These tissues were stratified into high- and low-methylation epigenotypes (HME and LME, respectively), the latter of which is significantly correlated with IPF. The existence of methylation epigenotypes, as well as the relationship to IPF, was validated by pyrosequencing.

## **Materials and Methods**

The study design is illustrated in Figure 1A. Additional details are provided in Supplementary Materials and Methods.

### ***Clinical samples and cell lines***

From patients who underwent lung surgery from 2004 to 2014 at Chiba University Hospital, 45 frozen and 32 formalin-fixed paraffin-embedded primary lung squamous cell carcinomas with or without IPF were obtained with informed consent. The study was approved by the institutional review board at Chiba University (No. 806). IPF was diagnosed in 35 of these tissues based on the ATS/ERS/JRS/ALAT Statement [12]. The remaining 42 tissues were free of IPF. All tissues were microscopically confirmed by two independent pathologists to contain > 40 % cancer cells, and were dissected to enrich cancer cells when necessary. Surrounding non-cancerous lung tissues (n = 20)

with or without IPF were used as control, along with normal lung tissues from non-smokers with adenocarcinoma (Figure 1A,B).

DNA was extracted from frozen samples using QIAquick DNA Mini Kit (Qiagen, Hilden, Germany) and from paraffin-embedded samples using QIAamp DNA FFPE Tissue kit (Qiagen). Normal human lung IMR-90 fibroblasts were obtained from Health Science Research Resources Bank. The composition of peripheral blood cells was extracted from The Cancer Genome Atlas. Twenty frozen squamous cell carcinoma samples, 11 surrounding non-cancerous lung tissues with (n = 6) or without (n = 5) IPF, and two control lung samples were initially surveyed on Infinium 450K chips. The full set of 77 squamous cell carcinoma samples and 20 surrounding lung tissues were used for validation by pyrosequencing.

Age, gender, smoking history, tumor location, pathologic TNM stage (p-stage), tumor grade, pleural invasion, and lymphovascular invasion were collected from our cohort (Table 1), as well as from 263 squamous cell carcinoma cases in The Cancer Genome Atlas. Tumors arising at main and segmental bronchi were considered central (Figure 1C), while tumors arising at subsegmental bronchi or at more peripheral locations were considered peripheral (Figure 1D), as previously defined [29-31].

### ***Analysis of DNA methylation***

Infinium 450K BeadChip (Illumina) contains approximately 485,000 CpG sites covering 99 % of RefSeq genes at an average of 17 sites per gene. Each CpG site was scored in  $\beta$ -values ranging from 0.00 to 1.00 based on signals from a methylated probe relative to the sum of signals from methylated and unmethylated probes. Bisulfite conversion was performed with Zymo EZ DNA Methylation Kit (Zymo Research, Irvine, CA), using 500 ng of genomic DNA per sample. Whole-genome amplification,

labeling, hybridization, and scanning were performed according to the manufacturer's protocols. Infinium data were submitted to the Gene Expression Omnibus under accession number GSE121849 (GSM3447735-GSM3447767).

To analyze DNA methylation, the probe nearest the transcription start site was selected if multiple probes were available against the same promoter. The CpG score for each probe was calculated as described previously [32,33], and only high- and intermediate-scoring probes were included in subsequent analysis. Genes in X and Y chromosomes were excluded to avoid gender differences.  $\beta$ -values  $> 0.2$  were considered indicative of hypermethylation. Infinium 450K BeadChip (Illumina) data from paraffin-embedded samples were integrated into similar data from frozen samples, as described in detail in Supplementary Materials and Methods. DNA methylation data for 263 squamous carcinoma samples were also obtained from The Cancer Genome Atlas.

### ***Pyrosequencing***

Methylation status of putative marker genes was quantified by pyrosequencing in PyroMark Q96 (Qiagen), as previously described [32]. Methylation  $> 20\%$  was considered hypermethylation. Primers were designed in Pyro Q-CpG Software (Qiagen, Supplementary Table S1).

### ***Immunohistochemistry***

Protein expression of TP53 and p16<sup>INK4A</sup> was analyzed by immunohistochemistry in a BenchMark ULTRA automated staining system (Roche, Basel, Switzerland), using mouse monoclonal anti-TP53 (clone DO-7) and anti-p16 (CINtec p16 Histology) from Roche. Samples with strong and uniform nuclear staining for TP53 were considered to harbor activating mutations, while those without nuclear staining were considered to



harbor null mutations. Sporadic nuclear staining in surrounding non-cancerous cells, such as inflammatory cells, were used as wild-type internal controls. Finally, samples with nuclear and cytoplasmic immunoreactivity for p16 in > 70 % of tumor cells were considered to express p16.

### ***Gene Ontology analysis***

Enrichment of Gene Ontology terms for biologic process was analyzed using Functional Annotation at DAVID Bioinformatics Resources (<http://david.abcc.ncifcrf.gov/>).

### ***Statistical analysis***

Unsupervised two-way hierarchical clustering was conducted in Cluster 3.0 (<http://bonsai.hgc.jp/~mdehoon/software/cluster/>) or in R ([www.r-project.org/](http://www.r-project.org/)). Heatmaps were drawn in Java TreeView (<http://jtreeview.sourceforge.net/>). Association between DNA methylation and clinicopathological factors, including presence or absence of IPF, was analyzed by Fisher's exact test,  $\chi^2$  test, or Kolmogorov-Smirnov test for categorical variables, and by Mann-Whitney test for continuous data. Cancer-specific overall survival was measured from enrollment date until date of cancer-related death. Patients who died from causes unrelated to cancer were censored. Survival curves were constructed by the Kaplan-Meier method, and *P* values were calculated by log-rank test. Multivariate analysis was performed using Cox proportional hazards. Analyses were performed in JMP pro 12.0.1 (SAS Institute Inc., Cary, NC), with *P* < 0.05 considered statistically significant.

## **Results**

### ***Patient characteristics***

Age, tumor location, and p16 expression were significantly different between squamous cell carcinoma patients with or without IPF. In contrast, these patients were comparable in terms of gender, smoking status, tumor stage, pleural and lymphovascular invasion, and tumor grade. Most squamous cell carcinomas with IPF (97.1 %) were observed in the periphery of the lung parenchyma ( $P = 0.04$ ), and mostly near fibrotic areas (75.8 %). While activating TP53 mutations were frequently observed in both groups, with incidence 82.9 % in carcinomas with IPF and 88.1 % in carcinomas without IPF ( $P = 0.8$ ), p16 expression was significantly more frequent in the former (35.3 %) than in the latter (14.0 %,  $P = 0.03$ ).

#### ***Analysis of DNA methylation***

The standard deviation of  $\beta$ -values was calculated for probes nearest the transcription start sites at each promoter in 20/77 squamous cell carcinomas, 11/20 surrounding lung tissues, and 2/2 normal tissues. Subsequently, 570 probes with standard deviation  $> 0.13$  were extracted, and analyzed by unsupervised two-way hierarchical clustering (Figure 2A). This analysis revealed distinct low- (LME) and high-methylation epigenotypes (HME), which were found in nine and six squamous cell carcinomas, respectively. Remarkably, IPF was significantly associated with the former (7/9, 78 %), but not with the latter (1/6, 17 %) ( $P = 0.04$  by Fisher's exact test). This trend was also observed in another 24 formalin-fixed paraffin-embedded samples, as well as in 390 squamous cell carcinomas in The Cancer Genome Atlas (Supplementary Materials and Methods, and Supplementary Figures E1 and E2). Accordingly, aberrant hypermethylation was less frequently observed in squamous cell carcinomas with IPF (532 genes) than in those without IPF (698 genes, Figure 2B). However, aberrant hypermethylation was more frequently observed in surrounding non-cancerous lung tissues with IPF (192 genes)

than in those without IPF (98 genes, Figure 2B).

### ***Extraction of marker genes***

We found 464 genes that are aberrantly hypermethylated in both epigenotypes (common markers), and 287 genes hypermethylated specifically in HME (Figure 3A). Genes targeted by polycomb repressive complex in embryonic stem cells [34] were significantly enriched in both groups of markers (Figure 3B), along with genes related to transcription (*HOXA2*, *HOXA9*, etc.) or cell adhesion (*PCDHGB2*, *PCDHGB6*, etc.) (Figure 3C). In contrast, genes that suppress growth (*MTIM*, *MTIG*, etc.) are significantly enriched in HME markers (Figure 3D).

### ***Validation of DNA methylation by pyrosequencing***

Five of 287 HME markers (*DLEC1*, *CFTR*, *CRIP3*, *ALDH7A1*, and *MTIM*) and three of 464 common markers (*HOXA2*, *HOXA9*, and *PCDHGB6*) were validated by pyrosequencing. Methylation levels at several CpG sites around the Infinium probe were quantified precisely, and methylation profiles were confirmed to strongly correlate to those obtained by Infinium assay (Figure 4A). In additional squamous cell carcinoma specimens and surrounding lung tissues (Figure 4B), carcinomas without IPF were significantly associated with frequent hypermethylation, in comparison to those with IPF ( $P = 0.01$  by Kolmogorov-Smirnov test). On the other hand, methylation levels of the HME markers *DLEC1*, *CRIP3*, and *ALDH7A1* were significantly lower in carcinomas with IPF than in carcinomas without IPF ( $P = 0.03$ ,  $0.049$ ,  $0.02$ , respectively, Figure 4C).

