

Expert Opinion on Therapeutic Targets (Editorial)

Tropisetron: A promising drug to prevent Alzheimer's disease

Short title: Tropisetron for Alzheimer's disease

Word count of the manuscript: 1324 words

Number of references: 20

The number of figure and table: 2

Abstract

Alzheimer's disease (AD) is the most common age-related neurodegenerative disorder. Despite this, there are no drugs for preventing the onset of AD. Preclinical studies suggest that interaction between amyloid- β peptides ($A\beta$) and the $\alpha 7$ nicotinic acetylcholine receptor ($\alpha 7$ nAChR) plays a key role in AD pathology, and that $\alpha 7$ nAChR agonists could act as potential therapeutic drugs for AD. A recent study demonstrated that tropisetron, a potent $\alpha 7$ nAChR agonist and serotonin 5-HT₃ receptor antagonist, also bound to the ectodomain of amyloid precursor protein (APP). Furthermore, tropisetron promoted greater improvements in memory than current AD therapeutic drugs, such as memantine and donepezil. Positron emission tomography studies detected $A\beta$ deposition and inflammation in the brains of subjects with amnesic mild cognitive impairment (MCI) before the onset of AD. Given the role of $\alpha 7$ nAChR in $A\beta$ deposition and inflammation, tropisetron represents an attractive potential therapeutic drug to delay or prevent MCI and AD. Additionally since this drug is used internationally to treat chemotherapy-induced emesis, its safety record is already known.

Key words: Alzheimer's disease; $\alpha 7$ Nicotinic receptor; β -Amyloid; Encenicline, 5-HT₃ receptor; Inflammation; Mild cognitive impairment; Tropisetron

1. Introduction

The World Alzheimer Report 2013 (1) estimated that between 2010 and 2050, the worldwide numbers of older people needing care will nearly treble, from 101 to 277 million. Nearly half of these older people are likely to be living with and experiencing the effects of dementia. Furthermore, costs will increase in line with the number of older people suffering dementia. The World Alzheimer Report predicted a near doubling in worldwide societal costs from US\$604 billion in 2010 to US\$1,117 billion, by 2030 (1).

Alzheimer's disease (AD) is the most common age-related neurodegenerative disorder, and AD is the most common cause of dementia. It is a slowly progressing disease characterized by three stages – an early preclinical stage with no symptoms, a middle stage with mild cognitive impairment (MCI) and a final stage with dementia (**Figure 1**). Currently, there are no therapeutic agents for preventing the onset of MCI or AD. A decade of disappointing clinical trials testing agents aimed at modifying AD disease in patients, suggest that effective treatment should be targeted at earlier stages of the disease, that is, even before overt symptoms arise (2).

2. Role of A β - α 7 nAChR interaction in AD pathology

Although the precise mechanisms underlying AD pathology are currently unknown, the accumulation and aggregation of amyloid- β (A β) peptides in brain regions, such as the hippocampus and cerebral cortex, are believed to be an early event in the pathogenesis of this disease. Another prominent feature of AD pathology is the loss of cholinergic neurons and nicotinic acetylcholine receptors (nAChRs) throughout the brain (**Figure 1**) (3, 4). However, the mechanisms linking A β to the loss of cholinergic neurons and nAChRs remain to be fully elucidated. The α 7 subtype of nAChRs, a major subtype of nAChRs in the brain, is a functional homopentameric receptor since ACh-gated ion channels open when five identical α 7-subunits assemble together (4). The α 7 nAChRs are located presynaptically, including on