### ***Correlation of IPF, clinicopathological factors, and DNA methylation status***

Squamous carcinoma samples hypermethylated (methylation level > 20 %) in four or all five HME markers were classified as HME (Figure 5A). Accordingly, 23 of the 77

carcinomas in our cohort were classified as HME, and the remaining 54 were classified as LME. Analysis of clinicopathological factors revealed that squamous cell carcinomas with IPF are significantly associated with LME ( $P = 0.003$ ), although other clinicopathological factors did not correlate with methylation status (Figure 5B and Table 2).

### ***Analysis of survival***

Analysis of cancer-related deaths indicated that LME is significantly associated with worse prognosis ( $P = 0.01$ , Figure 5C). This association was also observed in stage I and II patients ( $P = 0.02$ , Figure 5D), as well as in 363 cases in The Cancer Genome Atlas (Figure 5E). Notably, prognosis is significantly worse in LME tumors without IPF than in HME tumors with IPF, although LME is significantly associated with IPF ( $P = 0.04$  for all patients and  $P = 0.05$  for stage I and II patients, Figure 5F,G). Unadjusted and adjusted hazards ratios for various prognostic factors are listed in Table 3. IPF, epigenotype (LME), pathologic stage, N stage, and lymphovascular invasion were found to be significant prognostic factors in univariate analysis. However, multivariate analysis identified LME and tumor stage as independent predictors of poor prognosis, at least in our cohort.

### **Discussion**

While aberrant DNA methylation has been linked to IPF [21], its possible association with subsequent squamous lung carcinogenesis has not been investigated. Using Infinium technology to survey genome-wide methylation of promoters in lung squamous cell carcinomas with or without IPF, we found that these carcinomas can be

classified as HME or LME, that carcinomas with IPF are significantly associated with the latter, and that LME is significantly associated with poor prognosis. A novel panel of five HME markers was also established.

Shi *et al.* identified more than 400 differentially methylated genes with altered expression in lung squamous cell carcinomas, many of which are related to development and cell adhesion, and some were proposed as potential diagnostic markers [35]. In addition, analysis of genome-wide methylation data in The Cancer Genome Atlas revealed several epigenotypes in squamous cell carcinomas [22], although the prognostic value of each, as well as their relationship to IPF, was not investigated. Indeed, only *SMAD4* was previously reported as an aberrantly hypermethylated gene in lung cancers with IPF [26]. We have now identified 464 genes that are aberrantly methylated in squamous cell carcinomas with or without IPF. Gene Ontology terms related to transcription and cell adhesion are enriched in these genes, in agreement with previous studies [24,35].

Aberrant promoter methylation in cancer is observed more frequently in genes targeted by the polycomb repressor complex in embryonic stem cells than in all other genes, suggesting that cancers arise from stem cells, via a mechanism in which reversible gene repression is replaced by permanent gene silencing [36]. Strikingly, genes targeted by both forms of polycomb repressor complex, *i.e.*, PRC1 and PRC2 [34], are significantly enriched in HME-specific markers and in markers common to both HME and LME, although PRC1 target genes are further enriched in common markers. Common markers also include genes related to transcription and cell adhesion, while HME markers include genes related to tumor suppression. Indeed, hypermethylation in HME may silence tumor suppressors and thereby trigger carcinogenesis.

Since most patients in our cohort are smokers (94.8 %), with mean Brinkman Index above 40 pack-years, cigarette smoking should have had substantial impact in our study due to its strong association with both squamous cell carcinoma and IPF. Recently, Vaz *et al.* [37] reported that smoking elicits epigenetic alterations in normal human bronchial epithelial cells exposed to cigarette smoke from 10 days to 15 months. Binding to chromatin by DNMT1 and EZH2, a component of PRC2, was initially observed, followed by aberrant methylation of promoters driving homeobox-related genes and transcription factors, highlighting a pathway from PRC2 to DNA methylation. These genes are similar to markers that are common to both HME and LME, suggesting that methylation of these genes might be linked to smoking.

*DLEC1*, *CFTR*, *MTIM*, *CRIP3*, and *ALDH7A1* were established as HME-specific markers, of which *DLEC1*, *CFTR*, and *MTIM* were previously reported as tumor suppressors [38-40]. Hypermethylation of *CRIP3* and *ALDH7A1* was not described previously for lung cancer, while hypermethylation of *CRIP3* has been reported in prostate cancers [41], although the physiological significance of this epigenetic lesion is unknown. *ALDH7A1* encodes an aldehyde dehydrogenase that promotes bone metastasis in prostate cancer cell lines [42]. On the other hand, hypermethylation of *HOXA2*, *HOXA9*, and *PCDHGB6*, all of which are markers common to HME and LME, was previously reported in non-small cell lung cancer [24,43,44]. *PCDHGB6* encodes a cadherin-type protein that facilitates cell adhesion and formation of cell-cell junctions [45].

Survival analysis in our cohort and in similar cases in The Cancer Genome Atlas revealed that LME is significantly associated with worse prognosis than HME. Better prognosis in higher methylation subtypes was also observed in other tumors such as

colon cancer, breast cancer, and glioma [46-49]. Previously, genomic and epigenomic surveys of lung squamous cell cancers in The Cancer Genome Atlas demonstrated that these tumors can be classified into four epigenotypes [22], which, in turn, are associated with transcriptional subtypes [50]. Interestingly, the epigenotype corresponding to the highest levels of DNA methylation is predominantly associated with the ‘classical’ type, the most typical form of lung squamous cell cancer. Other phenotypes, including the most aggressive ‘primitive’ type, were found to have the most normal-like epigenotype, with the lowest levels of hypermethylation. These are consistent with the observed relationship between LME and poor prognosis, although further analysis is necessary to confirm the association between methylation epigenotypes and transcription subtypes.

Although there have only been a few surveys of lung cancers with IPF [15,16,51,52], these surveys found that most are generated in peripheral tissues, and typically in the lower lobe [4,9]. In addition, 65 % of cases are associated with peripheral honeycombed areas or in the border between honeycombed and non-fibrotic areas [15]. The cancers in our cohort are consistent with these characteristics. On the other hand, Kawasaki *et al.* observed frequent *TP53* mutations in squamous metaplasia in fibrotic peripheral tissues [16]. In our cohort, over 80 % of carcinomas with IPF also harbored activating *TP53* mutations, as assessed by immunohistochemistry, implying that these mutations are crucial to the development of carcinomas with IPF, although there was no significant difference in incidence of *TP53* mutations compared to carcinomas without IPF. Recently, Hwang *et al.* found that BRAF mutations are more frequently observed (17.1 %) in patients with IPF-associated lung cancer than in the general population, and found APOBEC3-related mutational signatures [14]. Of note, APOBEC3 is known to be induced by exogenous factors such as viral infection, while

Folcik *et al.* reported that lungs with IPF are frequently infected by herpesvirus saimiri [53]. Similarly, we observed that squamous cell carcinomas with IPF frequently express p16, a marker of infection by human papilloma virus, which was reported to inactivate RB1 in cervical squamous cell carcinoma [54]. Thus, squamous cell carcinomas with IPF may be linked to viruses that inactivate RB1, although this hypothesis requires validation.

We note that we did not measure gene expression, although aberrant hypermethylation of promoters should repress genes in theory. In addition, analysis of surrounding lung tissues could have been affected by stromal cells, so microdissection of pre-cancerous lesions such as squamous metaplasia may be needed to confirm results. Third, patients with advanced cancer and who were provided non-surgical care were excluded. Fourth, features other than LME, *e.g.*, genetic alterations, may be required to generate poor prognosis. For example, Shinjo *et al.* described the correlation of LME and mutations in epidermal growth factor receptor in adenocarcinomas [55]. Hence, further investigation of potential genetic alterations should be performed for LME squamous cell lung carcinomas. Nonetheless, we anticipate that genome-wide epigenomic profiles of squamous cell carcinomas with IPF, as assessed for the first time by Infinium 450K BeadChip technology, will prove clinically useful.

In summary, genome-wide methylation analysis of squamous cell carcinomas revealed for the first time that a low-methylation epigenotype is associated with squamous cell carcinomas with IPF. Moreover, genes targeted by polycomb repressor complex are methylated in squamous cell carcinomas with IPF, probably due to smoking, although additional events may ultimately be required to trigger carcinogenesis.



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**Table 1.** Clinicopathological characteristics of squamous cell carcinomas with or without IPF.