cholinergic projection pathways from the basal forebrain, where they are active in the Ca^{2+} -dependent release of neurotransmitters. Additionally, $\alpha 7$ nAChRs are localized postsynaptically on γ -aminobutyric acid-ergic inhibitory interneurons, particularly in the hippocampus and cerebral cortex (4). Accumulating evidence suggests that the $\alpha 7$ nAChR is integral to the pathogenesis of AD, and therefore that $\alpha 7$ nAChR agonists could be potential therapeutic drugs for MCI and AD (3, 4). Despite the presence of high amounts of amyloid precursor protein (APP) and $\text{A}\beta$ deposits in the brain, deleting $\alpha 7$ nAChR subunits in the mouse model of AD protects against dysfunction of synaptic integrity (pathology and plasticity) and cognitive function (5). Furthermore, chronic administration of nicotine prevented $\text{A}\beta$ -induced reductions of $\alpha 7$ nAChR in an animal model of AD (6). Although the mechanism underlying the neuroprotective effect of nicotine is unclear, it is likely that nicotine protects cells against $\text{A}\beta$ toxicity by upregulation of $\alpha 7$ nAChR (6). Subsequently, S 24795, a partial agonist at $\alpha 7$ nAChR, was found to reduce $\text{A}\beta_{42}$ - $\alpha 7$ nAChR interaction and $\text{A}\beta_{42}$ -induced τ phosphorylation (7). Taken together, disrupting the $\text{A}\beta$ - $\alpha 7$ nAChR interaction may represent a novel approach to reducing $\text{A}\beta$ -mediated functional deficits, neurodegeneration, and possibly the neuropathological features of AD.

3. Tropisetron

Tropisetron (Navoban[®]), a 5-hydroxytryptamine (5-HT)₃ receptor antagonist, is widely used to treat chemotherapy-induced emesis outside of the United States (U.S.). In addition to its 5-HT ₃ receptor antagonism properties, tropisetron is also a partial agonist at the $\alpha 7$ nAChR. Tropisetron (5-20 mg/day) is reportedly effective in the treatment of auditory sensory gating P50 deficits, cognitive impairment, and negative symptoms in patients with schizophrenia (8-11), indicating that tropisetron would be a potential therapeutic drug for schizophrenia (3, 12).

Using a clinical compound library, Spilman et al. (13) recently identified tropisetron as

an agent that consistently increased soluble amyloid precursor protein α (sAPP α), which acts as a trophic factor (**Figure 2**). A subsequent assay showed that tropisetron consistently increased the sAPP α /A β ₁₋₄₂ ratio, suggestive of a beneficial effect in ameliorating the AD phenotype. *In vivo* studies using J20 mice (an animal model of AD), showed that tropisetron (0.5 mg/kg/day) improved the sAPP α /A β ratio, along with spatial and working memory in mice, and that tropisetron was effective both during the symptomatic, pre-plaque phase (5-6 months) and in the late plaque phase (14 months). As well as possessing 5-HT₃ receptor antagonism and α 7 nAChR partial agonism properties, tropisetron also binds to the ectodomain of APP, with a sub-micromolar affinity (13). Interestingly, direct comparisons of tropisetron with current AD therapeutic drugs such as, memantine and donepezil, revealed that tropisetron induced greater improvements in memory and the sAPP α /A β ₁₋₄₂ ratio (13). Furthermore, it is reported that tropisetron protects against A β -induced neurotoxicity *in vivo*, through both 5-HT₃ receptor-dependent and independent pathways (14).

4. Role of α 7 nAChR in inflammation

Positron emission tomography (PET) studies using [¹¹C]PIB (Pittsburgh Compound-B) and [¹¹C](R)-PK11195 (an antagonist at the mitochondrial 18 kDa translocator protein) demonstrated that A β deposition and microglial activation could be detected in the brain of patients with amnesic MCI (15, 16). Longitudinal studies suggested that MCI subjects with high PIB retention are much more likely to convert to AD than subjects with low PIB retention (16), indicating that A β - PET may play a prognostic role in the clinical evaluation of MCI. Most significantly, longitudinal studies showed that cognitively normal subjects with elevated PIB were at much higher risk for longitudinal cognitive decline and the emergence of clinically significant cognitive impairment, relative to PIB negative, age- and education-matched subjects (16). In addition, the AD Neuroimaging Initiative research suggests that AD begins with A β accumulation and inflammation in the brain, which ultimately leads to

synaptic dysfunction, neurogeneration, and cognitive or functional decline, although inflammation is not always detrimental in the AD pathological process (**Figure 1 and 2**)(16).

In 2003, Wang et al. (17) reported on the key role of $\alpha 7$ nAChR in the inflammatory process. They found that the anti-inflammatory action of vagal stimulation worked in wild-type, but not $\alpha 7$ nAChR knock-out mice (17). This led to the proposal of a “cholinergic anti-inflammatory pathway” (18). Preclinical models have provided a plethora of evidence supporting a beneficial effect for activation or mimicry of the “cholinergic anti-inflammatory pathway” in a number of disorders (e.g., inflammatory bowel disease, postoperative and endotoxin-induced ileus) (18). Interestingly, a report showed that tropisetron can attenuate serum levels of the pro-inflammatory cytokine, interleukin-6 (IL-6), in rats after cecal ligation and puncture (19), indicating a potent anti-inflammatory effect for tropisetron. It is also known that 5-HT₃ receptor antagonists, including tropisetron, conferred anti-inflammatory properties. Considering the crucial role of $\alpha 7$ nAChR on A β deposition and inflammation in the brain, tropisetron may act to prevent or delay the onset of Alzheimer’s disease from the state of MCI (**Figure 1 and Figure 2**).

5. Encenicline (EVP-6124)

EnVivo Pharmaceuticals Inc. (now Forum Pharmaceuticals, Inc.) reported results for a Phase 2b trial of encenicline hydrochloride (EVP-6124; 0.3, 1 or 2 mg, 23-weeks), another $\alpha 7$ nAChR partial agonist, in patients (n=409) with mild to moderate AD (20). Encenicline (2 mg) showed statistically significant effects on a number of cognitive and clinical endpoints, including the primary endpoint of AD Assessment Scale-Cognitive Subscale (ADAS-Cog) status. The drug was safe and well tolerated, showing predominantly mild treatment-emergent adverse effects (1 mg = 48.5%, 2 mg = 53%). Next, the company initiated COGNITIV AD, a Phase 3 clinical trial program, using encenicline in mild to moderate AD. The program consists of two randomized, double-blind, placebo-controlled trials, enrolling approximately

1,600 patients at sites in the U.S. and other countries worldwide (20).

6. Conclusion

As mentioned above, tropisetron interacts with both the 5-HT₃ receptor and α 7 nAChR, as well as interfacing directly with APP, targets that are all associated with early AD pathology (**Figure 2**). This makes tropisetron an attractive potential therapeutic drug to delay or prevent MCI and AD, particularly since current medications do not affect the underlying disease process. Further clinical studies are needed to fully evaluate the efficacy of tropisetron in AD prevention.

Expert Opinion

Alzheimer's disease (AD) is the most common cause of dementia in the elderly, with a worldwide prevalence estimated to quadruple over the next 50 years. It is a slowly progressing disease, characterized by three stages; an early preclinical stage with no symptoms, a middle stage with mild cognitive impairment (MCI) and a final stage with dementia. However, no effective treatment is available to slow down or stop the onset of MCI or AD.

A prominent feature of AD pathology is the loss of cholinergic neurons and nicotinic acetylcholine receptors (nAChRs) throughout the brain (**Figure 1**). Given the role of the amyloid- β (A β) - α 7 nAChR interaction in AD pathology, both A β and α 7 nAChR are valid therapeutic targets for AD. A number of PET studies demonstrated the presence of A β depositions in the brain, some 10 to 20 years before dementia or even MCI is diagnosed (**Figure 1**). A recent preclinical study showed that in addition to interaction with both the 5-HT₃ receptor and α 7 nAChR, tropisetron interacts directly with APP, targets that are all associated with early AD pathology (13). Interestingly, direct comparisons of tropisetron with current AD therapeutic drugs (e.g., memantine and donepezil), revealed greater improvement in memory and sAPP α /A β ₁₋₄₂ ratios with tropisetron (13). In addition, tropisetron showed excellent oral bioavailability, brain penetration, cognitive effects and biomarker effects at currently used human equivalent doses (13).