Variable	with IPF (n = 35)	without IPF (n = 42)	P value
<b>Age (year ± SD)</b>	72.2 ± 6.4	68.9 ± 7.0	0.03*
<b>Gender</b>			
Male	31 (91.2 %)	38 (88.4 %)	1
<b>Brinkman Index (pack-years)</b>	49.8 ± 24.2	43.0 ± 26.8	0.3
<b>Pathological stage</b>			0.3
IA	8 (23.5 %)	10 (23.3 %)	
IB	11 (32.6 %)	9 (20.9 %)	
IIA	2 (5.9 %)	6 (14.0 %)	
IIB	6 (17.7 %)	10 (23.3 %)	
IIIA	5 (14.7 %)	6 (14.0 %)	
IIIB	0 (0 %)	2 (4.7 %)	
IV	2 (5.9 %)	0 (0 %)	
<b>T stage</b>			0.2
T1	11 (32.6 %)	13 (30.2 %)	
T2	20 (58.8 %)	18 (41.9 %)	
T3	3 (8.8 %)	11 (25.6 %)	
T4	0 (0 %)	1 (2.3 %)	
<b>N stage</b>			0.8
N0	24 (70.6 %)	33 (76.7 %)	
N1	4 (11.8 %)	5 (11.6 %)	
N2	6 (17.7 %)	5 (11.6 %)	
<b>M stage</b>			0.2
M1a	2 (5.9 %)	0 (0 %)	
<b>Pathological pleural invasion (+)</b>	15 (44.1 %)	15 (34.9 %)	0.5
<b>Lymphovascular invasion (+)</b>	21 (61.8 %)	27 (62.8 %)	1.0
<b>Grade</b>			0.5
G1	9 (26.5 %)	7 (16.3 %)	
G2	17 (50 %)	27 (62.8 %)	
G3	8 (23.5 %)	9 (20.9 %)	
<b>Tumor location in lobe</b>			0.8
Upper	13 (38.2 %)	19 (44.2 %)	
Middle	0 (0 %)	1 (2.3 %)	
Lower	21 (61.8 %)	23 (53.5 %)	
<b>Tumor location in lung</b>			0.04*
Central	1 (2.9 %)	9 (20.9 %)	
Peripheral	33 (97.1 %)	34 (79.1 %)	
<b>TP53 mutation</b>			0.8
nuclear staining (+)	24 (70.6 %)	28 (65.1 %)	
null	5 (14.7 %)	9 (20.9 %)	
<b>p16 (+)</b>	12 (35.3 %)	6 (14.0 %)	0.03*

\*,  $P < 0.05$ .

**Table 2.** Clinical and pathological characteristics of high- (HME) and low-methylation epigenotypes (LME).

Variable	HME (n = 23)	LME (n = 54)	P value
<b>Age (year ± SD)</b>	70.1 ± 7.3	70.4 ± 6.8	0.9
<b>Gender</b>			
Male	20 (87.0 %)	49 (90.7 %)	0.7
<b>Brinkman Index (pack-years)</b>	51.7 ± 28.4	43.6 ± 24.4	0.2
<b>IPF (+)</b>	4 (17.4 %)	30 (55.6 %)	0.003*
<b>Pathological stage</b>			0.4
IA	8 (34.8 %)	10 (18.5 %)	
IB	3 (13.0 %)	17 (31.5 %)	
IIA	3 (13.0 %)	5 (9.3 %)	
IIB	4 (17.4 %)	12 (22.2 %)	
IIIA	4 (17.4 %)	7 (13.0 %)	
IIIB	1 (4.4 %)	1 (1.9 %)	
IV	0 (0 %)	2 (3.7 %)	
<b>T stage</b>			0.1
T1	10 (43.5 %)	14 (25.9 %)	
T2	10 (43.5 %)	28 (51.9 %)	
T3	2 (8.7 %)	12 (22.2 %)	
T4	1 (4.4 %)	0 (0 %)	
<b>N stage</b>			0.8
N0	16 (69.6 %)	41 (75.9 %)	
N1	3 (13.0 %)	6 (11.1 %)	
N2	4 (17.4 %)	7 (13.0 %)	
<b>M stage</b>			1.0
M1a	0 (0 %)	2 (3.7 %)	
<b>Pathological pleural invasion (+)</b>	6 (26.1 %)	24 (44.4 %)	0.2
<b>Lymphovascular invasion (+)</b>	16 (69.6 %)	32 (59.3 %)	0.4
<b>Grade</b>			0.7
G1	4 (17.4 %)	12 (22.2 %)	
G2	15 (65.2 %)	29 (53.7 %)	
G3	4 (17.4 %)	13 (24.1 %)	
<b>Tumor location in lobe</b>			0.6
Upper	8 (34.8 %)	24 (44.4 %)	
Middle	0 (0 %)	1 (1.9 %)	
Lobar	15 (65.2 %)	29 (53.7 %)	
<b>Tumor location</b>			0.5
Central	4 (17.4 %)	6 (11.1 %)	
Peripheral	19 (82.6 %)	48 (88.9 %)	
<b>TP53 mutation</b>			0.5
nuclear staining (+)	18 (78.3 %)	34 (63.0 %)	
null	3 (13.0 %)	11 (20.4 %)	
<b>p16 (+)</b>	8 (34.8 %)	10 (18.5 %)	0.1

\*,  $P < 0.05$ .

**Table 3.** Analysis of prognostic factors using Cox proportional hazards.

Variable	Univariate analysis			Multivariate analysis		
	Hazard ratio	95 % CI	P value	Hazard ratio	95 % CI	P value
<b>IPF (+)</b>	2.38	0.96-6.20	0.06	1.95	0.62-6.53	0.3
<b>Age</b>						
< 70	Reference			-	-	-
≥ 70	1.56	0.63-4.19	0.3	-	-	-
<b>Brinkman index</b>	1.75	0.36-31.6	0.6	-	-	-
<b>Epigenotype</b>						
HME	Reference			Reference		
LME	8.85	1.82-159.1	0.003	10.4	1.88-195	0.005
<b>Tumor location</b>						
Central	Reference			-	-	-
Peripheral	0.96	0.27-6.14	1.0	-	-	-
<b>Pathologic stage</b>						
I	Reference			Reference		
II	0.48	0.44-5.14	0.5	1.04	0.24-3.93	1.0
III	5.23	1.62-16.9	0.006	6.8	0.63-71.3	0.1
IV	75.1	8.87-534	< 0.001	55.23	3.84-714	0.007
<b>T stage</b>						
1	Reference			-	-	-
2	1.85	0.60-6.84	0.3	-	-	-
3	2.39	0.63-9.69	0.2	-	-	-
4	13.3	0.66-98.5	0.08	-	-	-
<b>Pathological pleural invasion (+)</b>	1.87	0.75-4.72	0.2	-	-	-
<b>N stage</b>						
0	Reference			Reference		
1	4.3	1.15-13.4	0.03	3.84	0.53-22.6	0.2
2	4.91	1.5-14.2	0.01	0.36	0.03-4.79	0.4
<b>Grade</b>						
G1	Reference			-	-	-
G2	0.71	0.24-2.30	0.5	-	-	-
G3	1.12	0.31-4.05	0.9	-	-	-
<b>Lymphovascular invasion (+)</b>	2.67	1.01-8.36	0.05	5.98	1.62-29.4	0.1

## Figure legends

**Figure 1.** Study design and representative radiological and pathological findings. (A) Flow chart of the study. (B) Representative pathological findings following hematoxylin/eosin staining. (C,D) Typical radiograph of squamous cell carcinomas with IPF at peripheral lung tissues, and of (D) squamous cell carcinomas without IPF at central lung tissues.

**Figure 2.** Infinium 450K analysis of squamous cell carcinomas with or without IPF, and of surrounding non-cancerous lung tissues. (A) Unsupervised two-way hierarchical clustering (*top*) of 570 high- and intermediate-scoring CpG probes from 20/77 squamous cell carcinomas, 11/20 surrounding non-cancerous tissues, and 2/2 control normal samples.  $\beta$ -values from peripheral blood cells and IMR-90 fibroblasts are also shown, along with those of genes targeted by polycomb repressor in embryonic cells (black bars in *top right*; ref. 34). *Bottom*, source of tissue and IPF status. *Orange*, squamous cell carcinoma; *blue*, surrounding non-cancerous tissues; *purple*, control normal lung samples. *Black bar*, with IPF; *white bar*, without IPF. While lung squamous cell carcinomas generally showed aberrant hypermethylation compared to control lung tissues and surrounding non-cancerous tissues, these carcinomas are classifiable into low- (LME) and high-methylation epigenotypes (HME). Squamous cell carcinomas with IPF are significantly associated with LME ( $P = 0.04$ ). (B) Aberrantly hypermethylated genes are more frequently observed in squamous cell carcinomas without IPF than in tumors with IPF. However, aberrant hypermethylation is observed in more genes in surrounding non-cancerous lung tissues with IPF than in those without

IPF.