Taken together, current evidence highlights tropisetron as a promising therapeutic drug to delay or prevent MCI and mild to moderate AD, especially since it already has worldwide approval for clinical use in a different disorder (**Figure 1 and Figure 2**). Future studies using a combination of tropisetron and other drugs, such as β -site APP cleaving enzyme (BACE1) inhibitors will be interesting.

Acknowledgements

This study was supported by a Grant-in-Aid from the Minister of Education, Culture, Sports, Science, and Technology of Japan (to K.H.).

Declaration of Interest

Dr. Hashimoto holds a patent for the use of tropisetron in neuropsychiatric diseases, including schizophrenia and Alzheimer's disease. In addition, Dr. Hashimoto has served as a scientific consultant to Astellas, Dainippon-Sumitomo and Taisho, and he has also received research support from Abbvie, Dainippon-Sumitomo, Otsuka, and Taisho.

Bibliography

Papers of special note have been highlighted as either of interest (●) or of considerable interest (●●) to readers.

1. World Alzheimer Report 2013. Journey of Caring. An analysis of long-term care for dementia. Alzheimer's Disease International, London, UK.
2. Friedrich MJ. Researchers test strategies to prevent Alzheimer's disease. *JAMA* 2014;311:1596-8.
3. Toyohara J, Hashimoto K. $\alpha 7$ Nicotinic receptor agonists: Potential therapeutic drugs for treatment of cognitive impairments in schizophrenia and Alzheimer's disease. *Open Med Chem J* 2010;4:37-46.
4. Deutsch SI, Burket JA, Benson AD. Targeting the $\alpha 7$ nicotinic acetylcholine receptor to prevent progressive dementia and improve cognition in adults with Down's syndrome. *Prog Neuropsychopharmacol Biol Psychiatry* 2014;54:131-9.
5. Dziewczapolski G, Glogowski CM, Masliah E, et al. Deletion of the $\alpha 7$ nicotinic acetylcholine receptor gene improves cognitive deficits and synaptic pathology in a mouse model of Alzheimer's disease. *J Neurosci* 2009;29:8805-15.

●This is the first study showing the role of $\alpha 7$ nicotinic receptor in β -amyloid deposition and AD pathology using $\alpha 7$ nAChR KO mice.

6. Srivareerat M, Tran TT, Salim S, et al. Chronic nicotine restores normal A β levels and prevents short-term memory and E-LTP impairment in A β rat model of Alzheimer's disease. *Neurobiol Aging* 2011;32:834-44.
7. Wang HY, Bakshi K, Shen C, et al. S 24795 limits β -amyloid - $\alpha 7$ nicotinic receptor interaction and reduces Alzheimer's disease-like pathologies. *Biol Psychiatry* 2010;67:522-30.
8. Koike K, Hashimoto K, Takai N, et al. Tropisetron improves deficits in auditory P50 suppression in schizophrenia. *Schizophrenia Res* 2005;76:67-72.

●●This is the first study showing that tropisetron improves auditory sensory gating P50 deficits in patients with schizophrenia.

9. Shiina A, Shirayama Y, Niitsu T, et al. A randomized, double-blind, placebo-controlled trial of tropisetron in patients with schizophrenia. *Ann Gen Psychiatry* 2010;9:27.

10. Zhang XY, Liu L, Liu S, et al. Short-term tropisetron treatment and cognitive and P50 auditory gating deficits in schizophrenia. *Am J Psychiatry* 2012;169:974-81.
11. Noroozian M, Ghasemi S, Hosseini SM, et al. A placebo-controlled study of tropisetron added to risperidone for the treatment of negative symptoms in chronic and stable schizophrenia. *Psychopharmacology (Berl)* 2013;228:595-602.
12. Ishikawa M, Hashimoto K. $\alpha 7$ nicotinic acetylcholine receptor as a potential therapeutic target for schizophrenia. *Curr Pharm Des* 2011;17:121-9.
13. Spilman P, Descampus O, Gorostiza O, et al. The multi-functional drug tropisetron binds APP and normalizes cognition in a murine Alzheimer's model. *Brain Res* 2014;1551:25-44.

●●This is the first study showing that tropisetron binds to amyloid precursor protein APP.

14. Rahimian R, Fakhfour G, Ejtemaei Mehr S, et al. Tropisetron attenuates amyloid- β -induced inflammatory and apoptotic responses in rats. *Eur J Clin Invest* 2014;43:1039-1051.
15. Okello A, Edison P, Archer HA, et al. Microglial activation and amyloid deposition in mild cognitive impairment: a PET study. *Neurology* 2009;72:56-62.
16. Cohen AD, Klunk WE. Early detection of Alzheimer's disease using PIB and FDG PET. *Neurobiol Dis* 2014 May 10. pii: S0969-9961(14)00110-7. doi: 10.1016/j.nbd.2014.05.001
17. Wang H, Yu M, Ochani M, et al. Nicotinic acetylcholine receptor $\alpha 7$ subunit is an essential regulator of inflammation. *Nature* 2003;421:384-8.
18. Matteoli G, Boeckxstaens GE. The vagal innervation of the gut and immune homeostasis. *Gut* 2013;62:1214-22.
19. Setoguchi D, Nakamura M, Yatsuki H, et al. Experimental examination of anti-inflammatory effects of a 5-HT₃ receptor antagonist, tropisetron, and concomitant effects on autonomic nervous function in a rat sepsis model. *Int Immunopharmacol* 2011;11:2073-8.
20. Forum Pharmaceuticals Inc. January 22, 2014. EnEivo Pharmaceuticals initiates COGNITIV AD, a phase 3 clinical trial program of encenicline (EVP-6124) in Alzheimer's disease. <http://www.forumpharma.com/content/news-events/cognitiv-ad-program>

Figure legends:

Figure 1. The life course in healthy aging, MCI subjects and AD patients

As people grow older, healthy subjects develop age-related memory loss. Subjects with mild cognitive impairment (MCI) develop a greater degree of memory problems relative to age-matched healthy subjects, although they do not experience the personality changes or other problems characteristic of AD. Studies using PET showed that amyloid- β ($A\beta$) deposition and inflammation are present in the brains of MCI subjects. AD patients suffer severe memory loss, $A\beta$ deposition, inflammation and loss of cholinergic neurons and $\alpha 7$ nAChRs. The deposition of $A\beta$ in the brain starts before MCI, and increases with age. Therefore, tropisetron could potentially prevent the onset of AD if administered during or before the onset of MCI.

Figure 2. Schematic diagram of the proteolytic events and cleave products associated with APP and possible mechanistic action of tropisetron in the prevention of AD

Amyloid precursor protein (APP) is metabolized by a membrane-associated protease, α -secretase, and this cleavage releases the extracellular amino-terminal ectodomain of APP ($APP_{S\alpha}$), which displays trophic properties. The alternative cleavage pathway involves two sequential cleavages by β - and γ -secretase and gives rise to a series of amyloid- β ($A\beta$). Accumulating evidence suggests that interaction between $A\beta$ peptides and $\alpha 7$ nAChRs is integral to the pathology of AD. The interaction between $A\beta$ peptides and $\alpha 7$ nAChRs might induce $A\beta$ deposition and inflammation in the brain, resulting in the loss of cholinergic neurons and $\alpha 7$ nAChR. These neurotoxic events may promote cognitive decline, followed by MCI, and

ultimately lead to AD. In combination with an $\alpha 7$ nAChR agonist and serotonin 5-HT₃ receptor antagonist, tropisetron, binds to APP, with a sub-micromolar affinity. The interaction of tropisetron with 5-HT₃ receptors also plays a role in the anti-inflammatory and neuroprotective effect against A β -induced neurotoxicity. Taken together, tropisetron could act as a potential therapeutic drug for AD, if be administered during the period of MCI or early stages of AD.