**Figure 3.** Genes differentially methylated between lung tissues with or without IPF and between squamous cell carcinoma epigenotypes. (A) Extraction of methylation marker genes.  $\beta$ -value  $> 0.2$  was considered indicative of hypermethylation. Group #1: genes hypermethylated in all HME and LME squamous cell carcinomas, as well as in lung tissues with or without IPF. Group #2: genes unmethylated in control lungs but aberrantly hypermethylated in HME and LME squamous cell carcinomas and in surrounding lung tissues with or without IPF. Group #3: genes aberrantly hypermethylated in HME and LME squamous cell carcinomas, and in surrounding lung tissues with IPF. Group #4: genes aberrantly hypermethylated in HME and LME squamous cell carcinomas. Group #5: genes aberrantly hypermethylated in HME squamous cell carcinomas. Group #6: genes unmethylated in all groups. Genes hypermethylated in LME and HME (groups #2, #3, and #4,  $n = 464$ ) were defined as common markers. Genes hypermethylated specifically in HME (group #5,  $n = 287$ ) were defined as HME markers. (B) Ratio of PRC1 and PRC2 target genes in markers and in all genes. *Black box*, PRC1 or PRC2 target genes. Both PRC1 and PRC2 target genes were significantly enriched in HME and common markers, although PRC1 target genes were significantly more enriched in common markers than in HME markers. \*,  $P < 0.05$ ; \*\*,  $P < 0.001$ . (C) Genes related to transcription and cell adhesion were significantly enriched in common markers. (D) Genes related to negative regulation of growth were significantly enriched in HME markers.

**Figure 4.** Validation of DNA methylation by pyrosequencing. (A) Methylation status of

five HME markers and three common markers identified by Infinium (*top*) was validated by precise measurement of methylation levels at multiple consecutive CpG sites around the Infinium probe using pyrosequencing (*middle*). *Black bar*, surrounding lung tissue with IPF; *white bar*, surrounding lung tissue without IPF (*bottom*). (B) The full repertoire of clinical specimens of squamous cell carcinomas (n = 77) and surrounding non-cancerous tissues (n = 20) were also pyrosequenced. Specimens are aligned left to right according to a descending scale of average methylation at markers. Squamous cell carcinomas with IPF are clustered on the right ( $P = 0.01$  by Kolmogorov-Smirnov test), implying that these carcinomas are associated with frequent hypomethylation. (C) Correlation between squamous cell carcinomas and methylation status of five HME markers. Methylation of *DLEC1*, *CRIP3*, and *ALDH7A1* was significantly lower in squamous cell carcinomas with IPF, while *CFTR* and *MTIM* were relatively hypomethylated.

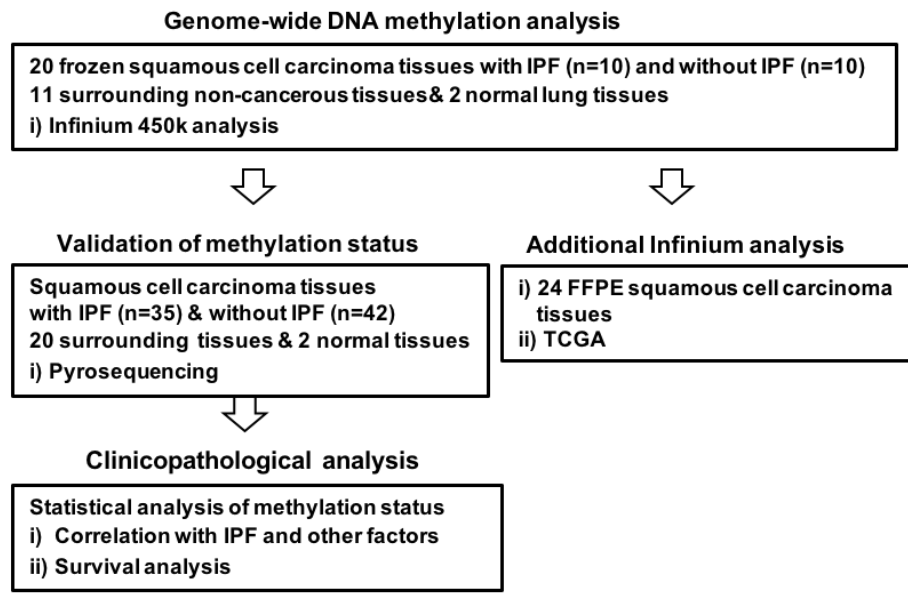
**Figure 5.** Impact of epigenotype on survival from squamous cell carcinomas. (A) Squamous cell carcinoma samples hypermethylated (methylation level > 20 %,  $\beta$ -value > 0.2 in Infinium analysis) in four or five HME markers were considered HME. All others were considered LME. (B) Squamous cell carcinomas with IPF are significantly associated with LME ( $P = 0.003$ ). (C-G) LME was significantly associated with worse prognosis in (C) the full cohort, (D) in patients with stage I and II cancer, (E) in a cohort that includes our cases and comparable cases in The Cancer Genome Atlas, (F) in patients with LME squamous cell carcinomas without IPF, and (G) in patients with stage I and II squamous cell carcinomas without IPF.



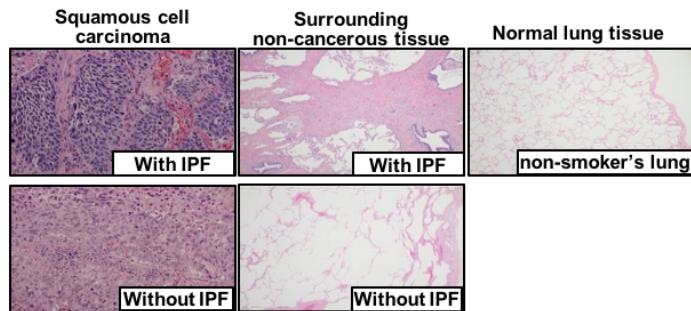
## Figures

Figure 1.

A



B

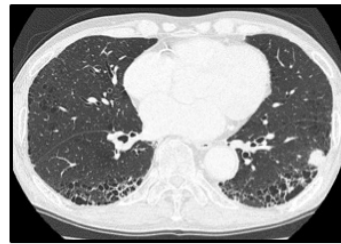


C



**Squamous cell carcinoma without IPF: central type**

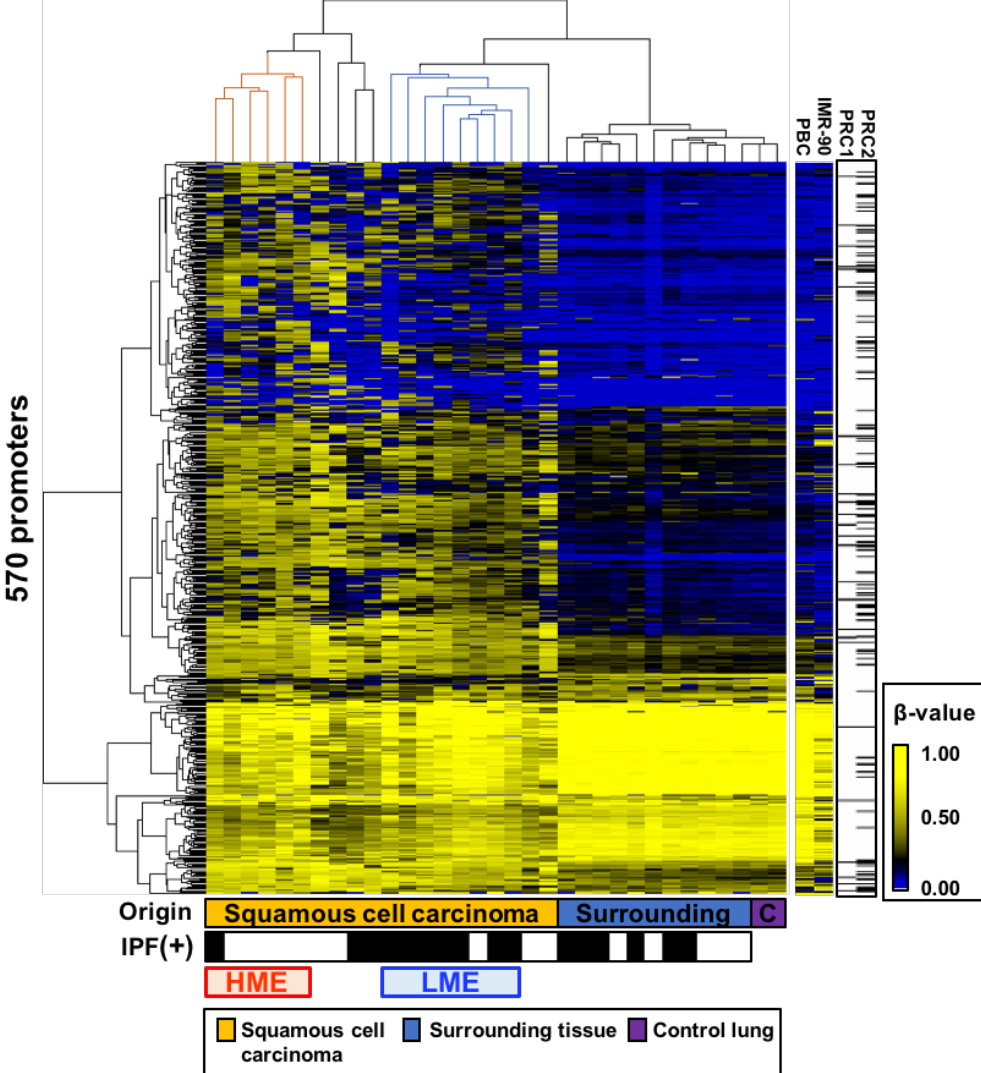
D



**Squamous cell carcinoma with IPF: peripheral type**

Figure 2.

A



B

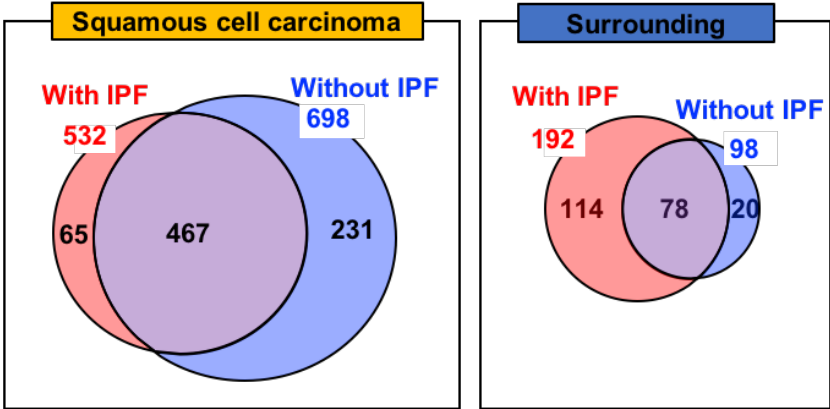
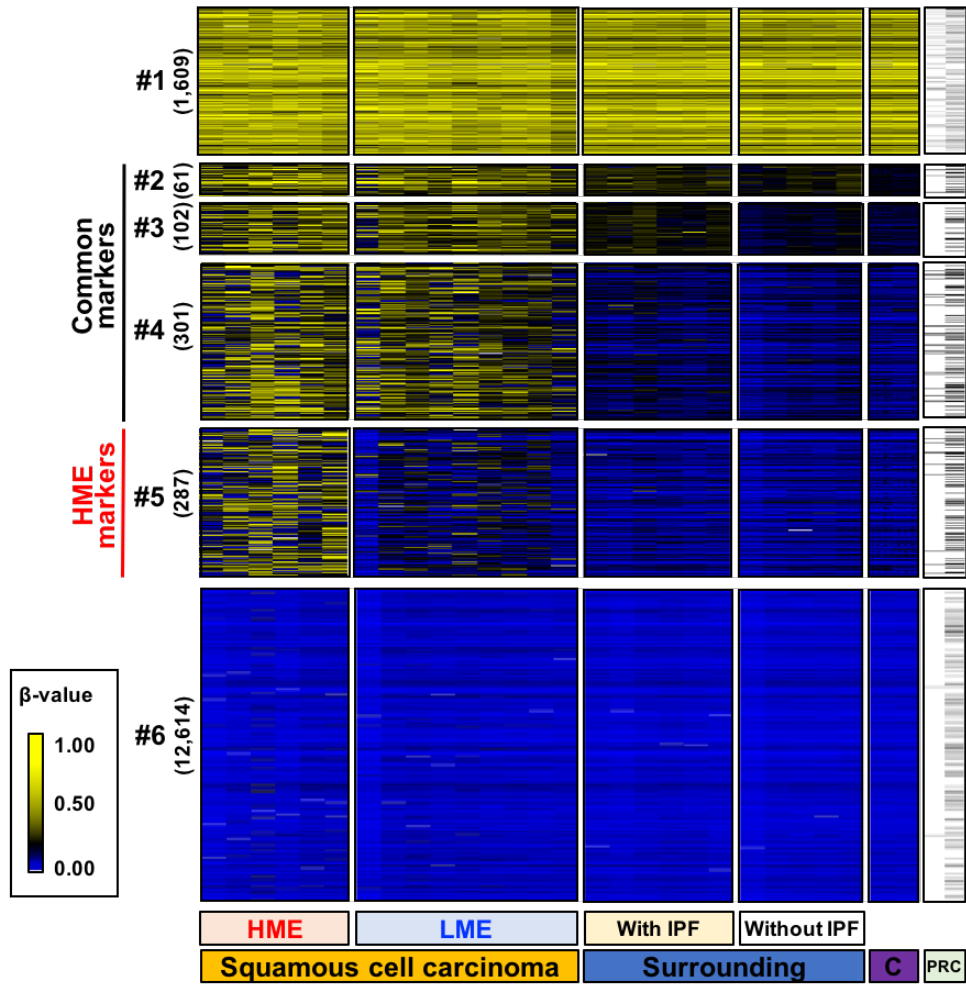
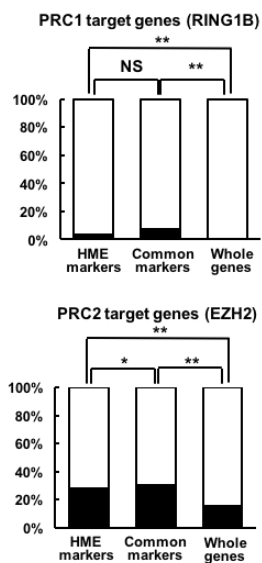


Figure 3.

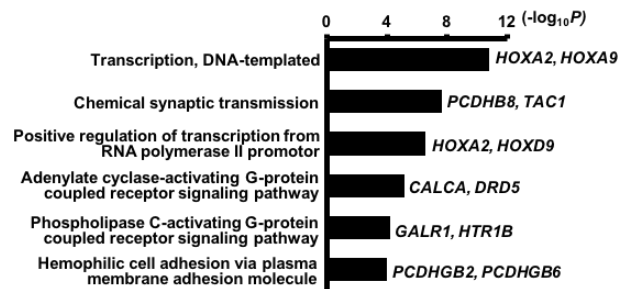
A



B



C



D

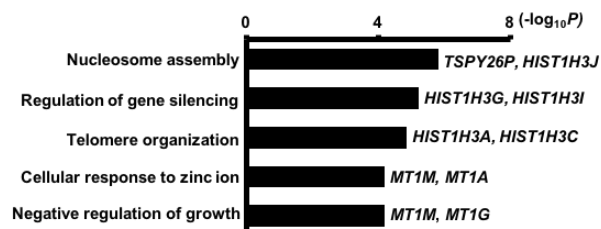


Figure 4.