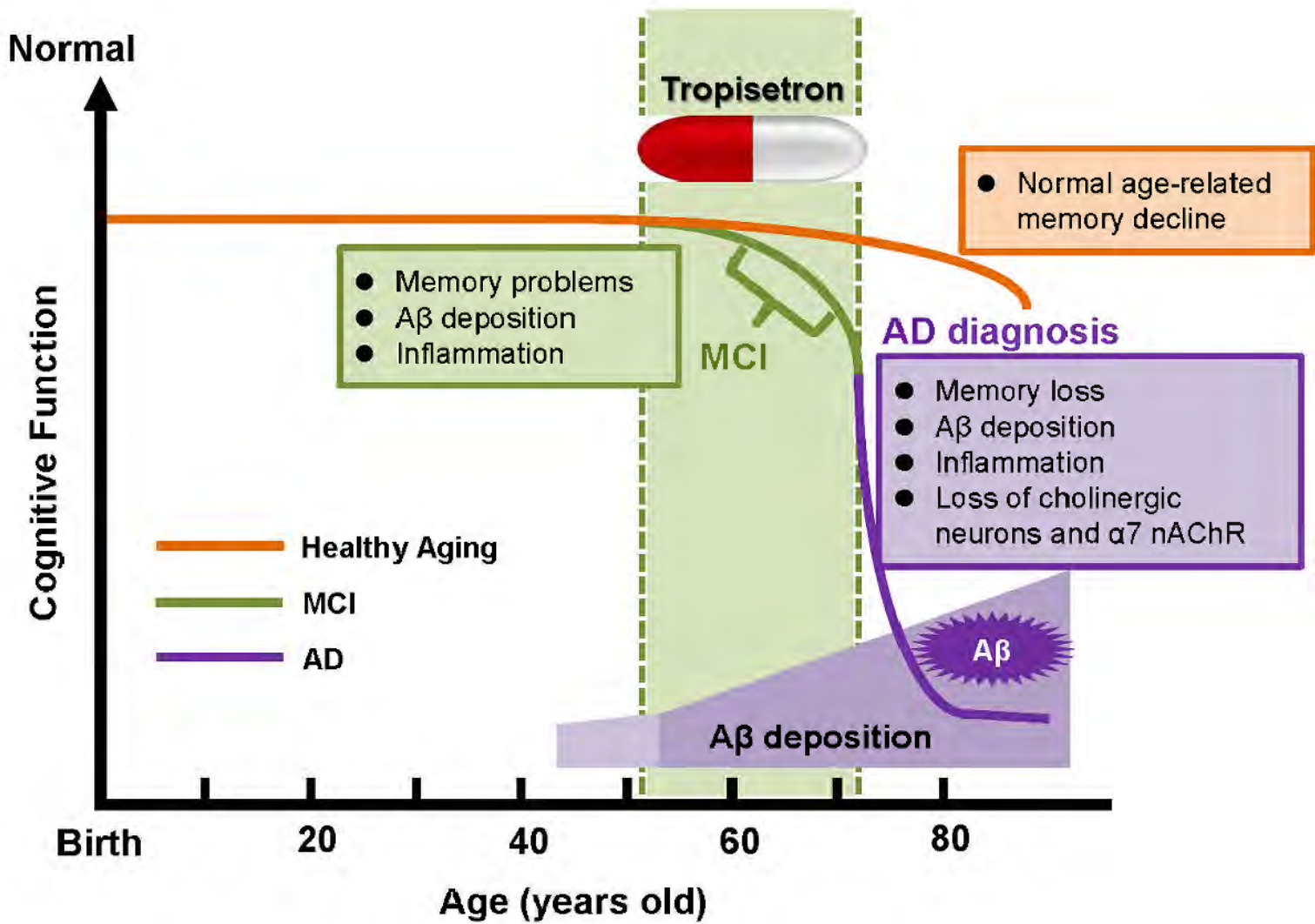


Figure 1