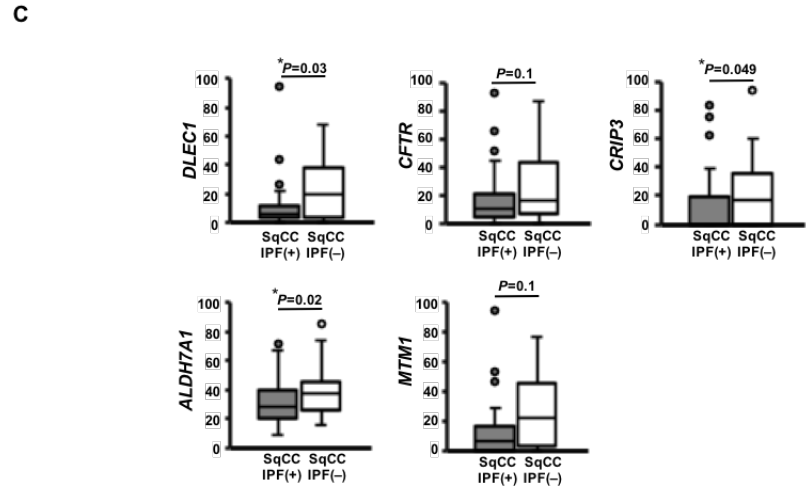
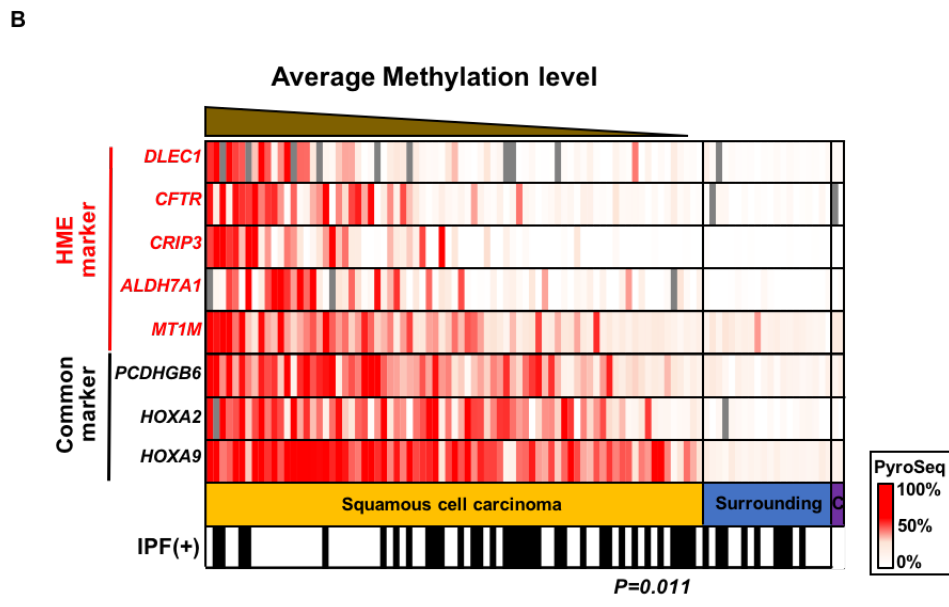
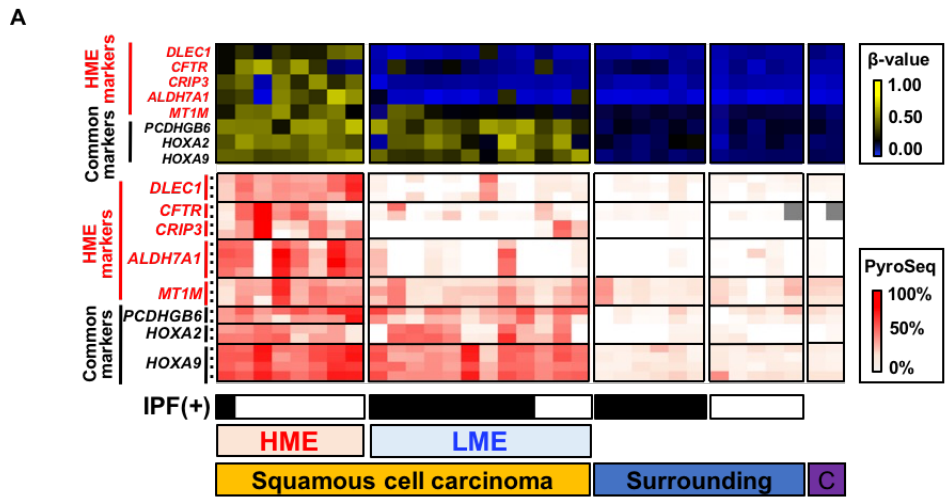
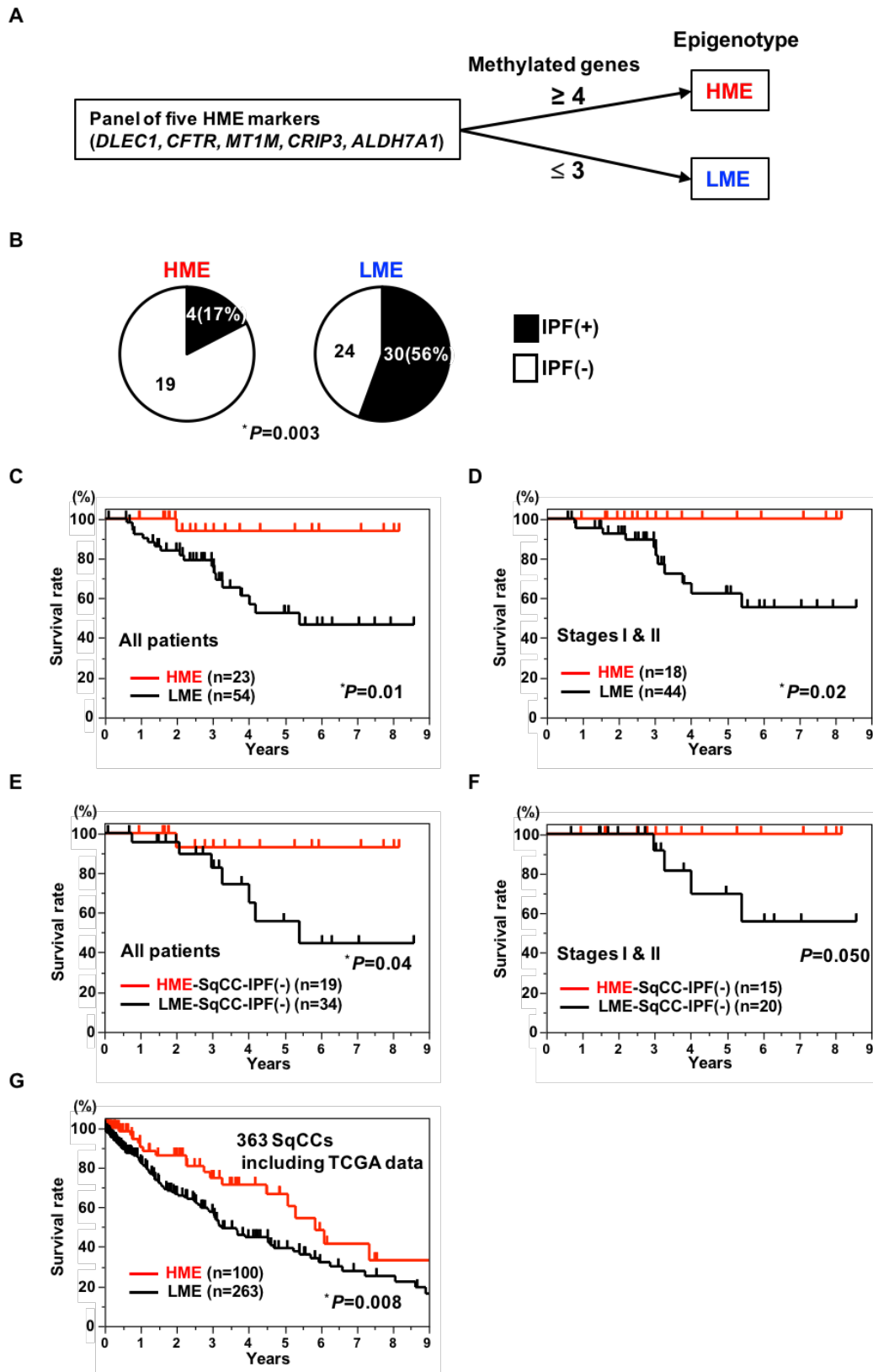


Figure 5.



## **Supplementary Materials and Methods**

Detailed Materials and Methods are provided below.

### ***Clinical samples and cell lines***

From patients who underwent lung surgery from 2004 to 2014 at Chiba University Hospital, 45 frozen and 32 formalin-fixed paraffin-embedded primary lung squamous cell carcinomas with or without IPF were obtained with informed consent. The study was approved by the institutional review board at Chiba University (No. 806). IPF was diagnosed in 35 of these tissues based on the ATS/ERS/JRS/ALAT Statement [E1]. The remaining 42 tissues were free of IPF. All tissues were microscopically confirmed by two independent pathologists to contain > 40 % cancer cells, and were dissected to enrich cancer cells when necessary. Surrounding non-cancerous lung tissues (n = 20) with or without IPF were used as control, along with normal lung tissues from non-smokers with adenocarcinoma. Patients without IPF were eligible if they have forced expiratory volume in one second (FEV<sub>1.0</sub>) / forced vital capacity (FVC) >70%, in order to minimize the impact of chronic obstructive pulmonary disease.

DNA was extracted from frozen samples using QIAquick DNA Mini Kit (Qiagen, Hilden, Germany) and from paraffin-embedded samples using QIAamp DNA FFPE Tissue kit (Qiagen). Normal human lung IMR-90 fibroblasts were obtained from Health Science Research Resources Bank. The composition of peripheral blood cells was extracted from The Cancer Genome Atlas. Twenty frozen squamous cell carcinoma samples, 11 surrounding non-cancerous lung tissues with (n = 6) or without (n = 5) IPF, and two control lung samples were initially surveyed on Infinium 450K chips. The full set of 77 squamous cell carcinoma samples and 20 surrounding lung tissues were

used for validation by pyrosequencing.

Age, gender, smoking history, tumor location, pathologic TNM stage (p-stage), tumor grade, pleural invasion, and lymphovascular invasion were collected from our cohort (Table 1), as well as from 263 squamous cell carcinoma cases in The Cancer Genome Atlas. Tumors arising at main and segmental bronchi were considered central (Figure 1C), while tumors arising at subsegmental bronchi or at more peripheral locations were considered peripheral (Figure 1D), as previously defined [E2-4].

#### ***Analysis of DNA methylation***

Infinium 450K BeadChip (Illumina) contains approximately 485,000 CpG sites covering 99 % of RefSeq genes at an average of 17 sites per gene. Each CpG site was scored in  $\beta$ -values ranging from 0.00 to 1.00 based on signals from a methylated probe relative to the sum of signals from methylated and unmethylated probes. Bisulfite conversion was performed with Zymo EZ DNA Methylation Kit (Zymo Research, Irvine, CA), using 500 ng of genomic DNA per sample. Whole-genome amplification, labeling, hybridization, and scanning were performed according to the manufacturer's protocols. Infinium data were submitted to the Gene Expression Omnibus under accession numbers (GSM3447735-GSM3447767).

Genes targeted by polycomb repressive complex were identified using available ChIP-sequencing data from embryonic stem cells [E5]. Only probes nearest to transcription start sites were analyzed for methylation if multiple probes were available against a promoter. CpG sites were scored based on previous reports [E6,7], and only high- and intermediate-scoring probes with CpG score  $> 0.48$  were included in subsequent analyses. Genes in X and Y chromosomes were also

excluded to avoid gender differences. Genes with  $\beta$ -values  $> 0.2$  were considered hypermethylated.