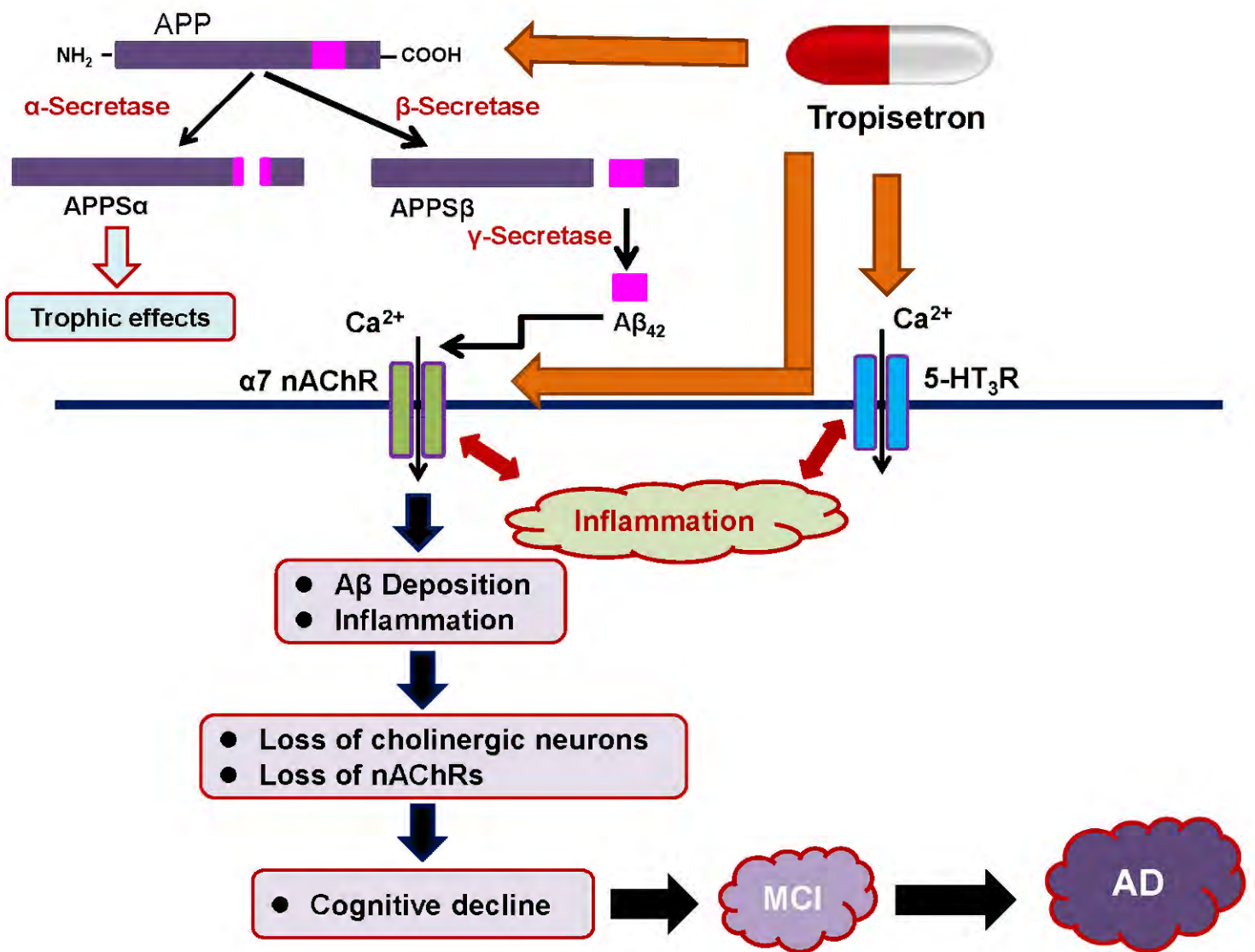


Figure 2