Additional formalin-fixed, paraffin-embedded specimens were analyzed for DNA methylation using Infinium 450K BeadArray (Illumina). Bisulfite conversion was achieved using Zymo EZ DNA Methylation Kit (Zymo Research, Irvine, CA), and using 500 ng genomic DNA per sample. Whole genome amplification, labeling, hybridization, and scanning were performed according to the manufacturer's protocols. Probes with comparable  $\beta$ -values in both frozen and formalin-fixed paraffin-embedded specimens were previously selected [E8], and used for subsequent clustering analysis. For comparison, DNA methylation data for 263 frozen samples of squamous cell carcinoma were obtained from The Cancer Genome Atlas.

### ***Pyrosequencing***

Genomic DNA (500 ng) from the 77 squamous cell carcinomas in the cohort was bisulfite converted using EZ DNA Methylation Kit (Zymo Research), and suspended in 40  $\mu$ L distilled water. Primers to amplify promoters with several CpG sites were designed in Pyro Q-CpG Software (Qiagen) to ensure products  $< 120$  bp, and to include zero or one CpG site per primer (Supplementary Table S1). For cytosine residues in CpG sites within a primer, a nucleotide that does not anneal to C or U was chosen, such as adenosine (A). Biotinylated PCR products were bound to Streptavidin Sepharose High Performance Beads (Amersham Biosciences, Uppsala, Sweden), washed, and denatured using 0.2 M NaOH. After addition of 0.3  $\mu$ M sequencing primer, samples were pyrosequenced in PyroMark Q96 (Qiagen) [E6] according to the manufacturer's instructions. Methylation control samples (0 %, 25 %, 50 %, 75 %, and 100 %) were prepared as described previously [E9], and used to confirm the accuracy of pyrosequencing assays. Methylation levels  $> 20$  % were considered



indicative of hypermethylation.

### ***Immunohistochemistry***

Protein expression of TP53 and p16<sup>INK4A</sup> was analyzed by immunohistochemistry in a BenchMark ULTRA automated staining system (Roche, Basel, Switzerland), using mouse monoclonal anti-TP53 (clone DO-7) and anti-p16 (CINtec p16 Histology) from Roche. Samples with strong and uniform nuclear staining for TP53 were considered to harbor activating mutations, while those without nuclear staining were considered to harbor null mutations. Sporadic nuclear staining in surrounding non-cancerous cells, such as inflammatory cells, were used as wild-type internal controls. Finally, samples with nuclear and cytoplasmic immunoreactivity for p16 in > 70 % of tumor cells were considered to express p16.

### ***Gene Ontology analysis***

Enrichment of Gene Ontology terms (biologic process: BP\_ALL) was analyzed using Functional Annotation at DAVID Bioinformatics Resources (<http://david.abcc.ncifcrf.gov/>).

### ***Statistical Analysis***

Unsupervised two-way hierarchical clustering was conducted in Cluster 3.0 (<http://bonsai.hgc.jp/~mdehoon/software/cluster/>) or in R ([www.r-project.org/](http://www.r-project.org/)). Heatmaps were drawn in Java TreeView (<http://jtreeview.sourceforge.net/>). Association between DNA methylation and clinicopathological factors, including presence or absence of IPF, was analyzed by Fisher's exact test,  $\chi^2$  test, or Kolmogorov-Smirnov test for categorical variables, and by Mann-Whitney test for

continuous data. Cancer-specific overall survival was measured from enrollment date until date of cancer-related death. Patients who died from causes unrelated to cancer were censored. Survival curves were constructed by the Kaplan-Meier method, and *P* values were calculated by log-rank test. Multivariate analysis was performed using Cox proportional hazards. Analyses were performed in JMP pro 12.0.1 (SAS Institute Inc., Cary, NC), with  $P < 0.05$  considered statistically significant.

**Supplementary Table E1. Primers for pyrosequencing.**

Gene	strand	Primer type	Primer sequence	Anneal (°C)	Product (bp)	Probe position of Infinium
<b><i>HME markers</i></b>						
<i>DLEC1</i>	top	Fwd	GGATTAAAGAGTGTTAGGGGATAATGT	55	85	-95
		Rev*	AACTTCCAAATAAACTAACTAAAACTACT			
		Seq	GTTAGGGGATAATGTGG			
<i>CFTR</i>	top	Fwd	ATTTGGGGTAGGATTAGGTAGTAT	55	82	53
		Rev*	CACACCACCCCTTCCTTTTA			
		Seq	GGGGTAGGATTAGGTAGTATT			
<i>CRIP3</i>	top	Fwd	AGGGAGGTTTAAAGTTGAGT	55	120	43
		Rev*	AACAAATCCAACCTCATAACTCC			
		Seq	GAGGGGGAGGAATTGA			
<i>ALDH7A1</i>	top	Fwd	GGGAGAATTTATTGGGTAGAT	55	99	-81
		Rev*	AAATTCAACCCCAAACCTCCTCC			
		Seq	TGGGTAGATTAGATTTTTTTTAT			
<i>MT1M</i>	top	Fwd	ATTAGTGGTGTAGGAGTAGTTG	55	73	-107
		Rev*	CTCCATTTATCCCTTAAAATCTCCAACCTT			
		Seq	AGTAGTTGGGGTTTATT			
<b><i>Common markers</i></b>						
<i>PCDHGB6</i>	top	Fwd	GTTGGTAATTAGTTGTGTTGGGAAAGG	55	70	146
		Rev*	AAAAAAAAACCAACTCCCACACAAAAC			
		Seq	GTTGGGAAAGGTTTG			
<i>HOXA2</i>	top	Fwd	TGGGTTTTAATTAAGAAGTTATTGT	55	91	33
		Rev*	AAATAAACCTCCCTAACCCCAATAAA			
		Seq	TTATTGTTTGTGTTGATTGATTTAA			
<i>HOXA9</i>	bottom	Fwd*	TTAGGGTTTTAGTGGTGGTTATTA	55	111	-35
		Rev	CCCCCCATACCACCAAATTATTACATA			
		Seq	ATTACATAAAATCTACAATTCA			

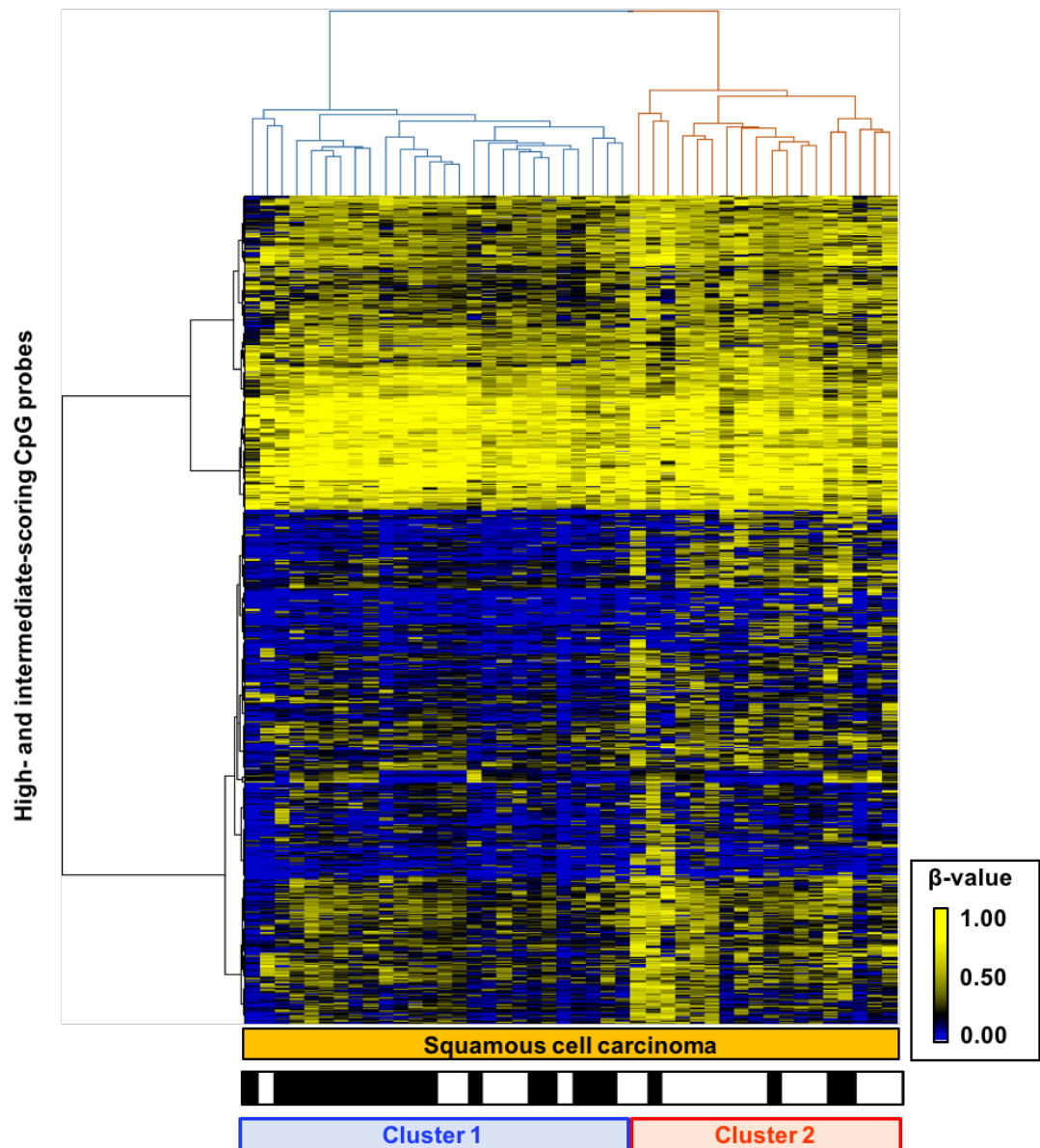
\*Primers with 5'-biotin tag. *Probe position*, position of the CpG site analyzed in Infinium assay, with the transcription start site marked as +1. *Top/bottom*, the strand used as template in bisulfite PCR. *Fwd*, forward primer. *Rev*, reverse primer. *Seq*, primer sequence. Primers were designed to determine methylation status at multiple CpG sites, including the C at the probe position.

## Supplementary Reference

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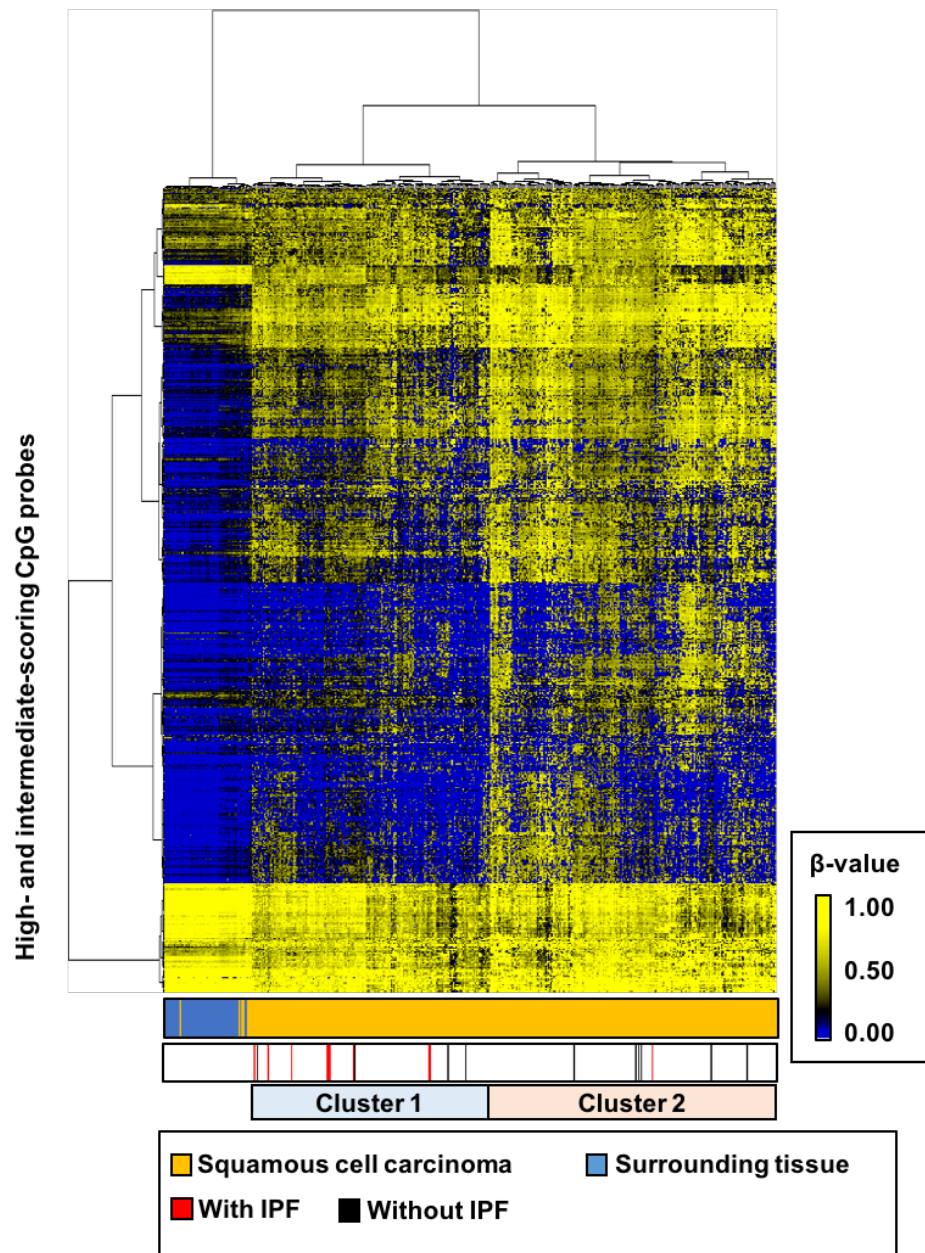
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Figure E1.



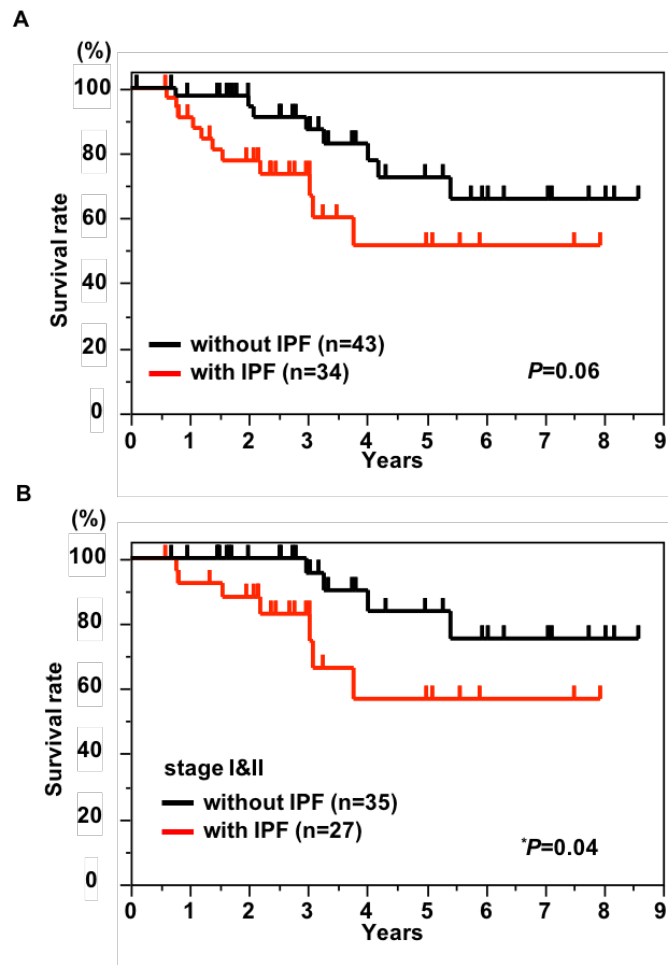
**Supplementary Figure E1.** Infinium analysis of 20 frozen and 24 formalin-fixed, paraffin-embedded squamous cell carcinomas with ( $n = 22$ ) or without IPF ( $n = 22$ ). Samples were clustered by unsupervised two-way hierarchical clustering. *Top*, 783 high- and intermediate-scoring CpG probes were used for clustering. *Bottom*, IPF status. *Black bar*, squamous cell carcinomas with IPF; *white bar*, squamous cell carcinomas without IPF. Specimens were classified into two clusters, of which one was enriched with squamous cell carcinomas with IPF (Cluster 1) with relatively lower methylation levels ( $P = 0.005$ ).

Figure E2.



**Supplementary Figure E2.** Infinium 450K analysis of squamous cell carcinomas with or without IPF in the current cohort, and of cases in The Cancer Genome Atlas. In total, 390 squamous cell carcinomas and 55 surrounding non-cancerous lung tissues were clustered by unsupervised two-way hierarchical clustering. *Top*, 591 high- and intermediate-scoring CpG probes were used for clustering. *Bottom*, source of samples and IPF status. *Orange*, squamous cell carcinoma; *blue*, surrounding non-cancerous tissues. *Red bar*, squamous cell carcinoma with IPF; *black bar*, squamous cell carcinoma without IPF. Carcinomas were classified into two methylation subtypes. Most of carcinomas with IPF (9/10, 90 %) are classified in Cluster 1, which has lower methylation levels than Cluster 2. In contrast, only 4/10 (40 %) of carcinomas without IPF are in this cluster ( $P = 0.06$ ).

Figure E3.



**Supplementary Figure E3.** Impact of IPF on survival from squamous cell carcinomas. Squamous cell carcinomas with IPF are modestly associated with worse prognosis in (A) all patients, and in (B) patients with stage I and II cancers.